

CERTIFICATE OF INTERESTED PARTIES

FORM 1295

1 of 2

Complete Nos. 1 - 4 and 6 if there are interested parties.
 Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.

OFFICE USE ONLY CERTIFICATION OF FILING

1 Name of business entity filing form, and the city, state and country of the business entity's place of business.
 Coastal Securities, Inc.
 Houston, TX United States

Certificate Number:
 2016-27961

Date Filed:
 03/18/2016

2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.
 City of San Antonio

Date Acknowledged:

3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the goods or services to be provided under the contract.
 RFP-016-004 / 6100006762
 Financial Advisory Services

4	Name of Interested Party	City, State, Country (place of business)	Nature of interest (check applicable)	
			Controlling	Intermediary
	Van Pelt, Bill	Houston, TX United States	X	
	Desroches, Rod	Houston, TX United States	X	
	LaPorte, Sam	Elizabethton, TN United States	X	
	LaPorte, Joe	Elizabethton, TN United States	X	
	LaPorte, Chris	Houston, TX United States	X	
	Melton, Chris	Spring, TX United States	X	
	Komar, D. Ann	Houston, TX United States	X	
	Folk, Brian	Spring, TX United States	X	
	Coastal Financial Holdings, Inc. ESOP	Houston, TX United States	X	
	Coastal Securities, Inc.	Houston, TX United States	X	

