Ô	<b>City of San Antonio</b> <b>Contracts Disclosure Form</b> Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.	Office of the City Clerk
	For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.	_
	*This is a 💿 New Submission or 🕥 Correction or 🕥 Update to previous submission.	
*1. Name of perso	n submitting this disclosure form.	
First: Katherine	M.I. N Last: McGuyre	Suffix:
*2. Contract inform a) Contract or pro	ject name: Spatial Programming and Efficiency Study for the Frost Bank Tower	
b) Originating dep	partment:	•
	dual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). ing and Consulting	

\*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

\*5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

FM3iS Associates, L.L.C, Thomas Mitchell; HOK, an employee-owned company; Alderson and Associates, Linda Alderson and Dean Alderson; Fire Protection Group, Gilead Ziemba and Temple Kennedy Combs Design Group, Carrie Combs, Brian Combs, and COMBS Consulting Group, LLC; Peloton Commercial Real Estate, Gardner Peavy, Brad Hardy, Christi Griggs, Kyle Gunter, Jason Adkison AG|CM, Gail Anderson and Derwood Anderson

\*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



### Office of the City Clerk

#### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

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- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

**Penalty.** A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



### Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

**√** No

Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### Acknowledgements

#### \*1. Updates Required

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## Print Form

Office of the City Clerk

*3 Contribution Prohibit	ions for "High-Profile" Contracts		
<ul> <li>This is not a high-profile</li> </ul>			
This is a high-profile cor			
<u> </u>			
*4. Conflict of Interest Qu	estionnaire (CIO)		
and the second	overnment Code requires all contractors and	vendors to submit a Cor	nflict of Interest Ouestionnaire
-	he City Clerk, even if contract is not designa		
🔽 I acknowledge that I hav	ve been advised of the requirement to file a	CIQ form under Chapter	176 of the Local Government
Code.		2	
	*Oath		
the second	e statements contained in this Contracts Dis ief are true, correct, and complete.	closure Form, including	any attachments, to the best of
Your Name: Katherine M	cGuyre	Title: Chief Business D	Development Officer
		1	<b>D</b> . t 0.1/10/2016
Company Name or DBA:	Facility Programming and Consulting		Date: 04/18/2016
NO.	Please fill this form out online, print comp	pleted form and submit v	with
	proposal to originating department. All q	uestions must be answe	ered.
	If necessary to mail, s	send to:	
	Purchasing		
	P.O. Box 83996		
	San Antonio, Texas 78.	283-3966	

Print Form



Office of the City Clerk

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\*This is a ( New Submission or ( Correction or ( Update to previous submission.

1. Name of person submitting this disclosure form.

First.	Thomas	M.L. L	Last:	Mitchell	

Suffix: Jr.

\*2. Contract information.

a) Contract or project name: Spatial Programming and Efficiency Study for the Frost Bank Tower

b) Originating department:

3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

FM3IS Associates, LLC

\*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members,

5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



Office of the City Clerk

#### 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

#### Updates on Contributions Required

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- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

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Office of the City Clerk

#### 9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

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Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√ No

Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### Acknowledgements

#### \*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale,or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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Office of the City Clerk

*3. Contribut	tion Prohibitions for "High-Profile" Co	ntracts
This is not	a high-profile contract.	
○ This is a hi	gh-profile contract.	
*4. Conflict o	of Interest Questionnaire (CIQ)	
	<u>f the Local Government Code</u> requires <u>al</u> the Office of the City Clerk, even if contra	<u>l</u> contractors and vendors to submit a Conflict of Interest Questionnaire act is not designated as "High Profile".
✓ I acknowle Code.	edge that I have been advised of the requ	irement to file a CIQ form under Chapter 176 of the Local Government
		*Oath
	affirm that the statements contained in edge and belief are true, correct, and cor	this Contracts Disclosure Form, including any attachments, to the best on mplete.
Your Name:	Thomas L. Mitchell, Jr.	Title: Senior Vice President   Chief Operating Officer

Company Name or DBA: FM3IS Associates, L.L.C.

Date: 04/29/2016

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

* = Rec	uired	field	5
- neu	lanea	HEIU:	2

• = Required fields		
	City of San Antonio Contracts Disclosure Form	Office of th City Clerk
	Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.	city citin
	For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.	
	*This is a 💿 New Submission or 🔿 Correction or 🎧 Update to previous submission.	
1. Name of perso	on submitting this disclosure form.	
First: Steven	M.I. A Last: Parshall	Suffix:
2. Contract infor	mation.	
) Contract or pro	pject name: Spatial Programming and Efficiency Study for the Frost Bank Tower	]
o) Originating de	partment: Transportation and Capital Improvements	•
3. Name of indivi	dual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).	
Hellmuth, Obata &	& Kassabaum, L.P.	
4. List any indivic sted in Question	dual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the in 3.	dividual or entity
🗸 Not applicable.	. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.	
Names of partn and officers of e	ner, parent, joint venture or subsidiary entities, and all the board members, executive comn each entity:	nittee members,
5. List any individ	duals or entities that will be subcontractors on this contract.	
✓ Not applicable.	No subcontractors will be retained for this contract.	

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

\*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



### Office of the City Clerk

#### \*7. Disclosure of political contributions.

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List of contributions:

#### Updates on Contributions Required

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I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



### Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

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√ No

Yes

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*	-	Rod	uirec	fiel	de
	=	reu	uirec	ne	us



Office of the City Clerk

This is not a high-profile	ions for "High-Profile" Contracts		
This is a high-profile cor			
following individuals are candidates for City Coun business day after the so signatory to contract ind	contract has been designated as a hig prohibited from making campaign or cil, or political action committees that dicitation has been released until 30 ca lividual(s) seeking the contract, owner by attorney, lobbyist, or consultant reta	r officeholder contributions to t make contributions to City C alendar days after the contrac r or officer of an entity seeking	o members of City Council, council elections from the 10th at has been awarded: legal g the contract, the spouse of any o
V I warrant that no contrib Campaign Finance Code	putions have been made by these indi e.	ividuals in violation of Section	2-309 of the Municipal
*4. Conflict of laterat O			
*4. Conflict of Interest Qu Chapter 176 of the Local Go	<u>estionnaire (CiQ)</u> <u>overnment Code</u> requires <u>all</u> contracto	ors and vendors to submit a Co	onflict of Interest Ouestionnaire
	he City Clerk, even if contract is not de		similar of interest Question nume
I acknowledge that I hav Code.	ve been advised of the requirement to	o file a CIQ form under Chapte	er 176 of the Local Government
	*Oa	ith	
	e statements contained in this Contra ief are true, correct, and complete.	acts Disclosure Form, including	g any attachments, to the best of
Your Name: Steven A. Pa	rshall	Title: Sr. Vice Preside	ent
	1		Date: 04/29/2016
Company Name or DBA:	Hellmuth, Obata & Kassabaum, L.P.		

San Antonio, Texas 78283-3966

Print Form



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\*This is a 
New Submission or 
Correction or 
Update to previous submission.

\*1. Name of person submitting this disclosure form.

1.0.0			-		Marco	
First:	Linda	M.I.	G	Last:	Alderson	Suffix:

\*2. Contract information.

a) Contract or project name: Spatial Programming and Efficiency Study for The Frost Bank Tower

b) Originating department:

\*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

Alderson & Associates, Inc.

\*4. List any individual(s) or entity(les) that is a partner, parent, joint venture, or subsidiary entity(les) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

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Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

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List of contributions:

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Office of the City Clerk

#### 9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√No

Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### Acknowledgements

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✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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- Children			
*3. Contribution Prohibit	ions for "High-Profile" Contracts		
This is not a high-profile	contract.		
O This is a high-profile cor	itract.		
	nestionnaire (CIQ) avernment Code requires <u>all</u> contractor the City Clerk, even if contract is not de		
	ve been advised of the requirement to		
	*Oat	th	
	e statements contained in this Contrac ief are true, correct, and complete. ) AMM	cts Disclosure Form, incl	uding any attachments, to the best o Sident
Company Name or DBA:	Alderson & Associates, Inc.		Date: 04/12/2016
	Please fill this form out online, print proposal to originating department If necessary to Purcha P.O. Box	. All questions must be mail, send to: asing	

San Antonio, Texas 78283-3966

= Required fields	
S. C.	City of San Antonio
	Contracts Disclosure Form Office of the
	<b>City Clerk</b> Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.
Fo	r details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.
*Th	is is a 💽 New Submission or 🦳 Correction or 🎧 Update to previous submission.
I. Name of person sub	mitting this disclosure form.
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### Office of the City Clerk

#### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
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- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

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**Penalty.** A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



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√ No

Yes

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* = Required fields		
2200	City of San Antonio Contracts Disclosure Form	Office of the
	contracts Disclosure Form	City Clerk
3. Contribution Prohibitions f	or "High-Profile" Contracts	
This is not a high-profile contine	ract.	11
This is a high-profile contract.	2	
🔽 I acknowledge that I have bee	<u>ment Code</u> requires <u>all</u> contractors and vendors to submit a Conflict ty Clerk, even if contract is not designated as "High Profile". en advised of the requirement to file a CIQ form under Chapter 176	
	ty Clerk, even if contract is not designated as "High Profile".	
<ul> <li>I acknowledge that I have been code.</li> <li>I swear or affirm that the stat</li> </ul>	ty Clerk, even if contract is not designated as "High Profile". en advised of the requirement to file a CIQ form under Chapter 176	of the Local Government
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<ul> <li>I acknowledge that I have been Code.</li> <li>I swear or affirm that the stat my knowledge and belief are Your Name: Temple Kennedy</li> </ul>	ty Clerk, even if contract is not designated as "High Profile". en advised of the requirement to file a CIQ form under Chapter 176 <b>*Oath</b> rements contained in this Contracts Disclosure Form, including any e true, correct, and complete. Title: Partner & Senior Cor	of the Local Government attachments, to the best of
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P.O. Box 839966
San Antonio, Texas 78283-3966

Print Form

	City of San Antonio Contracts Disclosure Form	City Cler
	Please fill out this form online, print completed form and proposal to originating department. All questions must	
	ails on use of this form, see <u>Section 2-59 through 2-61</u> of t	
*This is *1. Name of person submitti	a • New Submission or   Correction or   Update to pu	revious submission.
First: Carrie	M.I. Last: Combs	Suffix:
*2. Contract information.		
a) Contract or project name:	Spatial Programming & Efficience	u study for Frist Banle
b) Originating department:	TCI	Tower
*3. Name of individual(s) or e COMBS Consulting Group	entity(ies) seeking a contract with the city (i.e. parties to th	e contract).
COMBS Consulting Group '4. List any individual(s) or e	ntity(ies) seeking a contract with the city (i.e. parties to th	
COMBS Consulting Group 4. List any individual(s) or existed in Question 3.	ntity(ies) that is a partner, parent, joint venture, or subsidia	ary entity(ies) of the individual or entity
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Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

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√No

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Office of the City Clerk

*3. Contribution Prohibiti	ons for "High-Profile" Contracts	
<ul> <li>This is not a high-profile</li> </ul>	contract.	
○ This is a high-profile con	tract.	
*4. Conflict of Interest Qu	<u>estionnaire (CIQ)</u>	
	<u>vernment Code</u> requires <u>all</u> contractors a he City Clerk, even if contract is not desig	nd vendors to submit a Conflict of Interest Questionnaire nated as "High Profile".
✓ I acknowledge that I hav Code.	e been advised of the requirement to file	e a CIQ form under Chapter 176 of the Local Government
	*Oath	
	e statements contained in this Contracts ef are true, correct, and complete.	Disclosure Form, including any attachments, to the best of
Your Name: Carrie E. Com	ibs avril 9. Comos	Title: President
Company Name or DBA:	COMBS Consulting Group	Date: 04/29/2016
	Please fill this form out online, print co proposal to originating department. A If necessary to ma Purchasin P.O. Box 839	II questions must be answered. il, send to: 19

San Antonio, Texas 78283-3966



Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a <a>> New Submission or</a> <a>Correction or</a> <a>Cupdate to previous submission.</a>

*1. Name of person submitting this disclosure form.
---

First:	Gardner	M.I. M	Last:	Peavy	Suffix:

\*2. Contract information.

a) Contract or project name: Spatial Programming & Efficiency Study for The Frost Bank Tower

b) Originating department: City of San Antonio Transportation & Capital Improvements

\*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

Peloton Real Estate Partners San Antonio, LLC

\*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

Peloton Commercial Real Estate, L.P.

Names of executive committee members, officers, partners, and directors:

T.D. Briggs and Joel Pustmueller

\*5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

Peloton Capital Partners, LLC



\*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

**v**Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

#### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

### ✓ List of contributions:

Name of Contributor	To Whom		Total Amount of Contribution(s)	Add
Gardner M. Peavy	Ivy Taylor Mayoral Campaign	05/2015	\$500.00	Delete

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

**Penalty.** A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

\*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



I am aware of the following conflict(s) of interest:

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓ No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### \*1. Updates Required

### Acknowledgements

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.



### \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

### \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

### \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

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	e statements contained in this Contract ief are true, correct, and complete.	s Disclosure Form, includ	ing any attachments, to the best of
Your Name: Gardner M P	eavy	Title: Partner	
Company Name or DBA:	Peloton Commercial Real Estate		Date: 12/15/2015
	Please fill this form out online, print o	•	
	proposal to originating department.	•	swered.
	If necessary to m	ail, send to:	
	Purchas	na	

P.O. Box 839966 San Antonio, Texas 78283-3966

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1. Name of person	submitting	this disclose	ure form.				
First: Kenneth			M.I. W	Last: Pola	sek		Suffix:
2. Contract informa	ation.						
a) Contract or proje	ect name:	COSA Spa	tial Planning				
b) Originating depa	artment.						
	ual(s) or enti	ty(ies) seeki	ng a contrac	t with the city (i.e	parties to the co	ntract).	
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AG CM Inc. 4. List any individu isted in Question 3.	al(s) or entit	y(ies) that is	a partner, p	arent, joint ventu	re, or subsidiary e	ntity(ies) of the	
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### Office of the City Clerk

#### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

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- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

**Penalty.** A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



### Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√ No

Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### Acknowledgements

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale,or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### 2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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\* = Required fields

# City of San Antonio Contracts Disclosure Form

### Office of the City Clerk

*3. Contribution Prohibit	tions for "High-Profile" C	ontracts
This is not a high-profile	e contract.	
This is a high-profile co	ntract.	
following individuals are candidates for City Cour business day after the so signatory to contract ind	e prohibited from making ncil, or political action com olicitation has been release dividual(s) seeking the con	ated as a high-profile contract by the city. I further acknowledge that the campaign or officeholder contributions to members of City Council, nmittees that make contributions to City Council elections from the 10th ed until 30 calendar days after the contract has been awarded: legal ntract, owner or officer of an entity seeking the contract, the spouse of any onsultant retained to assist in seeking the contract.
I warrant that no contri Campaign Finance Cod		by these individuals in violation of Section 2-309 of the Municipal
4. Conflict of Interest Qu	uestionnaire (CIO)	
		all contractors and vendors to submit a Conflict of Interest Questionnaire
		tract is not designated as "High Profile".
I acknowledge that I ha Code.	ive been advised of the rec	quirement to file a CIQ form under Chapter 176 of the Local Government
And the second second		*Oath
my knowledge and be	lief are true, correct, and co	
Your Name: Kenneth W.	Polasek	Title: Executive Vice President
	AG CM Inc.	Date: 04/29/2016
Company Name or DBA:		

Print Form