

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

This is a New Submission or Correction or Update to previous submission.

*1. Name of person submitting this disclosure form:

First: Lauren M.I. Last: Baran Suffix:

*2. Contract Information:

a) Contract or project name: River Barge Concession Services

b) Originating department: City Center Development and Operations Department

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract):

San Antonio River Cruises, Inc., a Texas corporation wholly-owned by Entertainment Cruises Inc.

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity; See Schedule I attached for information regarding San Antonio River Cruises, Inc.'s parent entity.

*5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name; See Schedule II attached for a list of subcontractors.

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract: Dykema Cox Smith; Bracewell LLP; Aguilon & Associates, LLC; Mauro Archer.

*Updated information noted in italics.



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*7: Disclosure of political contributions

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions: See Schedule III attached.

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8: Disclosure of conflict of interest

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

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*9. Prohibited Interest In Contracts

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

No

Yes

Notice Regarding Prohibited Interest In Contracts

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see [Section 141 of the City Charter](#) and [Section 2-52 of the City Ethics Code \(Prohibited Interests In Contracts\)](#) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see [Section 2-58 of the City Ethics Code \(Prohibited Interest In Discretionary Contracts\)](#) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff; (210) 207-8940.

Acknowledgements

*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in [Section 2-61 of the City Ethics Code](#) by respondents or their agents may lead to disqualification of their offer from consideration.

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*3. Contribution Prohibitions for "High-Profile" Contracts

This is not a high-profile contract.

This is a high-profile contract.

I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.

I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name:

Title:

cfo

Company Name or DBA:

San Antonio River Cruises, Inc.

Date:

5/16/17

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

Schedule I

Additional Information Responsive to Contracts Disclosure Form Question 4

Entertainment Cruises Inc. is the sole owner and parent entity of San Antonio River Cruises, Inc. (respondent to the RFP). Director and officer information for Entertainment Cruises Inc. is as follows:

Officers of Entertainment Cruises Inc.	
Office	Holder
President & CEO	Kenneth Svendsen
CFO & Secretary	Lauren Baran
Vice President & Assistant Secretary	Paul Belforti

Directors of Entertainment Cruises Inc.
J.B. Pritzker
Anthony Pritzker
Kenneth Svendsen

None of the attorneys or consultants listed in Section 6 of this Contracts Disclosure Form, nor any of their employees, including, without limitation, Phil Hardberger, have any current or prospective ownership interest in San Antonio River Cruises, Inc., Entertainment Cruises Inc., or any of their affiliates.

Schedule II

List of Subcontractors

Tri-Starr Personnel, LLC, owned by Kandace Hawkins and Pam Vaught.

Schedule III

Additional Disclosures

1. No campaign or officeholder contributions have been made in the preceding 24 months by the individuals described in (a) through (e) of Section 7 of the Contracts Disclosure Form.

2. Contributions made by individuals described in (f) of Section 7 of the Contracts Disclosure Form are as follows:

(a) Prior to engagement, Dykema Cox Smith attorneys working on this matter made officeholder contributions in the preceding 24 months as follows:

Attorney	Date of Contribution	Name	Position	Amount (\$)
Phil Hardberger	04-27-2015	Joe Krier	Council District #9	250
Phil Hardberger	12-10-2015	Shirley Gonzales	Council District #5	100
Phil Hardberger	10-03-2016	Rey Saldana	Council District #4	500

(b) In addition to the foregoing contributions, Dykema Cox Smith is aware that several Dykema Cox Smith attorneys who have not been involved in this matter hosted a fundraiser for Mayor Ivy Taylor on November 1, 2016 (prior to Dykema Cox Smith's engagement). Dykema Cox Smith is not aware of any other contributions. We reserve the right to supplement this disclosure if any additional contributions by Dykema Cox Smith attorneys are discovered.

(c) Prior to engagement, Melissa Aguillon of Aguillon & Associates, LLC, made officeholder contributions in the preceding 24 months as follows:

Date of Contribution	Name	Amount (\$)
08-06-2015	Maricella Aguirre-Rodriguez	300
04-06-2016	Mayor Ivy Taylor	100
06-22-2016	Councilman Ron Nirenberg, District 8	250