# Tab 3: Discretionary Contracts Disclosure

\* = Required fields

## Lockwood, Andrews & Newnam, Inc.

Suffix:

## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a • New Submission or Correction or Update to previous submission.

\*1. Name of person submitting this disclosure form.

First: Jeremy

Last: Doege

<sup>\*</sup>2. Contract information.

a) Contract or project name: On-Call Civil Engineering Services for Federally Funded Projects

M.I.

b) Originating department:

\*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

Lockwood, Andrews & Newnam, Inc.

\*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

Parent Company: LEO A DALY Company

\*5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

MS Engineering, LLC, Miyoung Squire; WV Consulting, LLC, Sarah Vasquez; Arias & Associates, Inc., Robert P Arias; Sherwood Surveying, Brian Meuth; RL Jones, David Jones

\*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

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\* = Required fields

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Lockwood, Andrews & Newnam, Inc.

\*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

## ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
LAN-PAC	lvy R. Taylor Campaign	7/7/2014	\$500.00	Delete
LAN-PAC	Rebecca Viagran Campaign	05/20/2013	\$300.00	Delete
LAN-PAC	Leticia Ozuna Campaign	03/15/2013	\$500.00	Delete
LAN-PAC	Julian Castro Campaign	01/21/2013	\$1,000.00	Delete

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

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Page 2 of 4

\* = Required fields

Lockwood, Andrews & Newnam, Inc.



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

I am aware of the following conflict(s) of interest:

## \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√No

Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see <u>Section 141 of the City Charter</u> and <u>Section 2-52</u> of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### \*1. Updates Required

## Acknowledgements

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

Page 3 of 4

* = Required fields		Lockwood, Andrews & Newnar
Ô	City of San Antonio Contracts Disclosure Forr	n Office of the City Clerk
*2. No Contact with City Officials	or Staff during Contract Evaluation	
person or entity is prohibited fr	ntity who seeks or applies for a city contract or any om contacting city officials and employees regard lification (RFQ), or other solicitation has been rele	ling the contract after a Request for
with city officials or employees solicitation documents. Violati	conclude when the contract is posted as a City Co , the contact will take place in accordance with pro on of this prohibited contacts provision set out in ay lead to disqualification of their offer from consid	ocedures incorporated into the Section 2-61 of the City Ethics Code by
*3. Contribution Prohibitions for	"High-Profile" Contracts	
• This is not a high-profile contract	rt.	
○ This is a high-profile contract.		
	naire (CIQ) ent Code requires <u>all</u> contractors and vendors to su Clerk, even if contract is not designated as "High P	
	advised of the requirement to file a CIQ form und	
	*Oath	
✓ I swear or affirm that the stater my knowledge and belief are to	nents contained in this Contracts Disclosure Form, rue, correct, and complete.	, including any attachments, to the best of
Your Name: Jeremy Doege	Grenny Sorge Title: Assor	ciate, Team Leader
Company Name or DBA: Lockw	rood, Andrews & Newnam, Inc.	Date: 11/24/2014
	e fill this form out online, print completed form ar osal to originating department. All questions mus If necessary to mail, send to: Purchasing P.O. Box 839966	

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

Page 4 of 4

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\* = Required fields

MS Engineering, LLC

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Contraction of the second s	proposal to originating department. All questions must be answ	
	ils on use of this form, see <u>Section 2-59 through 2-61</u> of the City' • New Submission or  Correction or  Update to previous	
*1. Name of person submittin	g this disclosure form.	
First: Miyoung	M.I. <u>H</u> Last: <u>Squire</u>	Suffix:
*2. Contract information.		
a) Contract or project name:	ON - CALL CIVIL ENGINEERING SERVICES FOR FEDERALLY FUN	NDED PROJECTS
b) Originating department:		
*3. Name of individual(s) or en	tity(ies) seeking a contract with the city (i.e. parties to the contra	act).
MS Engineering, LLC		
*4. List any individual(s) or ent listed in Question 3.	ity(ies) that is a partner, parent, joint venture, or subsidiary entit	ty(ies) of the individual or entity
	g party(ies) does not have partner, parent, joint venture, or subsi	,
Names of partner, parent, jo and officers of each entity:	pint venture or subsidiary entities, and all the board members, ex	xecutive committee members,
*5. List any individuals or entit	ies that will be subcontractors on this contract.	
	tractors will be retained for this contract.	
	ined, but have not been selected at the time of this submission.	
List of subcontractors, inclu	ding the name of the owner(s), and business name:	

\*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Vot applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

Page 1 of 4

\* = Required fields



## City of San Antonio Contracts Disclosure Form

MS Engineering, LLC

Office of the City Clerk

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- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Vot applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

## Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

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- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

**Penalty.** A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

Page 2 of 4

\* = Required fields

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## City of San Antonio Contracts Disclosure Form

MS Engineering, LLC

Office of the City Clerk

## \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓No Yes

## Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see <u>Section 141 of the City Charter</u> and <u>Section 2-52</u> of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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### Acknowledgements

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## \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

Page 3 of 4



* = Required fields				MS Engineering
		f San Antoni Disclosure I		Office of the City Clerk
*3. Contribution Prohibiti	ons for "High-Profile" Contra	ts		
This is not a high-profile	contract.			
○ This is a high-profile cor	tract.			
Code.				
		*Oath		
	e statements contained in this C ef are true, correct, and comple		Form, including any	attachments, to the best of
Your Name: Miyoung H.	Squire	Title:	President	
Company Name or DBA:	MS Engineering, LLC			Date: 11/24/2014
		· •	is must be answered	

o originating department. All questions must be If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14



\* = Required fields

## City of San Antonio Contracts Disclosure Form

WV Consulting

Office of the City Clerk

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\*This is a • New Submission or • Correction or • Update to previous submission.

*1. Name of person submitting this disclosure form.					
First: Sarah	M.I. <u>W</u>	Last: Vasquez	Suffix:		
*2. Contract information.					
a) Contract or project name:	On-Call Civil Engineeri	ng Services for Federally Funded	Funded Projects		
b) Originating department:					

\*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). WV Consulting, LLC

\*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

√Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

\*5. List any individuals or entities that will be subcontractors on this contract.

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List of subcontractors, including the name of the owner(s), and business name:

\*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

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\* = Required fields



## City of San Antonio Contracts Disclosure Form

Office of the

WV Consulting

**City Clerk** 

\*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

🖌 Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

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#### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

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I am aware of the following conflict(s) of interest:

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

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\* = Required fields

WV Consulting



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

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√No

Yes

## Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

## Acknowledgements

## \*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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City of San Antonio | On-Call Civil Engineering Services for Federally Funded Projects

* = Required fields		WV Cor
	City of San Antonio Contracts Disclosure Form	Office of the City Clerk
*3. Contribution Prohil	itions for "High-Profile" Contracts	
This is not a high-prot	ile contract.	
O This is a high-profile of the second se	contract.	
Code.	*Oath	
	the statements contained in this Contracts Disclosure Form, includi elief are true, correct, and complete.	ing any attachments, to the best of
Your Name: Sarah Vas	quez Title: Member/Ma	nager
Company Name or DB/	WV Consulting	Date: 11/21/2014
	Please fill this form out online, print completed form and subn proposal to originating department. All questions must be an If necessary to mail, send to: Purchasing P O Box 839966	

P.O. Box 839966 San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14



Arias & Associates, Inc.

	City of San Antonio Contracts Disclosure Form	Office of th City Clerk
	Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.	City Clerk
	r details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics	
	is is a • New Submission or	sion.
First: Christopher	M.I. M Last: Szymczak	Suffix: <u>P.E.</u>
2. Contract information	l.	
a) Contract or project na	ame: On-Call Civil Engineering Services For Federally Funded Projects	
b) Originating departme	ent:	
* 3. Name of individual(s) Lockwood, Andrews & N	) or entity(ies) seeking a contract with the city (i.e. parties to the contract). lewman, Inc.	
Lockwood, Andrews & N		f the individual or entity
Lockwood, Andrews & N 4. List any individual(s) listed in Question 3.	lewman, Inc. or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of racting party(ies) does not have partner, parent, joint venture, or subsidiary er rent, joint venture or subsidiary entities, and all the board members, executive	ntities.
Lockwood, Andrews & N 4. List any individual(s) listed in Question 3. ✓Not applicable. Contr Names of partner, par and officers of each e	lewman, Inc. or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of racting party(ies) does not have partner, parent, joint venture, or subsidiary er rent, joint venture or subsidiary entities, and all the board members, executive	ntities.
Lockwood, Andrews & N 4. List any individual(s) listed in Question 3. ✓Not applicable. Contr Names of partner, par and officers of each e 5. List any individuals o	lewman, Inc. or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of racting party(ies) does not have partner, parent, joint venture, or subsidiary er rent, joint venture or subsidiary entities, and all the board members, executive ntity:	ntities.
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Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

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\* = Required fields

Page 1 of 4

\* = Required fields



## **City of San Antonio Contracts Disclosure Form**

Office of the **City Clerk** 

Arias & Associates, Inc.

7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

a) any individual seeking contract with the city (Question 3)

- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Vot applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals. List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

√ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

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\* = Required fields



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Arias & Associates, Inc.

9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

**√**No □Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see <u>Section 141 of the City Charter</u> and <u>Section 2-52</u> of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### Acknowledgements

#### 1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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			Arias & Assoc
* = Required fields			
8	City of San Anto Contracts Disclosu		Office of the City Clerk
*3. Contribution Prohib	tions for "High-Profile" Contracts		
This is not a high-profi	e contract.		
○ This is a high-profile control of the second	ontract.		
Form (CIQ) to the Office of	Juestionnaire (CIQ) iovernment Code requires <u>all</u> contractors and vei the City Clerk, even if contract is not designated ave been advised of the requirement to file a CIQ	l as "High Profile".	
	*Oath		
	he statements contained in this Contracts Disclo filef are true, correct, and complete. Mathematical Area Statement of the st	sure Form, including any attach	iments, to the best of
Company Name or DBA	Arias & Associates, Inc.	Date: 1	1/18/2014
	Please fill this form out online, print complet proposal to originating department. All que If necessary to mail, sen	stions must be answered.	

pose fill this form out online, print completed form and submit w posal to originating department. All questions must be answer If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

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\* = Required fields

Sherwood Surveying

S. C.	City	of San	Antonio		
	Contract	s Disc	losure Form		Office of the City Clerk
A DESCRIPTION OF A DESC	ase fill out this form onli posal to originating dep				-
For details of	on use of this form, see	Section 2-	<u>59 through 2-61</u> of the	City's Ethics Code.	
*This is a 💿	New Submission or 🔿	Correction	n or 🔿 Update to prev	ious submission.	
*1. Name of person submitting th	his disclosure form.				
First: Thomas	M.I	Last:	McFarling		Suffix:
*2. Contract information.					
a) Contract or project name:	On Call Civil Engineerin	g Services	for Federally Funded	Projects	
b) Originating department:					
*3. Name of individual(s) or entity		with the c	ity (i.e. parties to the c	ontract).	
Sherwood Surveying & S.U.E., LLC					
4. List any individual(s) or entity isted in Question 3.	ies) that is a partner, pa	rent, joint	venture, or subsidiary	entity(ies) of the ind	dividual or entity
✓Not applicable. Contracting particular	arty(ies) does not have p	oartner, pa	rent, joint venture, or	subsidiary entities.	
Names of partner, parent, joint and officers of each entity:	venture or subsidiary e	entities, an	d all the board memb	ers, executive comm	nittee members,
*5. List any individuals or entities					
✓ Not applicable. No subcontrac					
Subcontractors may be retaine				ssion.	

List of subcontractors, including the name of the owner(s), and business name:

\*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

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Page 1 of 4

\* = Required fields



## City of San Antonio Contracts Disclosure Form

Sherwood Surveying

Office of the City Clerk

\*7. Disclosure of political contributions.

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List of contributions:

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\* = Required fields



## City of San Antonio Contracts Disclosure Form

Sherwood Surveying

Office of the City Clerk

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**√**No

Yes

## Notice Regarding Prohibited Interest in Contracts.

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## Acknowledgements

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Sherwood Surveying \* = Required fields **City of San Antonio Contracts Disclosure Form** Office of the **City Clerk** <sup>\*</sup>3. <u>Contribution Prohibitions for "High-Profile" Contracts</u> This is not a high-profile contract. This is a high-profile contract. \*4. <u>Conflict of Interest Questionnaire (CIQ)</u> Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile". 🔽 I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code. •Oath 🖌 I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete. Title: Director of Sales & Business Development Your Name: Thomas McFarling Company Name or DBA: Sherwood Surveying & S.U.E., LLC Date: 11/19/2014 Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to:

sal to originating department. All questions must be answ If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

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**RL** Jones

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	Please fill out this proposal to origir	form online, pri nating departme	nt completed form and sunt. All questions must be	ubmit with answered.	
			2-59 through 2-61 of the		
*This	is a ( New Submiss	ion or Correc	tion or ( Update to prev	vious submission.	
1. Name of person subm	itting this disclosure	form.			
First: Jeremiah		M.I La	ast: Jones		Suffix:
2. Contract information.					
a) Contract or project na	me: On-Call (	Civil Enginee	ring Services for Fe	ederally Funde	d Projects
<ul> <li>b) Originating departme</li> </ul>	nt:				
3. Name of individual(s)	or entity(ies) seeking	a contract with t	he city (i.e. parties to the	contract).	
R.L. Jones LP					
N.L. JOHES LF					
	416 - J - A 46 - 6 17	action parent l	aint vantuka ar subsidian	v entitu(ies) of the i	ndividual or entity
	or entity(ies) that is a p	partner, parent, j	oint venture, or subsidiar	y entity(ies) of the i	ndividual or entity
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listed in Question 3. Not applicable. Contra Names of partner, par and officers of each er	acting party(ies) does ent, joint venture or s utity:	not have partne ubsidiary entitie	r, parent, joint venture, o	r subsidiary entities pers, executive com	l.
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## City of San Antonio Contracts Disclosure Form

## Office of the City Clerk

**RL** Jones

7. Disclosure of political contributions.

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List of contributions:

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#### 8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

☑ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

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#### = Required fields



## City of San Antonio Contracts Disclosure Form

## Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓No

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see <u>Section 141 of the City Charter</u> and <u>Section 2-52</u> of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

## Acknowledgements

#### 1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## 2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

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**RL** Jones

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3. Contribution Prohibi	tions for "High-Profile" Contr	acts		
This is not a high-profi				
○ This is a high-profile co	ontract.			
Code.		*Oath		
✓ I swear or affirm that my knowledge and b	the statements contained in thi elief are true, correct, and comp	is Contracts Disclosure plete.	Form, including any a	ttachments, to the best of
Your Name: Jeremiah	Jones	Title:	Vice President	
Company Name or DBA	R.L. Jones LP		Da	te: 11/20/2014
	Please fill this form out on proposal to originating de If nec	line, print completed for partment. All question essary to mail, send to: Purchasing	is must be answered.	

P.O. Box 839966 San Antonio, Texas 78283-3966

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\* = Required fields