

FY2016 Annual Audit Plan - December 31  
As of 12/31/2015

ID	Audit Project Number	Audit Department	Audit Project	Budget Hours	Actual Hours	Planned or Actual	Current Status	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16	Apr '16	May '16	Jun '16	Jul '16	Aug '16	Sep '16
1	AU16-001	ACS	ACS Metrics	500	1.0	Planned													
2						Actual													
3																			
4	AU16-002	CCDO	Downtown Public Improvement	1,000	362.5	Planned	Planning												
5						Actual													
6																			
7	AU16-003	CCDO	Parking Operations	900	29.0	Planned													
8						Actual													
9																			
10	AU16-004	CCDO	HPARC	1,000	3.5	Planned													
11						Actual													
12																			
13	AU16-005	CCDO &Cu	Property Management	500		Planned													
14						Actual													
15																			
16	AU16-006	City Clerk	Elections	250	3.0	Planned													
17						Actual													
18																			
19	AU16-007	City Council	Council Expenses	400		Planned													
20						Actual													
21																			
22	AU16-008	Human Ser	Senior Service Centers	750		Planned													
23						Actual													
24																			
25	AU16-009	Human Ser	Delegate Agency Monitoring	750		Planned													
26						Actual													
27																			
28	AU16-010	EastPoint	EastPoint Funding	750		Planned													
29						Actual													
30																			
31	AU16-011	EDD	SBEDA Goal Setting	250		Planned													
32						Actual													
33																			
34	AU16-012	Finance	Citywide Inventory Management	750		Planned													
35						Actual													
36																			
37																			

Planned is Green

Actual is Blue

Planning

Fieldwork



Reporting

Mgmt's Response



Planning

Fieldwork



Reporting

Mgmt's Response



FY2016 Annual Audit Plan - December 31  
As of 12/31/2015

ID	Audit Project Number	Audit Department	Audit Project	Budget Hours	Actual Hours	Planned or Actual	Current Status	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16	Apr '16	May '16	Jun '16	Jul '16	Aug '16	Sep '16
38	AU16-013	Health	PII and PHI Data Security	750		Planned													
39						Actual													
40																			
41	AU16-014	ITSD	Traffic Signal System Security	750		Planned													
42						Actual													
43																			
44	AU16-015	ITSD	IT Resources	750	215.0	Planned	Planning												
45						Actual													
46																			
47	AU16-016	ITSD	Mobile Computing Security	600		Planned													
48						Actual													
49																			
50	AU16-017	ITSD	Wireless Security	600	288.0	Planned	Fieldwork												
51						Actual													
52																			
53	AU16-018	ITSD	Network Infrastructure Security	600		Planned													
54						Actual													
55																			
56	AU16-019	ITSD	IT Licensing	1,000		Planned													
57						Actual													
58																			
59	AU16-020	Municipal C	Caseload Management	750		Planned													
60						Actual													
61																			
62	AU16-021	SAFD	Public Safety Deployment	750		Planned													
63						Actual													
64																			
65	AU16-022	SAFD/ SAP	911 Application Controls and	1,000	629.5	Planned	Fieldwork												
66						Actual													
67																			
68	AU16-023	SAFD/ SAP	Public Safety Response Time	1,000		Planned	Fieldwork												
69						Actual													
70																			
71	AU16-024	SAPD	Public Safety Crisis Response	750	186.5	Planned	Planning												
72						Actual													
73																			
74																			

Planned is Green

Actual is Blue

Planning

Fieldwork



Reporting

Mgmt's Response



Planning

Fieldwork



Reporting

Mgmt's Response



FY2016 Annual Audit Plan - December 31  
As of 12/31/2015

ID	Audit Project Number	Audit Department	Audit Project	Budget Hours	Actual Hours	Planned or Actual	Current Status	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16	Apr '16	May '16	Jun '16	Jul '16	Aug '16	Sep '16
75	AU16-025	SAPD	Armory Inventory Managemen	500		Planned													
76						Actual													
77																			
78	AU16-026	SWMD	Variable Rate Pricing	900		Planned													
79						Actual													
80																			
81	AU16-027	TCI	Sidewalks Infrastructure	750		Planned													
82						Actual													
83																			
84	AU16-028	TCI	Storm Water Fees	900		Planned													
85						Actual													
86																			
87	AU16-029	TCI	Advanced Transportation Dis	500	401.0	Planned	Reporting												
88						Actual													
89																			
90	AU16-F01	Planning &	TIRZ Operations	500	402.0	Planned	Fieldwork												
91						Actual													
92																			
93	AU16-F02	Library	Fines and Fees Collections	500		Planned													
94						Actual													
95																			
96	AU16-F03	CSF	Elevator & Escalator Mainte	500		Planned													
97						Actual													
98																			
99	AU16-F04	SAPD	Off-Duty Employment	500		Planned													
100						Actual													
101																			
102	AU16-F05	SAPD	Alarm Permitting	400		Planned													
103						Actual													
104																			
105	AU16-F06	Finance	Payroll	500	339.5	Planned	Reporting												
106						Actual													
107																			
108	AU16-F07	Finance	Payment Card Security	500	161.5	Planned	Fieldwork												
109						Actual													
110																			
111																			

Planned is Green

Actual is Blue

Planning

Fieldwork



Reporting

Mgmt's Response



Planning

Fieldwork



Reporting

Mgmt's Response



FY2016 Annual Audit Plan - December 31  
As of 12/31/2015

ID	Audit Project Number	Audit Department	Audit Project	Budget Hours	Actual Hours	Planned or Actual	Current Status	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16	Apr '16	May '16	Jun '16	Jul '16	Aug '16	Sep '16
112	AU16-F08	ITSD	Citrix Security	500		Planned													
113						Actual													
114																			
115	AU16-C01	ITSD	Contract Compliance	500		Planned													
116						Actual													
117																			
118	AU16-C02	ITSD	Contract Compliance	500	154.0	Planned	Fieldwork												
119						Actual													
120																			
121	AU16-C03	Finance	Contract Compliance	500	303.0	Planned	Fieldwork												
122						Actual													
123																			
124	AU16-C04	CSF	Contract Compliance	500	14.0	Planned													
125						Actual													
126																			
127	AU16-C05	Municipal C	Contract Compliance	500		Planned													
128						Actual													
129																			
130	AU15-003	City Center	Parking Enforcement	500	127.0	Planned	Reporting												
131						Actual													
132																			
133	AU15-009	Finance	Right of Way Charges to Telecom Companies	1,000	174.5	Planned	Planning												
134						Actual													
135																			
136	AU15-011	Finance	Utility Reporting Requirements	1,000	251.0	Planned	Reporting												
137			Compliance			Actual													
138																			
139	AU15-015	HR	Health Insurance Management	500	25.0	Planned	Mgmt Res												
140						Actual													
141																			
142	AU15-016	HR / ITSD	IT User Application Controls	800	5.0	Planned	Reporting												
143						Actual													
144																			
145																			
146	AU15-019	SAMHD	Immunization Div. - Processes	1,000	831.5	Planned	Fieldwork												
147			Efficiencies, Workloads & Metrics			Actual													
148																			

Planned is Green

Actual is Blue

Planning

Fieldwork



Reporting

Mgmt's Response



Planning

Fieldwork



Reporting

Mgmt's Response



FY2016 Annual Audit Plan - December 31  
As of 12/31/2015

ID	Audit Project Number	Audit Department	Audit Project	Budget Hours	Actual Hours	Planned or Actual	Current Status	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16	Apr '16	May '16	Jun '16	Jul '16	Aug '16	Sep '16	
149	AU15-029	TCI	HBGCC Contingency Fund	700	337.0	Planned	Reporting													
150						Actual														
151																				
152	AU15-030	Aviation	Aviation Leases	650	467.75	Planned	Fieldwork													
153						Actual														
154																				
155	AU15-F02	Finance	P-Card	750	248.5	Planned	Mgmt Res													
156						Actual														
157																				
158																				
159																				
160			<b>Audits Completed</b>																	
161																				
162	AU16-A01	SAPD	State Reporting	400	386.25	Planned	Complete													
163						Actual														
164																				
165	AU15-001	Aviation	Concessions	500	5.5	Planned	Complete													
166						Actual														
167																				
168	AU15-002	Aviation	Parking Revenues	500	7.0	Planned	Complete													
169						Actual														
170																				
171	AU15-013	Finance/HR	Workers' Comp Fund	500	13.0	Planned	Complete													
172						Actual														
173																				
174	AU15-014	HR	Hiring Practices/Processes	500	11.0	Planned	Complete													
175						Actual														
176																				
177	AU15-018	ITSD	IT Network Access Controls	1,000	11.0	Planned	Complete													
178						Actual														
179																				
180	AU15-022	SAFD	Hazmat Inspections / Permitt	500	30.0	Planned	Complete													
181						Actual														
182																				
183	AU15-024	SAPD	Alarm Permits	500	5.0	Planned	Complete													
184						Actual														
185																				

**Planned is Green**  
**Actual is Blue**

Planning		Reporting		Planning		Reporting	
Fieldwork		Mgmt's Response		Fieldwork		Mgmt's Response	

FY2016 Annual Audit Plan - December 31  
As of 12/31/2015

ID	Audit Project Number	Audit Department	Audit Project	Budget Hours	Actual Hours	Planned or Actual	Current Status	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16	Apr '16	May '16	Jun '16	Jul '16	Aug '16	Sep '16
								186	AU15-025	SWMD	Recycling Contract	500	178.0	Planned	Complete				
187						Actual													
188																			
189	AU15-F03	SAFD	New Building Fire Inspection	400	8.0	Planned	Complete												
190						Actual													

<b>Planned is Green</b>	Planning		Reporting		Planning		Reporting	
	Fieldwork		Mgmt's Response		Fieldwork		Mgmt's Response	