

F. Contracts Disclosure Form

Concentra completed *RFP Attachment C, Contracts Disclosure Form* as well as the *Conflict of Interest Questionnaire* referenced in *RFP Section 013, Award of Contract and Reservation of Rights*. We include this document immediately following this page.

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see [Section 2-59 through 2-61](#) of the City's Ethics Code.

*This is a New Submission or Correction or Update to previous submission.

*1. Name of person submitting this disclosure form.

First: Jules M.I. Last: Staten Suffix:

*2. Contract information.

a) Contract or project name: Occupational Health Services

b) Originating department: Human Resources Department

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

Occupational Health Centers of the Southwest PA, dba Concentra Medical Centers

Robert G. Hassett, DO, MPH President, Treasurer, and Corporate Secretary Authorized Signatory	Jules Staten Major Account Executive Primary Contact
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*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: Please see additional page immediately following this form.

*5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

Concentra employs a legal department which includes Adam Moore, JD, Assistant Vice President, Corporate Counsel. Mr. Moore will serve as the principal attorney during any potential contract resulting from this proposal. If Concentra is the successful bidder, we desire to engage in open dialogue with the City, review the proposed modifications, and ultimately create an agreement that not only outlines the schedule of services, but also protects the business interests of both the City and Concentra.

* = Required fields



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*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under [Section 2-309 of the Municipal Campaign Finance Code](#), the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under [Sections 2-43 or 2-44](#) of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

* = Required fields



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*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see [Section 141 of the City Charter](#) and [Section 2-52](#) of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see [Section 2-58](#) of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in [Section 2-61](#) of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.



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***3. Contribution Prohibitions for "High-Profile" Contracts**

- This is not a high-profile contract.
- This is a high-profile contract.

I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.

I warrant that no contributions have been made by these individuals in violation of [Section 2-309 of the Municipal Campaign Finance Code](#).

***4. Conflict of Interest Questionnaire (CIQ)**

[Chapter 176 of the Local Government Code](#) requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

***Oath**

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name: Robert G. Hassett, DO, MPH Title: President, Treasurer and Corporate Secretary

Company Name or DBA: Occupational Health Centers of the Southwest PA, dba Concentr Date: 07/17/2015

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:
Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

Attachment to Contracts Disclosure Form

Established in 1979 and headquartered in Addison, Texas, Concentra has experienced steady growth through new center development, acquisitions, joint ventures, and management contracts. Since 1992 when we operated eight Texas-based facilities, we have expanded our presence significantly and now operate 300 urgent care centers nationwide, where we collectively provide a variety of health care services. In addition to our freestanding urgent care centers, Concentra serves more than 130 private and public employers ranging in size from 300 to 30,000 employees by providing a broad range of health care and advisory services through more than 150 Concentra Onsite Centers across the country.

In 2010, Concentra became a wholly owned subsidiary of Humana, Inc. Recently, Humana signed a definitive agreement to sell Concentra to a joint venture between Select Medical Holdings Corporation, a leading operator of specialty hospitals and outpatient rehabilitation clinics in the U.S. and Welsh, Carson, Anderson & Stowe XII, L.P., a private equity fund. The transaction is expected to close during the second quarter of 2015, subject to regulatory clearance. However, we expect that this transaction will be seamless to our current and future client base. Throughout this process, Concentra will continue to focus on delivering the highest quality of occupational health, primary care, and urgent care services that has made Concentra a leader in the health care marketplace for the past three decades. In the table below, we identify Concentra's business and clinical executive leadership team, comprising clinical and operations professionals.

Keith Newton, President and Chief Executive Officer

In June, 2015, Keith Newton rejoined Concentra as President and Chief Executive Officer. He was President and Chief Operating Officer of the organization from 2007 to 2011 after serving in key management and operational roles. Keith's most recent experience (2011 to 2015) was as President and Chief Executive Officer of DentalOne Partners. From 1995 to 1999, Keith helped direct the operations of OccuSystems, Inc, one of the companies that merged to form Concentra in 1997, and from 1999 to 2007, he served as President of Concentra's Health Services division. Prior to joining Concentra in 1995, he worked for Columbia HCA's Ambulatory Surgery Division and its predecessor Medical Care International. Keith earned his BBA in accounting from Texas A&M University.

John Anderson, DO, FACOEM, FAHQ, Chief Medical Officer, Executive Vice President

John Anderson directs the delivery of medical care for the entire Concentra organization. He joined Concentra in 1993 and offers more than 35 years of experience in occupational medicine. He is responsible for overseeing the medical practice, assuring evidence-based health care, and supervising thousands of clinicians. Dr. Anderson is a Fellow of the American College of Occupational and Environmental Medicine (ACOEM) and board certified in both occupational medicine and health care quality management. He has served as an appointed member of the Michigan Workers' Compensation Advisory Council and Wayne State University's Occupational Medicine Resident Advisory Committee. He is a contributing author and co-editor of the reference textbook: Low Back Pain: An Evidence-Based, Biopsychosocial Model for Clinical Management. Dr. Anderson is a graduate of John Carroll University and Kansas City University of Medicine and Biosciences.

In addition the executive leadership team, our leadership team includes the professionals we have listed below. We can provide further information for a particular individual upon request.

<i>Donnie Venhaus CPA</i> Chief of Finance	<i>Craig Klopatek</i> Chief Information Officer	<i>John Levene</i> Senior Vice President, National Therapy Director
<i>Dani Kendall, SPHR</i> Vice President, Human Resources	<i>Kevin O'Janovac</i> Senior Vice President, Sales	<i>John Conser</i> Senior Vice President, Sales
<i>Gregory M. Gilbert</i> Senior Vice President, Reimbursement and Governmental Affairs	<i>Daryl Risinger</i> Senior Vice President, Product Development/Management	<i>Heidi Fritz</i> Senior Vice President, Operations