

# EXHIBIT I

## CITY OF SAN ANTONIO Department of Finance

### Summary and Recommendations Request for Qualifications to Provide Broker/Dealer Services FY 2017 & FY 2018

#### Firms With Capital Less Than \$20 Million

No.	Firm	Broker Name	Office Location
1	CastleOaks Securities, L.P.	Susan Schiefelbein	Portland, OR
2	Drexel Hamilton <sup>1</sup>	Steven Ivcic	New York, NY
3	Great Pacific Securities <sup>2</sup>	Christopher Vinck	Costa Mesa, CA
4	M.E. Allison & Co., Inc. <sup>3</sup>	Christopher R. Allison	San Antonio, TX

#### Firms With Capital Greater Than \$20 Million But Less Than \$500 Million

No.	Firm	Broker Name	Office Location
5	Multi-Bank Securities, Inc. <sup>4</sup>	Jim Powell	Southfield, MI
6	Loop Capital Markets <sup>5</sup>	Martin Trekas	Chicago, IL
7	Robert W. Baird & Co.	Larry Serota	Houston, TX
8	Vining Sparks IBG, LP	Darlyne B. Haba	Memphis, TN

#### Firms With Capital Greater Than \$500 Million

No.	Firm	Broker Name	Office Location
9	FTN Financial Capital Markets	Heather MacGregor	Miami, FL
10	Stifel, Nicolaus & Company, Inc.	Michael Bell	Dallas, TX
11	Wells Fargo Securities, LLC <sup>6</sup>	Michael Minahan	Dallas, TX
12	Daiwa Capital Markets America, Inc. <sup>6</sup>	Andres Tamayo	San Francisco, CA

<sup>1</sup> Certified as a Disabled Business Enterprise, Emerging Small Business Enterprise, Small Business Enterprise, and Veteran Business Enterprise firm based on the South Central Texas Regional Certification Agency, however, does not meet the City's qualifications for SBEDA firms.

<sup>2</sup> Certified as a Small Business Enterprise, Hispanic American Business Enterprise, and Minority-Owned Business Enterprise firm based on the South Central Texas Regional Certification Agency, however, does not meet the City's qualifications for SBEDA firms.

<sup>3</sup> Certified as a Emerging Small Business Enterprise and Small Business Enterprise firm based on the South Central Texas Regional Certification Agency. Firm is headquartered in San Antonio.

<sup>4</sup> Certified as a Veteran Business Enterprise firm based on the South Central Texas Regional Certification Agency.

<sup>5</sup> Certified as an African American Business Enterprise and Minority-Owned Business Enterprise firm based on the South Central Texas Regional Certification Agency, however, does not meet the City's qualifications for SBEDA firms.

<sup>6</sup> Designated as a Primary Dealer by the New York Federal Reserve.