CERTIFICATE OF INTERESTED PARTIES

FORM 1295

1 of 1

			1011
Complete Nos. 1 - 4 and 6 if there are interested parties. Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.		OFFICE USE ONLY CERTIFICATION OF FILING	
 Name of business entity filing form, and the city, state and country of the business entity's place of business. 		Certificate Number: 2016-28029	
FirstSouthwest, a Division of Hilltop Securities Inc.		2010 20020	
Dallas , TX United States		Date Filed:	
2 Name of governmental entity or state agency that is a party to the contract for which the form is		03/18/2016	
being filed. City of San Antonio		Date Acknowledged:	
Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the goods or services to be provided under the contract. SA-032116-FA Financial Advisory Services			
4 Name of Interested Party	City, State, Country (place of business)	Nature of interest	(check applicable)
		Controlling	Intermediary
Feinberg, Hill A	Dallas, TX United States	Х	
Peterson , Robert W	Dallas, TX United States	Х	
Muschalek, John R	Dallas, TX United States	Х	
Edge , J Michael	Dallas, TX United States	Х	
Leventhal, Laura	Dallas, TX United States	X	
Hilltop Securities Holdings LLC	Dallas, TX United States	X	
5 Check only if there is NO Interested Party.			
6 AFFIDAVIT	I swear, or affirm, under penalty of perjury, that the	e above disclosure is	true and correct.
KELLY NICOLE BRAGG Notary Public, State of Texas My Commission Expires September 10, 2016	Jaja William Signature of authorized agent of contracting business entity		
AFFIX NOTARY STAMP / SEAL ABOVE			
Sworn to and subscribed before me, by the said <u>Jorja Williams</u> , this the <u>18th</u> day of <u>March</u> , 20 <u>16</u> , to certify which, witness my hand and seal of office.			
Helly Brage Worker Public Signature of officer administering oath Printed name of officer administering oath Title of officer administering oath			