

**From:** Mark R. Mosley [<mailto:Mark.Mosley@txdot.gov>]

**Sent:** Wednesday, December 19, 2018 1:58 PM

**To:** Sean Strong (TCI)

**Cc:** LeAnn Lindquist-Thome (TCI); David McBeth (TCI); Kris Long; Jonathan Bean

**Subject:** [EXTERNAL] FW: North New Braunfels Avenue (Comprehensive Drainage Study); Re; 0016-08-041

Sean,

Please see the attached AFA for the CoSA donation towards the LP 368 Hydraulic analysis ready for partial execution.

Please print and execute 2 copies of the AFA. Return both executed originals to;

Texas Department of Transportation  
Attention Kris Long, TP&D  
4615 NW Loop 410  
San Antonio, Texas 78229-0928

Please give us an email heads up so we know to expect it.

If you're interested in executing electronically please let me know and we can facilitate that. Attached is some info on that process. It allows for quicker execution.

If you have any other questions, please let us know.

Thanks,

Mark

**STATE OF TEXAS**           §

**COUNTY OF TRAVIS**       §

**LOCAL PROJECT ADVANCE FUNDING AGREEMENT FOR  
VOLUNTARY LOCAL GOVERNMENT CONTRIBUTIONS  
TO TRANSPORTATION IMPROVEMENT  
PROJECTS WITH NO REQUIRED MATCH**

**THIS AGREEMENT** is made by and between the State of Texas, acting through the Texas Department of Transportation, called the "State", and City of San Antonio, acting by and through its duly authorized officials, called the "Local Government."

**WITNESSETH**

**WHEREAS**, Texas Transportation Code, Chapter 201 and 222 authorizes the State to lay out, construct, maintain, and operate a system of streets, roads, and highways that comprise the State Highway System; and,

**WHEREAS**, Texas Government Code Chapter 791 and Texas Transportation Code §201.209 and Chapter 221, authorizes the State to contract with municipalities and political subdivisions; and,

**WHEREAS**, Texas Transportation Commission Minute Order Number 115291 authorizes the State to undertake and complete a highway improvement generally described as roadway rehabilitation and complete streets improvements on LP 368 from New Braunfels St. to Burr Rd. ; and,

**WHEREAS**, the Local Government has requested that the State allow the Local Government to participate in said improvement by funding that portion of the improvement described as Hydrologic/Hydraulic analysis/design study of North New Braunfels that is part of the preliminary engineering for LP 368 project, called the "Project"; and,

**WHEREAS**, the State has determined that such participation is in the best interest of the citizens of the State;

**NOW, THEREFORE**, in consideration of the premises and of the mutual covenants and agreements of the parties hereto, to be by them respectively kept and performed as hereinafter set forth, the State and the Local Government do agree as follows:

**AGREEMENT**

**1. Time Period Covered**

The period of this Local Project Advance Funding Agreement (LPAFA) is as stated in the Master Agreement Governing Local Transportation Project Advance Funding Agreements (MAFA), without exception. The State and Local Government will consider this LPAFA to be in full force and effect until all funds contributed by the Local Government have been expended on the Project.

**2. Project Funding and Work Responsibilities**

The State will authorize the performance of only those Project items of work which the Local Government has requested and has agreed to pay for as described in Attachment A, Payment

Provision and Work Responsibilities which is attached to and made a part of this contract. In addition to identifying those items of work paid for by payments to the State, Attachment A, Payment Provision and Work Responsibilities, also specifies those Project items of work that are the responsibility of the Local Government and will be carried out and completed by the Local Government, at no cost to the State.

### **3. Payment of Funds**

Whenever funds are paid by the Local Government to the State under this agreement, the Local Government shall remit a check or warrant made payable to the "Texas Department of Transportation." The check or warrant shall be deposited by the State and managed by the State. The funds may only be applied by the State to the Project. If after final Project accounting excess funds remain, those funds may be applied by the State to the Local Government's contractual obligations to the State under another advance funding agreement with approval by appropriate personnel of the Local Government.

### **4. Right of Access**

If the Local Government is the owner of any part of the Project site, the Local Government shall permit the State or its authorized representative access to the site to perform any activities required to execute the work.

### **5. Adjustments Outside the Project Site**

The Local Government will provide for all necessary right of way and utility adjustments needed for performance of the work on sites not owned or to be acquired by the State.

### **6. Responsibilities of the Parties**

Responsibilities of the Parties will be under the conditions as provided for in the MAFA, without exception.

### **7. Document and Information Exchange**

The Local Government agrees to electronically deliver to the State all general notes, specifications, contract provision requirements and related documentation in a Microsoft® Word or similar document. If requested by the State, the Local Government will use the State's document template. The Local Government shall also provide a detailed construction time estimate including types of activities and month in the format required by the State. This requirement applies whether the local entity creates the documents with its own forces or by hiring a consultant or professional provider. At the request of the State, the Local Government shall submit any information required by the State in the format directed by the State.

### **8. Inspection and Conduct of Work**

Unless otherwise specifically stated in Attachment A, Payment Provision and Work Responsibilities, to this contract, the State will supervise and inspect all work performed hereunder and provide such engineering inspection and testing services as may be required to ensure that the Project is accomplished in accordance with the approved plans and specifications. All correspondence and instructions to the contractor performing the work will be the sole responsibility of the State. Unless otherwise specifically stated in Attachment A to this contract, all work will be performed in accordance with the Standard Specifications for

Construction and Maintenance of Highways, Streets, and Bridges adopted by the State and incorporated in this agreement by reference, or special specifications approved by the State.

**9. Increased Cost**

State will be responsible for any funds necessary to cover additional costs.

**10. Maintenance**

Project maintenance will be under the conditions as provided for in the MAFA, without exception.

**11. Termination**

Termination of this LPAFA shall be under the conditions as stated in the MAFA, without exception.

**12. Notices**

Notices of this LPAFA shall be under the conditions as stated in the MAFA, without exception.

<b>Local Government:</b>	<b>State:</b>
City of San Antonio ATTN: City Manager PO Box 839966 San Antonio, TX 78283	Legal Documents: Texas Department of Transportation ATTN: Director of Contract Services 125 E. 11 <sup>th</sup> Street Austin, Texas 78701  Billing Inquiries: Texas Department of Transportation ATTN: Director of Transportation and Planning Development 4615 NW Loop 410 San Antonio, Texas 78229-5126

**13. Successors and Assigns**

The State and the Local Government each binds itself, its successors, executors, assigns, and administrators to the other party to this agreement and to the successors, executors, assigns, and administrators of such other party in respect to all covenants of this agreement.

**14. Amendments**

Amendments to this LPAFA shall be made as described in the MAFA, without exception.

**15. Incorporation of Master Agreement Provisions**

This LPAFA incorporates all relevant provisions of the MAFA in effect on the date of final execution of this LPAFA, unless such MAFA provision is specifically excepted in this agreement. Any conflict between the terms of the MAFA and this LPAFA shall be governed and controlled by this LPAFA.

**16. State Auditor**

The state auditor may conduct an audit or investigation of any entity receiving funds from the State directly under the contract or indirectly through a subcontract under the contract. Acceptance of funds directly under the contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. An entity that is the subject of an audit or investigation must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit.

**17. Insurance**

If this agreement authorizes the Local Government or its contractor to perform any work on State right of way, before beginning work the entity performing the work shall provide the State with a fully executed copy of the State's Form 1560 Certificate of Insurance verifying the existence of coverage in the amounts and types specified on the Certificate of Insurance for all persons and entities working on State right of way. This coverage shall be maintained until all work on the State right of way is complete. If coverage is not maintained, all work on State right of way shall cease immediately, and the State may recover damages and all costs of completing the work.

**18. Signatory Warranty**

Each signatory warrants that the signatory has necessary authority to execute this agreement on behalf of the entity represented.

**THIS AGREEMENT IS EXECUTED** by the State and the Local Government in duplicate.

**THE LOCAL GOVERNMENT**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**THE STATE OF TEXAS**

\_\_\_\_\_  
Mario Jorge, P.E.  
District Engineer

\_\_\_\_\_  
Date

**Attachment A**  
**PAYMENT PROVISION AND WORK RESPONSIBILITIES**

The Local Government shall pay \$300,000 to the State on or before 60 days following the execution of this document towards the LP368 project. These funds will be applied towards the Hydrologic/Hydraulic analysis/design study of North New Braunfels that is part of the preliminary engineering for the Project.

The State will perform the on-system work.

**WORK RESPONSIBILITIES:**

**1. PS&E Responsibilities**

The State will be responsible for the PS&E.

**2. Construction Responsibilities**

The State will be responsible for the construction.

**3. Right of Way and Real Property**

The State will be responsible for the acquisition of Right of Way and Real Property.

**4. Utilities**

The State will be responsible for the adjustment, removal, or relocation of utility facilities.

**5. Architectural and Engineering Services**

The State will be responsible for the performance of architectural and engineering services.

**6. Environmental Assessment and Mitigation**

The State will be responsible for the Environmental Assessment and Mitigation