

## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a New Submission or Ocorrection or Update to previous submission.

*1. Name of person subn	nitting this disclos	ure form.				
First: Rodolfo		M.I. <u>J.</u>	_ Last:	Rivera	Suffix:	PE
2. Contract information.						
a) Contract or project na	me: On-Call Se	rvices for Traff	ic Engine	ering RFQ-TCI050	214CH	
b) Originating departme	nt: TRANS	SPORTATION	AND	CAPITAL	IMPROVEMENTS	
3. Name of individual(s)	or entity(ies) seeki	ng a contract v	vith the c	ity (i.e. parties to t	the contract).	
RJ RIVERA Associates, Inc.						
4. List any individual(s) o	or entity(ies) that is	a partner, pare	ent, joint	venture, or subsic	diary entity(ies) of the individual	or entity
Not applicable. Contra	acting party(ies) do	es not have pa	rtner, pa	rent, joint venture	e, or subsidiary entities.	
	ent, joint venture o				embers, executive committee mo	embers,
5. List any individuals or	antitias that will b	o subsantracte	er on thi	contract		
Not applicable. No sub						
Subcontractors may be					omission.	
List of subcontractors,	including the nam	e of the owner	(s), and b	usiness name:		
List SUB names here						
HDR Engineering, Inc.						
Vickrey & Associates, Inc.						
6. List any attorneys, lobl ontract.	byists, or consulta	nts retained by	any indi	viduals listed in Q	uestions 3, 4, or 5 to assist in seel	king this
∕Not applicable. No atto	orneys, lobbyists, c	or consultants h	nave bee	n retained to assis	t in seeking this contract.	
List of attorneys, lobby	ists, or consultants	retained to as	sist in see	king this contract	t:	





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Office of the City Clerk

#### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contri	bution	15
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### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

1	] am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
	Council or a city board/commission.

I am aware of the following conflict(s) of interest:





## City of San Antonio Contracts Disclosure Form

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#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

City board or commission?	ide, triat will be	a subcontractor	TOT CHIS CONCIACO	served on a
<b>√</b> No				
∐Yes				

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### Acknowledgements

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## 2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.





# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

*3. Contribution Prohibitions for "	'High-Profile" Contracts
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- O This is not a high-profile contract.
- This is a high-profile contract.
- ☑ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

☑ Lacknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code

	*C	ath	
	e statements contained in this Cont ief are true, correct, and complete.	racts Disclosure	Form, including any attachments, to the best of
Your Name: Rodolfo J. Ri	vera, PE	Title:	President
Company Name or DBA:	RJ RIVERA Associates, Inc.		Date: 05/28/2014

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:
Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966



Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a New Submission or Correction or Update to previous submission. \*1. Name of person submitting this disclosure form. Suffix: PE Last: Abad-Fitts First: Carmen M.I. 2. Contract information. On-Call Services for Traffic Engineering a) Contract or project name: b) Originating department: **Transportation and Capital Improvements** \*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). HDR Engineering, Inc. \*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3. Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: HDR, Inc. \*5. List any individuals or entities that will be subcontractors on this contract. ✓ Not applicable. No subcontractors will be retained for this contract. Subcontractors may be retained, but have not been selected at the time of this submission. List of subcontractors, including the name of the owner(s), and business name: 6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



Office of the City Clerk

## \*7. Disclosure of political contributions.

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- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Cindie Hernandez Whitehead	Ron Nirenberg Campaign	8/13/2012	\$100.00	Delete
Fred Arce	Julian Castro for Mayor Campaign	1/3/2013	\$500.00	Delete
HDR, Inc. Political Action Committee	Julian Castro for Mayor Campaign	3/4/2013	\$1,000.00	Delete
Cindie Hernandez Whitehead	Ron Nirenberg Campaign	4/23/2013	\$100.00	Delete
Cindie Hernandez Whitehead	Elisa Chan Campaign	4/24/2013	\$100.00	Delete
Cindie Hernandez Whitehead	Carlton Soules Campaign	4/24/2013	\$100.00	Delete
Fred Arce	Joaquin Castro Campaign	6/1/2013	\$900.00	Delete
Fred Arce	Rolando Briones Campaign	6/5/2013	\$144.00	Delete
HDR, Inc. Political Action Committee	Elisa Chan Campaign	6/17/2013	\$500.00	Delete
HDR, Inc. Political Action Committee	Ron Nirenberg Campaign	8/14/2013	\$500.00	Delete
Fred Arce	Bob Nichols Campaign	3/1/2013	\$100.00	Delete
Fred Arce	Kevin Wolff Campaign	4/1/2014	\$100.00	Delete
Carmen Abad-Fitts	Tommy Adkinson Campaign	4/30/2014	\$100.00	Delete
Fred Arce	Chico Rodriguez Campaign	5/1/2014	\$200.00	Delete
Carmen Abad-Fitts	Chico Rodriguez Campaign	5/23/2014	\$100.00	Delete

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.



Office of the City Clerk

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

a) Legal signatory of a high-profile contract

TO DO I CONTRACT I

- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

**Penalty.** A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

88. Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
☑No
□Ves

## Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own



Office of the City Clerk

will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

## **Acknowledgements**

*1.	U	pda	ates	Rea	uire	d
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I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## 2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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## \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.
- ✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

## \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.



Office of the City Clerk

		*Oa	th		
		e statements contained in this Contract of are true, correct, and complete.	cts Disclosure	Form, including a	any attachments, to the best of
Your Name:	Carmen Aba	d-Fitts, PE	Title:	Vice President	
Company Na	me or DBA:	HDR Engineering, Inc.			Date: 07/22/2014

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966





## City of San Antonio Contracts Disclosure Form Undate

Office of the City Clerk

Update
Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code. \*This is a \( \bigcap \) New Submission or \( \bigcap \) Correction or \( \bigcap \) Update to previous submission.

*1. Name of person submittin	g this disclosure form.			
First: Brenda	M.I	Last:	Johnson	Suffix:
*2. Contract information.				
a) Contract or project name:	City of San Antonio On	-Call Traffic	Engineering Servic	ces
b) Originating department:	Transportation a	ınd Capit	al Improvemer	nts
*3. Name of individual(s) or er	tity(ies) seeking a contrac	t with the ci	ty (i.e. parties to the	e contract).
N/A				
<ol> <li>List any individual(s) or entities</li> <li>listed in Question 3.</li> </ol>	tity(ies) that is a partner, pa	arent, joint	venture, or subsidia	ary entity(ies) of the individual or entity
✓ Not applicable. Contracting	g party(ies) does not have	partner, pa	ent, joint venture,	or subsidiary entities.
Names of partner, parent, jo and officers of each entity:	oint venture or subsidiary	entities, and	l all the board mem	nbers, executive committee members,
*5. List any individuals or entit	ties that will be subcontrac	tors on this	contract.	
✓ Not applicable. No subcon	tractors will be retained fo	r this contra	ict.	nission.
*5. List any individuals or entit  Not applicable. No subcont  Subcontractors may be reta  List of subcontractors, inclu	tractors will be retained fo sined, but have not been s	r this contra elected at t	ict. ne time of this subr	nission.
Not applicable. No subcon     Subcontractors may be reta     List of subcontractors, inclu	tractors will be retained fo nined, but have not been s ding the name of the own	r this contra elected at t er(s), and b	ict. ne time of this subr usiness name:	nission. estions 3, 4, or 5 to assist in seeking this
✓ Not applicable. No subcon Subcontractors may be reta List of subcontractors, inclu *6. List any attorneys, lobbyist	tractors will be retained for nined, but have not been s iding the name of the own s, or consultants retained	r this contra elected at t er(s), and b by any indiv	nct. The time of this subrusiness name: Priduals listed in Que	estions 3, 4, or 5 to assist in seeking this





## City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

#### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

☑ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of co
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### Updates on Contributions Required

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- b) Any Individual seeking a high-profile contract
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Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## 8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of	f any conflict(s) of interest i	ssues under Section 2-	43 or 2-44 of the City [	thics Code for memb	ers of City
Council or a city	board/commission.				
I am amora of the	following conflict/s) of inte	orock			

I am aware of the following conflict(s) of interest:





## City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

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City board or commission?	
√No	
□Yes	

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## City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

*3. C	ontribution	<b>Prohibitions</b>	for "High	-Profile"	Contracts
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- This is not a high-profile contract.
- This is a high-profile contract.
- ☑ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
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I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

		*0	ath	
		statements contained in this Cont of are true, correct, and complete.	racts Disclosure	Form, including any attachments, to the best of
Your Name:	Brenda Vickre	ey Johnson	Title:	President
Company Na	me or DBA:	Vickrey & Associates, Inc.		Date: 05/29/2014

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966