

# CERTIFICATE OF INTERESTED PARTIES

FORM 1295

1 of 1

Complete Nos. 1 - 4 and 6 if there are interested parties.  
Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.

## OFFICE USE ONLY CERTIFICATION OF FILING

**1 Name of business entity filing form, and the city, state and country of the business entity's place of business.**

FirstSouthwest, a Division of Hilltop Securities Inc.  
Dallas , TX United States

Certificate Number:  
2016-28029

Date Filed:  
03/18/2016

**2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.**

City of San Antonio

Date Acknowledged:

**3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the goods or services to be provided under the contract.**

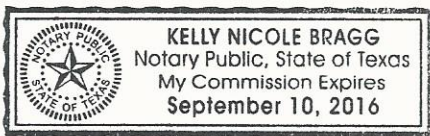
SA-032116-FA  
Financial Advisory Services

| 4 | Name of Interested Party        | City, State, Country (place of business) | Nature of interest (check applicable) |              |
|---|---------------------------------|--|---------------------------------------|--------------|
|   |                                 |  | Controlling                           | Intermediary |
|   | Feinberg, Hill A                | Dallas, TX United States                 | X                                     |              |
|   | Peterson , Robert W             | Dallas, TX United States                 | X                                     |              |
|   | Muschalek, John R               | Dallas, TX United States                 | X                                     |              |
|   | Edge , J Michael                | Dallas, TX United States                 | X                                     |              |
|   | Leventhal, Laura                | Dallas, TX United States                 | X                                     |              |
|   | Hilltop Securities Holdings LLC | Dallas, TX United States                 | X                                     |              |
|   |                                 |  |                                       |              |
|   |                                 |  |                                       |              |
|   |                                 |  |                                       |              |

5 Check only if there is NO Interested Party.

**6 AFFIDAVIT**

I swear, or affirm, under penalty of perjury, that the above disclosure is true and correct.



*Jorja Williams*  
Signature of authorized agent of contracting business entity

AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said Jorja Williams, this the 18th day of March, 2016, to certify which, witness my hand and seal of office.

*Kelly Bragg*  
Signature of officer administering oath

Kelly Bragg  
Printed name of officer administering oath

Notary Public  
Title of officer administering oath