

# CERTIFICATE OF INTERESTED PARTIES

FORM 1295

1 of 1

Complete Nos. 1 - 4 and 6 if there are interested parties.  
Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.

## OFFICE USE ONLY CERTIFICATION OF FILING

**1 Name of business entity filing form, and the city, state and country of the business entity's place of business.**

FirstSouthwest, a Division of Hilltop Securities Inc.  
Dallas , TX United States

Certificate Number:  
2016-28029

Date Filed:  
03/18/2016

**2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.**

City of San Antonio

Date Acknowledged:

**3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the goods or services to be provided under the contract.**

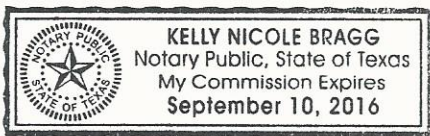
SA-032116-FA  
Financial Advisory Services

4	Name of Interested Party	City, State, Country (place of business)	Nature of interest (check applicable)	
			Controlling	Intermediary
	Feinberg, Hill A	Dallas, TX United States	X	
	Peterson , Robert W	Dallas, TX United States	X	
	Muschalek, John R	Dallas, TX United States	X	
	Edge , J Michael	Dallas, TX United States	X	
	Leventhal, Laura	Dallas, TX United States	X	
	Hilltop Securities Holdings LLC	Dallas, TX United States	X	

5 Check only if there is NO Interested Party.

**6 AFFIDAVIT**

I swear, or affirm, under penalty of perjury, that the above disclosure is true and correct.



*Jorja Williams*  
Signature of authorized agent of contracting business entity

AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said Jorja Williams, this the 18th day of March, 2016, to certify which, witness my hand and seal of office.

*Kelly Bragg*  
Signature of officer administering oath

Kelly Bragg  
Printed name of officer administering oath

Notary Public  
Title of officer administering oath