

Project: FY2021 Annual Audit Plan  
As of 10/31/2020

ID	Audit Project	Audit Department	Audit Project	Budget Hours	Planned or	Current Status	Oct '20	Nov '20	Dec '20	Jan '21	Feb '21	Mar '21	Apr '21	May '21	Jun '21	Jul '21	Aug '21	Sep '21	Oct '21	Nov '21
1																				
2	AU21-001	Citywide	Recovery and Resiliency	900	Planned															
3			Workforce Development		Actual	Planning														
4																				
5	AU21-002	Citywide	Recovery and Resiliency	800	Planned															
6			Housing Security		Actual															
7																				
8	AU21-003	Citywide	Recovery and Resiliency	400	Planned															
9			Small Business Support		Actual															
10																				
11	AU21-004	Citywide	Recovery and Resiliency	400	Planned															
12			Digital Inclusion		Actual															
13																				
14	AU21-005	Citywide	COVID-19 Response	400	Planned															
15					Actual															
16																				
17	AU21-006	Citywide	COVID-19 Response	400	Planned	Planning														
18					Actual															
19																				
20	AU21-007	Citywide	Controls related to Remote Working	500	Planned															
21					Actual															
22																				
23	AU21-008	Aviation	Billing & Collections for Leases	400	Planned															
24					Actual															
25																				
26	AU21-009	BESD	Light Vehicle Replacement & Maintenance Program	500	Planned															
27					Actual															
28																				
29	AU21-010	DHS	Delegate Agencies	600	Planned															
30					Actual															
31																				
32	AU21-011	DSD	Accela Point of Sale System Phase 2	600	Planned															
33					Actual															
34																				
35	AU21-012	EDD	Economic Incentive Monitoring	300	Planned															
36					Actual															

Planned is Green

Actual is Blue

Planning		Reporting		Planning		Reporting	
Fieldwork		Mgmt's Response		Fieldwork		Mgmt's Response	

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37																				
38	AU21-013	Finance	Accounts Receivable	400	Planned															
39					Actual															
40																				
41	AU21-014	Finance	Procurement Electronic Submissions	400	Planned															
42					Actual															
43																				
44	AU21-015	Finance	P-Card Program	400	Planned	Planning														
45					Actual															
46																				
47	AU21-016	Fire	Mobile Integrated Health (MIH) Unit	600	Planned															
48					Actual															
49																				
50	AU21-017	Fire	Fleet Management	600	Planned															
51					Actual															
52																				
53	AU21-018	ITSD	Contingency Planning	400	Planned															
54					Actual															
55																				
56	AU21-019	ITSD/Finance	Payment Card Industry (PCI) Security	400	Planned															
57					Actual															
58																				
59	AU21-020	Parks	Park Maintenance	600	Planned															
60					Actual															
61																				
62	AU21-021	Police	SA Free Environment (SAFFE) Officer Program	600	Planned															
63					Actual															
64																				
65	AU21-022	Police	Uniform Crime Reporting	400	Planned															
66					Actual															
67																				
68	AU21-023	Police/Health	Crisis Response Team	600	Planned															
69					Actual															
70																				
71	AU21-024	Police	Hold for potential requests	1,000	Planned															
72					Actual															

<b>Planned is Green</b>  <b>Actual is Blue</b>	Planning  Reporting 	Planning  Reporting 
	Fieldwork  Mgmt's Response 	Fieldwork  Mgmt's Response 

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73																				
74	AU21-025	Public Works	Capital Project Overhead	400	Planned															
75			Cost Allocation Model		Actual															
76																				
77	AU21-026	Public Works	Broadway Project	600	Planned															
78					Actual															
79																				
80	AU21-027	Public Works	Storm Water - Fee In Lie	400	Planned	Planning														
81			(FILO) Program		Actual															
82																				
83	AU21-028	Public Works	City Hall Renovation	600	Planned															
84					Actual															
85																				
86	AU21-029	Public Works	Sidewalk Costs	400	Planned															
87					Actual															
88																				
89	AU21-030	SWMD	Household Hazardous W	400	Planned	Planning														
90			Contract and Operations		Actual															
91																				
92	AU21-031	ITSD	Security Training Awarer	40	Planned	Planning														
93					Actual															
94																				
95	AU21-A01	Police	Asset Seizure & Forfeitur	350	Planned	Planning														
96					Actual															
97																				
98	AU20-001	311	311 Operations & 311 Ap	700	Planned	Planning														
99					Actual															
100																				
101	AU20-003	ACS	Contract Management	450	Planned	Management														
102			Paul Jolly Center		Actual	Response														
103																				
104	AU20-004	Aviation	Facilities Maintenance	700	Planned	Fieldwork														
105					Actual															
106																				
107	AU20-006	CCDO	Riverwalk Leases	500	Planned	Planning														
108					Actual															

Planned is Green

Planning



Reporting



Planning



Reporting



Actual is Blue

Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



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109																				
110	AU20-007	City Clerk	Vital Records	550	Planned	Fieldwork														
111					Actual															
112																				
113	AU20-011	Finance	Citywide Payroll	700	Planned	Planning														
114					Actual															
115																				
116	AU20-012	Finance	Accounts Payable	700	Planned	Fieldwork														
117					Actual															
118																				
119	AU20-013	Fire	Overtime Authorizations	600	Planned	Reporting														
120					Actual															
121																				
122	AU20-018	ITSD	Segregation of Duties	500	Planned	Planning														
123					Actual															
124																				
125	AU20-019	ITSD	E-mail Security	500	Planned	Fieldwork														
126					Actual															
127																				
128	AU20-020	ITSD	Cloud Security (Storage and Processing)	500	Planned	Management														
129					Actual	Response														
130																				
131	AU20-022	NHSD	Under One Roof Program	400	Planned	Fieldwork														
132					Actual															
133																				
134	AU20-028	Police	Alarm Permits	500	Planned	Management														
135					Actual	Response														
136																				
137	AU20-030	Police	Overtime Authorizations	600	Planned	Planning														
138					Actual															
139																				
140	AU20-031	ORM	Safety Programs	600	Planned	Reporting														
141					Actual															
142																				
143	AU20-034	TCI	Equipment & Supply	500	Planned	Reporting														
144			Inventory Management		Actual															

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146	AU20-038	Public Works	Pavement Grading	500	Planned	Fieldwork														
147					Actual															
148																				
149	AU19-C01	CCDO	Centro SA Contract	750	Planned	Reporting														
150					Actual															
151																				
152																				
153			<b>Follow-Up Audits</b>																	
154																				
155	AU21-F01	Police	Aviation Police Operator	300	Planned															
156			Follow-up		Actual															
157																				
158	AU21-F02	Finance	Vendor Master File	200	Planned															
159			Follow-up		Actual															
160																				
161	AU21-F03	Parks	Pool Inspections	200	Planned															
162			Follow-up		Actual															
163																				
164	AU21-F04	ITSD	Virtual Server Security	200	Planned															
165			Follow-up		Actual															
166																				
167	AU20-F03	ORM	Liability Claims Process	300	Planned	Fieldwork														
168			Follow-up		Actual															
169																				
170	AU20-F04	ITSD	Windows Server	300	Planned	Fieldwork														
171			Vulnerability Follow-up		Actual															
172																				
173	AU19-F04	City Attorney	Outside Legal Counsel	250	Planned	Reporting														
174			Billings		Actual															
175																				
176																				
177																				
178																				
179																				
180																				

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Fieldwork



Reporting

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181			<b>Audits Completed</b>																	
182																				
183	AU19-019	ITSD	SAFreeWiFi Use by City	700	Planned	Complete														
184					Actual															
185																				
186	AU20-002	ACS	Drug Inventory Manager	450	Planned	Complete														
187					Actual															
188																				
189	AU20-032	SWMD	Landfill Contract & Opera	500	Planned	Complete														
190					Actual															
191																				
192	AU20-037	NHSD	Risk Mitigation Fund	500	Planned	Complete														
193					Actual															

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