

CERTIFICATE OF INTERESTED PARTIES

FORM 1295

1 of 2

Complete Nos. 1 - 4 and 6 if there are interested parties.
 Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.

**OFFICE USE ONLY
 CERTIFICATION OF FILING**

1 Name of business entity filing form, and the city, state and country of the business entity's place of business.
 Coastal Securities, Inc.
 Houston, TX United States

Certificate Number:
 2016-27961

Date Filed:
 03/18/2016

2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.
 City of San Antonio

Date Acknowledged:

3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the goods or services to be provided under the contract.
 RFP-016-004 / 6100006762
 Financial Advisory Services

4	Name of Interested Party	City, State, Country (place of business)	Nature of interest (check applicable)	
			Controlling	Intermediary
	Van Pelt, Bill	Houston, TX United States	X	
	Desroches, Rod	Houston, TX United States	X	
	LaPorte, Sam	Elizabethton, TN United States	X	
	LaPorte, Joe	Elizabethton, TN United States	X	
	LaPorte, Chris	Houston, TX United States	X	
	Melton, Chris	Spring, TX United States	X	
	Komar, D. Ann	Houston, TX United States	X	
	Folk, Brian	Spring, TX United States	X	
	Coastal Financial Holdings, Inc. ESOP	Houston, TX United States	X	
	Coastal Securities, Inc.	Houston, TX United States	X	

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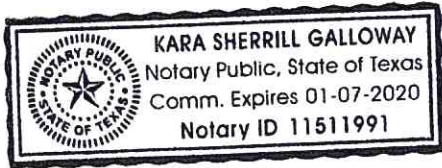
RFP-016-004 / 6100006762
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4	Name of Interested Party	City, State, Country (place of business)	Nature of interest (check applicable)	
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5 Check only if there is NO Interested Party.

6 AFFIDAVIT

I swear, or affirm, under penalty of perjury, that the above disclosure is true and correct.



Signature

Signature of authorized agent of contracting business entity

AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said STEVE EVENSEN, this the 18TH day of MARCH, 2016, to certify which, witness my hand and seal of office.

Kara Sherrill Galloway
Signature of officer administering oath

Kara Sherrill Galloway
Printed name of officer administering oath

Notary
Title of officer administering oath