



City of San Antonio Contracts Disclosure

Office of
the

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is New Submission or Correction or Update to previous submission.

*1. Name of person submitting this disclosure form.

First: Joshua M.I. A Last: Grundt Suffix: _____

*2. Contract information.

a) Contract or project name: Aircraft and Airport Liability

b) Originating department: _____

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

Commerce & Industry Insurance Company

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.
 Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

See Attached

Names of executive committee members, officers, partners, and directors:

See Attached

*5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.
 Subcontractors may be retained, but have not been selected at the time of this submission.
 List of subcontractors, including the name of the owner(s), and business name:

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
 List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



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***7. Disclosure of political contributions.**

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
See Attached				Delete

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

***8. Disclosure of conflict of interest.**

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:



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*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a

No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

* = Required fields



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***3. Contribution Prohibitions for "High-Profile" Contracts**

- This is not a high-profile contract.
- This is a high-profile contract.

***4. Conflict of Interest Questionnaire (CIQ)**

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

- I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

***Oath**

- I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name: _____ Title: Authorized Representative

Company Name or DBA: Commerce and Industry Insurance Company Date: 03/26/2015

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Response:

No such contributions have been made by Commerce and Industry Insurance Company (the "Company"). The Company does not track such contributions made by individuals other than those made on behalf of the Company, and thus cannot respond to this question as respects individual contributions made by any officer of the Company, its parent, or subsidiary business.

Commerce and Industry Insurance Company

Date 03/24/2015

Current Directors & Officers Report

C10075 Commerce and Industry Insurance Company

Board Positions

NAME	POSITION	FIRST APPOINTED
Baugh, Alexander Ross	Director	06/20/2012
Bracken, James	Director	01/26/2012
Carter, Timothy D.	Director	05/14/2013
Cook, Joseph D.	Director	10/28/2014
Doyle, John Quinlan	Director	05/14/2001
Farber, Jeffrey Mark	Director	05/14/2013
Grabek, Stephen Joseph	Director	01/20/2015
Hanna, Kimberly Margaret	Director	01/20/2015
Hogan, Kevin Timothy	Director	03/26/2014
Mucerino, Ralph W.	Director	01/26/2012
Quane, Alessandra	Director	03/04/2015
Schimek, Robert Scott	Director	10/07/2005
Sparro, Christopher Louis	Director	03/24/2009

Officers

NAME	POSITION	FIRST APPOINTED
Schimek, Robert Scott	Chief Executive Officer	06/14/2013
Schimek, Robert Scott	President	06/14/2013

Schimek, Robert Scott	Chairman of the Board of Directors	06/14/2013
Cook, Joseph D.	Chief Financial Officer	03/27/2014
Ali, Sharena Z.	Executive Vice President	07/28/2014
Andrews, Douglas S.	Executive Vice President	07/28/2014
Baugh, Alexander Ross	Executive Vice President	02/17/2012
Bellafore, Leonard A.	Executive Vice President	02/17/2012
Bracken, James	Executive Vice President	02/17/2012
Carter, Timothy D.	Executive Vice President	02/17/2012
Doherty, Daniel J.	Executive Vice President	07/28/2014
Doyle, John Quinlan	Executive Vice President	05/17/1999
Edgecliffe-Johnson, Jeremy David	Executive Vice President	06/21/2012
Grabek, Stephen Joseph	Executive Vice President	02/04/2015
Hackett, Maureen E.	Executive Vice President	07/28/2014
Hogan, Kevin Timothy	Executive Vice President	12/19/2013
Johnston, Russell Mark	Executive Vice President	09/29/2010
Marsh, Thomas Edward	Executive Vice President	07/28/2014
Mucerino, Ralph W.	Executive Vice President	07/28/2014
Nikodem, Erik P.	Executive Vice President	06/14/2013
Quane, Robert Emmett	Executive Vice President	07/28/2014
Shah, Samir	Executive Vice President	12/07/2011
Sparro, Christopher Louis	Executive Vice President	08/18/2011
Valzania, David J.	Executive Vice President	06/14/2013
Aiena, Peter David	Senior Vice President	06/21/2012
Barile, Joseph G.	Senior Vice President	07/28/2014
Beier, Robert John	Senior Vice President	03/15/2004
Blum, Christopher V.	Senior Vice President	12/14/2010
Brassington, Richard A.	Senior Vice President	07/28/2014

Carre, Jerome	Senior Vice President	07/28/2014
Cook, Joseph D.	Senior Vice President	01/31/2013
Hourihan, Jeremiah	Senior Vice President	07/28/2014
Mostofi, James E.	Senior Vice President	07/28/2014
Olson, Curtis W.	Senior Vice President	07/28/2014
Reed, Adam Craig	Senior Vice President	06/14/2013
Woollams, Richard C.	Senior Vice President	05/13/2008
Branca, Salvatore Anthony	Vice President	07/28/2014
Caulfield, Justin Jerome Walls	Vice President	07/28/2014
Fay, Michael Charles	Vice President	06/14/2013
Getis, Jeffrey Louis	Vice President	09/25/2012
Goldstein, Michael S.	Vice President	07/28/2014
Harris, Steven R.	Vice President	06/14/2013
Hopkins, Barry A.	Vice President	03/27/2014
Leslie, Craig W.	Vice President	05/18/2010
Lowman, Josephine B.	Vice President	05/18/2010
Martinez, Wasner	Vice President	06/14/2013
Moloney, Lawrence J.	Vice President	10/29/2014
Mork, Joanna E.	Vice President	07/28/2014
Morrow, Jay Blair	Vice President	07/28/2014
Powell, Juliet P.	Vice President	06/14/2013
Rowland, James John	Vice President	03/15/2004
Stern, Amy E.	Vice President	06/14/2013
Warwick, Dennis M.	Vice President	06/21/2012
Yang, Michael Y.	Vice President	06/14/2013
Ganley, Bernard C.	Assistant Vice President	07/28/2014

APPOINTMENTS

Butkovic, Denis M.	Secretary	09/29/2010
Bogue, Martin J.	Assistant Secretary	02/20/2009
Caulfield, Justin Jerome Walls	Treasurer	06/21/2012
Kahandaliyanage, Karl A.	Assistant Treasurer	06/21/2012
Blum, Christopher V.	General Counsel	12/14/2010
Brassington, Richard A.	Actuary	07/28/2014
Moloney, Lawrence J.	Statutory Controller	10/29/2014

Commerce and Industry Insurance Company

Date
03/24/2015

Corporate Relationships
(Min PC: 0% Max PC: 100%)

Company Number

Corporate Relationships (Legal Voting Structure)

From	To	%	From	Description	Control By
Commerce and Industry Insurance Company (Managing Member)	AIGGRE Boll Street Investor LLC (Limited Liability Company)	50.0000000 000%	09/19/2014	Control for major decisions	Other
	AIGGRE Juniper Investor LLC (Limited Liability Company)	50.0000000 000%	01/28/2015	Control for major decisions	Other
	AIGGRE North Williams Investor LLC (Limited Liability Company)	50.0000000 000%	03/19/2015	control	Other

Corporate Relationships (Legal Capital Structure)

From	To	%	From	Description	Control By
Commerce and Industry Insurance Company (Managing Member)	AIGGRE Boll Street Investor LLC (Limited Liability Company)	50.0000000 000%	09/19/2014	equity	Other
	AIGGRE Juniper Investor LLC (Limited Liability Company)	50.0000000 000%	01/28/2015		Other
	AIGGRE North Williams Investor LLC (Limited Liability Company)	50.0000000 000%	03/19/2015	equity	Other
Commerce and Industry Insurance Company (Limited Partner)	SunAmerica Colorado SC-AHP, L.P., A Nevada Limited Partnership (Limited Partnership)	0.0000000 00%	01/01/2011		Other
	SunAmerica Georgia SC-AHP L.P., A Nevada Limited Partnership (Limited Partnership)	3.84690000 00%	01/01/2013		Other
	SunAmerica Hawaii SC-AHP, L.P., A Nevada Limited Partnership (Limited Partnership)	0.0000000 00%	01/01/2011		Other
	SunAmerica Iowa SC-AHP, L.P., A Nevada Limited Partnership (Limited Partnership)	17.3790000 000%	01/01/2013		Other
	SunAmerica Massachusetts SC-AHP, L.P., A Nevada Limited Partnership (Limited Partnership)	0.0000000 00%	01/01/2011		Other
	SunAmerica Missouri SC-AHP, L.P. A Nevada Limited Partnership (Limited Partnership)	3.61360000 00%	01/01/2013		Other
	The Drake Global Opportunities Fund, LP (Limited Partnership)	8.97400000 00%	06/30/2014		Shares