\* = Required fields



### City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

**Update**Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

*This is a   New Submission or   Correction or   Update to previous submission.				
*1. Name of person submitting this disclosure form.				
First: Jim	M.I	Last:	Etherton	Suffix:
*2. Contract information.				
a) Contract or project name:	Airport General Engine	ering Cons	ultant (GEC) Serv	ices On Call, RFQ #A2013-06-21
b) Originating department:	Aviation Department			
*3. Name of individual(s) or enti	ty(ies) seeking a contract	with the c	ity (i.e. parties to	the contract).
URS Corporation				
*4. List any individual(s) or entit listed in Question 3.	y(ies) that is a partner, pa	arent, joint	venture, or subsi	diary entity(ies) of the individual or entity
✓ Not applicable. Contracting  Names of partner, parent, joi  and officers of each entity:				e, or subsidiary entities. embers, executive committee members,
*5. List any individuals or entition	es that will be subcontrac	tors on thi	s contract.	
Not applicable. No subcontractors will be retained for this contract.				
Subcontractors may be retained, but have not been selected at the time of this submission.				
✓ List of subcontractors, includ	ing the name of the own	er(s), and b	ousiness name:	
	nsulting; Freese & Nichol	s; Geodetix		gineering Consultants; CNG Engineering; la; Leibowitz Horton AMC; RVK Architects;
*6. List any attorneys, lobbyists	or consultants retained h	by any indi	viduals listed in (	Questions 3, 4, or 5 to assist in seeking this
contract.				
✓ Not applicable. No attorney	•			<b>J</b>
List of attorneys, lobbyists, o	r consultants retained to	assist in se	eking this contra	ct:



Office of the City Clerk

### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
- ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Garland Galm (CEC)	Kevin Wolff Campaign	12/01/2011	\$1,500.00	Delete
Don Durden (CEC)	Justin Rodriguez Campaign	02/14/2012	\$250.00	Delete
Kenneth Thomas (CEC)	Julian Castro for Mayor	05/14/2012	\$500.00	Delete
Don Durden (CEC)	Julian Castro for Mayor	05/16/2012	\$500.00	Delete
Don Durden (CEC)	Tommy Atkisson Campaign	06/26/2012	\$1,000.00	Delete
Don Durden (CEC)	Philip Cortez Campaign	10/25/2012	\$250.00	Delete
Garland Galm (CEC)	Kevin Wolff Campaign	11/01/2012	\$250.00	Delete
Don Durden (CEC)	Ron Nirenberg Campaign	04/11/2013	\$500.00	Delete
Kenneth Thomas (CEC)	Julian Castro Campaign	04/12/2013	\$1,000.00	Delete
Kenneth Thomas (CEC)	Rey A. Saldana Campaign Fund	04/24/2013	\$250.00	Delete
Kenneth Thomas (CEC)	Chris Medina Campaign	04/24/2013	\$250.00	Delete
Don Durden (CEC)	Elisa Chan Campaign	04/24/2013	\$500.00	Delete
Kenneth Thomas (CEC)	Diego Bernal Campaign	04/27/2013	\$250.00	Delete
Don Durden (CEC)	Leticia Ozuna Campaign	04/28/2013	\$500.00	Delete
Don Durden (CEC)	Carlton Soules Campaign	04/28/2013	\$500.00	Delete
Don Durdern (CEC)	Ray Lopez Campaign	04/28/2013	\$500.00	Delete
Don Durden (CEC)	Paul Elizondo Campaign	05/03/2013	\$2,500.00	Delete
Travis Wiltshire (CNG)	lvy Taylor	11/2012	\$250.00	Delete



Office of the City Clerk

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- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
- ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Richard Kelley (F&N)	Mayor - Julian Castro	04/07/2013	\$500.00	Delete
Richard Kelley (F&N)	City Council District 9 Elisa Chan	04/07/2013	\$200.00	Delete
Richard Kelley (F&N)	City Council District 8 Ron Nirenberg	04/07/2013	\$100.00	Delete
George Vaughn (RVK)	Elisa Chan	12/08/2011	\$500.00	Delete
George Vaughn (RVK)	Kevin Wolff	12/08/2011	\$400.00	Delete
George Vaughn (RVK)	Susan Pamerlean	12/08/2011	\$125.00	Delete
George Vaughn (RVK)	Elisa Chan	02/26/2013	\$500.00	Delete
George Vaughn (RVK)	Elisa Chan	06/20/2013	\$500.00	Delete
David T. Covarrubias (SEA)	Julian Castro	05/22/2013	\$1,000.00	Delete
David T. Covarrubias (SEA)	Leticia Ozuna	03/19/2013	\$500.00	Delete
Jesse S. Covarrubias (SEA)	Leticia Ozuna	04/11/2013	\$250.00	Delete
David T. Covarrubias (SEA)	Rolando Briones	04/15/2013	\$250.00	Delete
Jesse S. Covarrubias (SEA)	Rolando Briones	04/15/2013	\$250.00	Delete

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.



Office of the City Clerk

### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

#### Notice Regarding Prohibited Interest in Contracts.

✓ No ☐ Yes

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City



Office of the City Clerk

contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see <u>Section 141 of the City Charter</u> and <u>Section 2-52</u> of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### **Acknowledgements**

#### \*1. <u>Updates Required</u>

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.
- ✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

\* = Required fields



# City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

#### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath					
✓ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
Your Name: Jim Etherton	Title: Vice President				
Company Name or DBA: URS Corpora	ion <b>Date:</b> 11/07/2013				

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966

San Antonio, Texas 78283-3966