

City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

,			,
*This is a A Now Cubmission or	Couraction or	O Hadata ta	

*1. Name of person submitting this disclosure form.						
First: Greg	N	1.l La	ast:	Kowalski		Suffix:
*2. Contract information.						
a) Contract or project name:	Catering and C	oncessions for	the	Convention Center	(RFQ-014-007)	
b) Originating department:	Convention and	Sports Facilit	ies			
*3. Name of individual(s) or enti	ty(ies) seeking a	contract with t	he ci	ty (i.e. parties to the	e contract).	
The RK Culinary Group LLC						
*4. List any individual(s) or entit listed in Question 3.	y(ies) that is a pa	rtner, parent, jo	oint v	enture, or subsidia	ry entity(ies) of the i	ndividual or entity
Not applicable. Contracting	party(ies) does no	ot have partner	r, par	ent, joint venture,	or subsidiary entities	i
Names of partner, parent, join and officers of each entity:	nt venture or sub	sidiary entities	, and	all the board mem	ibers, executive com	mittee members,
Catering by Rosemary, Inc., True Flavors, L.L.C., and Black Tie Affairs, Inc.						
*5. List any individuals or entitie	s that will be sub	contractors on	n this	contract.		
□ Not applicable. No subcontractors will be retained for this contract.						
Subcontractors may be retain					nission.	
✓List of subcontractors, including the name of the owner(s), and business name:						
* See Attached List of Subcontractors						
*6. List any attorneys, lobbyists, contract.	or consultants re	etained by any	indi	viduals listed in Que	estions 3, 4, or 5 to a	ssist in seeking this
Not applicable. No attorneys	, lobbyists, or co	nsultants have	bee	n retained to assist	in seeking this contr	act.
List of attorneys, lobbyists, or	r consultants reta	ined to assist i	n see	eking this contract:		

 $\begin{array}{lll} {\sf GR.1075\text{-}01.PUR.REPORT.Contracts\ Disclosure\ Form} \\ {\sf Rev.2013\text{-}10\ \ 11/18/13} \end{array}$

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City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Kaufman & Killen, Inc. Serna & Serna, P.L.L.C.	
Serna & Serna, P.L.L.C.	

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
See attached list				Delete

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

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Office of the City Clerk

am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
▼ No
□Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. <u>Updates Required</u>



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City of San Antonio Contracts Disclosure Form

Office of the City Clerk

*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.
- ✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all contractors</u> and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

		*Oatl	h		
	I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.				
Your Name:	Greg Kowals	ki	Title:	President	
Company Nar	ne or DBA:	The RK Culinary Group LLC		Date: 12/15/2014	

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:
Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

Print Form

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Political Contributions (1/12/13-1/14/15)

William T. Kaufman & *Laurie Kaufman

Date	Name	Amount
10/21/13	Philip Cortez Campaign	\$500.00
2/5/14	Debra Guerrero Campaign	\$1,000.00
3/10/14	Julian Castro Campaign	\$1,000.00
		\$500.00
3/13/14	Joe Krier Campaign	\$500.00
		*Laurie Kaufman
3/25/14	Debra Guerrero Campaign	\$1,000.00

Rob Killen (2013)

Date	Name	Amount
1/11/13	Debra Guerrero	\$500.00
10/30/13	Philip Cortez Campaign	\$250.00
11/20/13	Justin Rodriguez Campaign	\$250.00

Rob Killen & *Melissa Killen (2014)

Date	Name	Amount
2/5/14	Debra Guerrero Campaign	\$974.70
		(In-Kind)
3/13/14	Julian Castro Campaign	\$1,000.00
3/21/14	Debra Guerrero Campaign	\$1,000.00
		\$500.00
4/08/14	Joe Krier for City Council	\$500.00
		*Melissa Killen

Rob Killen (2015)

Date	Name	Amount
1/13/15	Diego Bernal Campaign for State Rep.	\$250.00

Ashley Farrimond (2014)

Date	Name	Amount
3/13/14	Joe Krier for City Council	\$250.00
3/13/14	Julian Castro for Mayor	\$500.00

Mitsuko Ramos (2014)

Date	Name	Amount
4/8/2014	Joe Krier for City Council	\$100.00

2013 CONTRIBUTION LIST FOR BALTAZAR R. SERNA JR.

DATE	NAME	AMOUNT
01/01/2013	Chris Medina	\$500.00
01/10/2013	Rolando Briones	\$500.00
	Campaign	
01/31/2013	Julian Castro	\$1,000.00
03/21/2013	Rey Saldana	\$500.00
03/25/2013	Carlton Soules Campaign	\$500.00
05/08/2013	Leticia Ozuna Campaign	\$500.00
05/23/2013	Rebecca Viagran	\$250.00
05/23/2013	Rebecca Viagran	\$250.00
05/31/2013	David Medina	\$500.00
06/18/2013	Ron Nirenberg	\$250.00
08/26/2013	Chris Medina	\$125.00
09/10/2013	Ray Lopez	\$500.00
09/19/2013	Julian Castro	\$1,000.00
10/24/2013	Chris Medina	\$500.00
10/30/2013	Diego Bernal	\$500.00
11/14/2013	Ron Nirenberg	\$500.00
12/02/2013	Diego Bernal	\$250.00

2012 CONTRIBUTION LIST FOR BALTAZAR R. SERNA JR.

DATE	NAME	AMOUNT
12/07/12	David Medina	\$250.00
12/12/12	Cris Medina	\$500.00
12/20/12	Diego Bernal	\$500.00
12/30/12	Ray Lopez	\$500.00
12/31/12	Rolando Briones	\$500.00

Catering by Rosemary, Inc.



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*This is a • New Submission or Correction or Update to previous submission.

1. Name of person submitting this disclosure form. Suffix: First: Greg M.I. Last: Kowalski Contract information. a) Contract or project name: Catering and Concessions for the Convention Center (RFQ-014-007) b) Originating department: Convention and Sports Facilities *3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). Catering by Rosemary, Inc., Partner of The RK Culinary Group LLC *4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity □Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: Rosemary's Catering, Rosemary's Catering of Houston, Rosemary's Catering of Austin, The RK Group LLC, Illusions Rentals and Designs, Circa Texas, Circa Arizona, The Sandwich Factory, and Number 13 Restaurant 5. List any individuals or entities that will be subcontractors on this contract. Not applicable. No subcontractors will be retained for this contract. ☐Subcontractors may be retained, but have not been selected at the time of this submission. ✓ List of subcontractors, including the name of the owner(s), and business name: * See Attached List of Subcontractors

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6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

✓ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



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Office of the City Clerk

Kaufman & Killen, Inc. Serna & Serna, P.L.L.C.	
Serna & Serna, P.L.L.C.	

*7. Disclosure of political contributions.

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- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
See attached list				Delete

Updates on Contributions Required

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- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
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*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

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Office of the City Clerk

am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
☑ No
Yes

Notice Regarding Prohibited Interest in Contracts.

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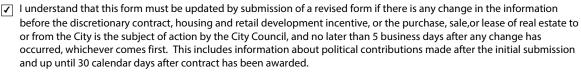
Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

1. <u>Updates Required</u>



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City of San Antonio Contracts Disclosure Form

Office of the City Clerk

*2. No Contact with City Officials or Staff during Contract Evaluation

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*3. Contribution Prohibitions for "High-Profile" Contracts

- This is a high-profile contract.
- ✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

☑ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

		*Oath		
		statements contained in this Contracts Disc f are true, correct, and complete.	closure	Form, including any attachments, to the best of
Your Name:	Greg Kowals	ki	Title:	President
Company Nan	ne or DBA:	Catering by Rosemary, Partner of The RK C	Culinary	Group LLC Date: 12/15/2014

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

Print Form

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Political Contributions – Rosemary Kowalski

Date	Name	Amount
5/18/12	Julian Castro for Mayor Campaign	\$250.00
6/12/12	Ray Lopez	\$500.00
9/14/12	Philip Cortez Campaign	\$250.00
9/17/12	Cris Medina Campaign	\$500.00
11/02/12	Leticia Ozuna Campaign	\$50.00
11/16/12	Ivy Taylor Campaign	\$250.00
11/19/12	Leticia Ozuna Campaign	\$500.00
11/20/12	Diego Bernal Campaign	
11/30/12	Ray Lopez Campaign	\$500.00
3/27/13	David Medina Campaign \$150.00	
9/03/13	Julian Castro for Mayor Campaign	\$250.00
9/10/13	Ray Lopez Campaign \$500.0	
10/04/13	Diego Bernal Campaign \$250.0	
11/11/13	Ivy Taylor Campaign \$250.00	
12/06/13	Shirley Gonzales Campaign \$250.00	
1/02/14	Debra Guerrero Campaign \$250.00	

Political Contributions – Greg & Bekki Kowalski

Date	Name	Amount	From	
5/14/12	Julian Castro for Mayor	\$500.00	Greg Kowalski	
5/14/12	Julian Castro for Mayor	\$500.00	Bekki Kowalski	
	Dhilin Cortoz Compoign	\$500.00	Greg Kowalski	
6/11/12	Philip Cortez Campaign	\$500.00	Bekki Kowalski	
	Ray Lopez Campaign	\$500.00	Cros Vossolalsi	
9/18/12	Dhilin Cortoz Compoign	\$500.00	Greg Kowalski	
9/10/12	Philip Cortez Campaign	\$500.00	Bekki Kowalski	
9/24/12	Justin Rodriguez Campaign	\$500.00		
10/15/12	Rolando Briones Campaign	\$500.00		
11/05/12	Carlton Soules Campaign	\$500.00	Grag V avvalski	
11/26/12	Leticia Ozuna Campaign	\$500.00	Greg Kowalski	
12/10/12	Ray Lopez Campaign	\$500.00		
1/21/13	Julian Costra for Mayor	\$500.00		
1/21/13	Julian Castro for Mayor	\$500.00	Bekki Kowalski	
1/28/13	Elisa Chan Campaign	\$250.00	Dekki Kuwaiski	
1/20/13	Elisa Chan Campaigh	\$250.00		
2/25/13	Ivy Taylor Campaign	\$500.00		
3/11/13	Leticia Ozuna Campaign	\$500.00	Greg Kowalski	
4/07/13	David Medina Jr.	\$500.00	Greg Rowalski	
	Campaign			
	Julian Castro for Mayor	\$500.00		
9/09/13	Julian Castro for Mayor	\$500.00	Bekki Kowalski	
	Ray Lopez Campaign	\$500.00		
10/15/13	Cris Medina Campaign	\$500.00		
11/05/13	Ron Nirenberg	\$500.00	Greg Kowalski	
11/12/13	Ivy Taylor Campaign	\$500.00		
3/03/14	03/14 Julian Castro for Mayor			
3/03/17	Julian Casuo foi iviayoi	\$500.00	Bekki Kowalski	
3/31/14	Joe Krier Campaign	\$500.00	Greg Kowalski	
J/J1/17	Joe Krief Campaign	\$500.00	Bekki Kowalski	

True Flavors, L.L.C.



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Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

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*This is a (New Submission or	Correction or \(\cap \) Update to pr	revious submission.
*1. Name of person submitting	this disclosure form.		
First: Johnny	M.I.	Last: Hernandez	Suffix:
*2. Contract information.			
2. Contract information.			
a) Contract or project name:	Catering and Concession	ons for the Convention Center	(RFQ-014-007)
b) Originating department:	Convention and Sports	Facilities	
*3. Name of individual(s) or ent	ity(ies) seeking a contract	with the city (i.e. parties to th	e contract).
True Flavors, L.L.C., Partner of	The RK Culinary Group LL	C	
	, ,		
¥	/: - \		
listed in Question 3.	ly(les) that is a partner, pa	irent, joint venture, or subsidia	ary entity(ies) of the individual or entity
Not applicable. Contracting	party(ies) does not have p	partner, parent, joint venture,	or subsidiary entities.
	nt venture or subsidiary e	entities, and all the board mem	nbers, executive committee members,
and officers of each entity:			
Fruteria, LaGloria, Casa Hernan,	and El Machito		
*5. List any individuals or entitie	es that will be subcontrac	tors on this contract.	
Not applicable. No subcontr	actors will be retained for	r this contract.	
Subcontractors may be retain	ned, but have not been se	elected at the time of this subr	nission.
✓ List of subcontractors, includ	ling the name of the owne	er(s), and business name:	
* See Attached List of Subcon	ntractors		
See Attached List of Subcon	itractors		
*6. List any attorneys, lobbyists contract.	, or consultants retained b	by any individuals listed in Qu	estions 3, 4, or 5 to assist in seeking this
	s, lobbyists, or consultant	s have been retained to assist	in seeking this contract.
✓ List of attorneys, lobbyists, o	r consultants retained to	assist in seeking this contract:	
GR.1075-01.PUR.REPORT.Contra	acts Disclosure Form		

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Serna & Serna, P.L.L.C.	

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
See attached list				Delete

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

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City of San Antonio Contracts Disclosure Form

Office of the **City Clerk**

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
☑No
□Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

1. Updates Required



 ✓ I understand that this form must be updated by submission of a revised form if there is any change in the information
 ✓ I understand that this form must be updated by submission of a revised form if there is any change in the information.
 before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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City of San Antonio Contracts Disclosure Form

Office of the City Clerk

*2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.
- ✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath					
I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
Your Name:	Johnny Heri	nandez	Title:	President	
Company Nan	ne or DBA:	True Flavors, L.L.C., Partner of The RK Culina	ary Grou	up LLC Date: <u>12/15/2014</u>	

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

Print Form

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True Flavors, L.L.C.

Political Contributions – Johnny Hernandez

Date	Name	Amount
6/30/12	Ron Nirenberg	\$500.00
4/30/13	Diego Bernal	\$500.00
4/30/13	Rey Saldana	\$500.00
6/03/13	Ron Nirenberg	\$500.00
9/29/13	Mayor Julian Castro	\$500.00
5/07/14	Ray Lopez	\$300.00

Political Contributions – Denise Hernandez

Date	Name	Amount	
6/21/12	Ron Nirenberg	\$250.00	

Black Tie Affairs, Inc.



City of San Antonio Contracts Disclosure Form

Office of the **City Clerk**

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

New Submission or Correction

*This is a ● New Submission or ← Correction or ← Update to previous submission.						
*1. Name of person submitting this disclosure form.						
First: Richard	M.I.:	Last: Ojeda	Suffix:			
*2. Contract information.						
a) Contract or project name:	Catering and Concession	ons for the Convention Center	(RFQ-014-007)			
b) Originating department:	Convention and Sports	s Facilities				
*3. Name of individual(s) or en	tity(ies) seeking a contrac	t with the city (i.e. parties to the	e contract).			
Black Tie Affairs, Inc., Partner	of The RK Culinary Group	LLC				
*4. List any individual(s) or enti listed in Question 3.	ity(ies) that is a partner, pa	arent, joint venture, or subsidia	ary entity(ies) of the individual or entity			
Not applicable Contracting	party(ies) does not have	partner, parent, joint venture, o	or subsidiary entities.			
Names of partner, parent, jo	int venture or subsidiary	entities, and all the board mem	nbers, executive committee members,			
and officers of each entity:						
Leon Springs Dance Hall						
*5. List any individuals or entiti	ies that will be subcontrac	ctors on this contract.				
Not applicable. No subcont						
Subcontractors may be retain	ined, but have not been s	elected at the time of this subr	mission.			
✓List of subcontractors, including the name of the owner(s), and business name:						
* See Attached List of Subcontractors						
*6. List any attorneys, lobbyist contract.	s, or consultants retained	by any individuals listed in Qu	estions 3, 4, or 5 to assist in seeking this			
Not applicable. No attorney	s, lobbyists, or consultant	ts have been retained to assist	in seeking this contract.			
List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:						

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City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Kaufman & Killen, Inc. Serna & Serna, P.L.L.C.		
,		

*7. Disclosure of political contributions.

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Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
See attached list				Delete

Updates on Contributions Required

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City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Council or a city board/commission.
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□Yes

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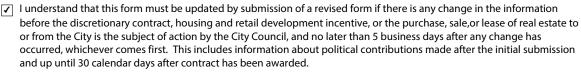
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If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. <u>Updates Required</u>



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City of San Antonio Contracts Disclosure Form

Office of the City Clerk

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☑ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath					
I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
Your Name:	Richard Ojed	a	Title:	President	
Company Name or DBA:		Black Tie Affairs, Partner of The RK Culinary	Group	Date: 12/15/2014	

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

Print Form

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Black Tie Affairs, Inc.

Political Contributions – Richard Ojeda

Date	Name	Amount	
4/28/14	Ron Nirenberg	\$500.00	
5/20/14	Rebecca Viagran	\$500.00	
5/21/14	Chris Medina	\$500.00	
3/21/14	Ray Lopez	\$500.00	

Subcontractors of The RK Culinary Group LLC

The RK Culinary Group LLC – Subcontractor List					
Business Name	Business Owner	Scope of Work			
Chelsea's Catering and Bar Service (SMBE/AABE)	Tony Grandey	Catering- Alcohol			
Esso Enterprises, LLC (SWMBE)	Sarah Manny	Catering &			
It's Your Choice Catering (SWMBE)	Mary Anguiano	Concessions			
Culinary Staffing (SWMBE)	Leticia Hernandez	Catering & Staffing			
Sushihana Japanese Restaurant, LLC (SMBE)	Howard Hu	Food Products			
Unifresh, Inc., dba Miracle Produce (SMBE)	Joseph Mendez	Produce			
Partners Two, Inc. dba: Addecco Personnel Svc. (SWBE)	Denise McCauley				
Champion Staffing (SMBE)	Josie Garcia				
Gamez T.P.S., LLC, dba: Texas Personnel Service (SWMBE)	Betty Gamez-Fiel	Staffing Services			
Labor on Demand (SWMBE)	Lucinda Rosales				
Get Right City (SWMBE/AABE)	Dorothy Moore				
Universal Pen & Print Inc. (SWBE)	Dee Hernandez	Office Supplies			



"Thomas did a magnificent job presenting, describing and entertaining us with each course. Jeffrey would check in on us at just the right time to discuss what was feasible and realistic for our event Brenda is an absolute jewel."

Jane Boddy, President
The Charity Ball Association

