| Ô | City of San Antonio Contracts Disclosure Form Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered. | Office of the City Clerk |
|---|---|-----------------------------|
| | For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code. | _ |
| | *This is a 💿 New Submission or 🕥 Correction or 🕥 Update to previous submission. | |
| *1. Name of perso | n submitting this disclosure form. | |
| First: Katherine | M.I. N Last: McGuyre | Suffix: |
| *2. Contract inform a) Contract or pro | ject name: Spatial Programming and Efficiency Study for the Frost Bank Tower | |
| b) Originating dep | partment: | • |
| | dual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). ing and Consulting | |

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

*5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

FM3iS Associates, L.L.C, Thomas Mitchell; HOK, an employee-owned company; Alderson and Associates, Linda Alderson and Dean Alderson; Fire Protection Group, Gilead Ziemba and Temple Kennedy Combs Design Group, Carrie Combs, Brian Combs, and COMBS Consulting Group, LLC; Peloton Commercial Real Estate, Gardner Peavy, Brad Hardy, Christi Griggs, Kyle Gunter, Jason Adkison AG|CM, Gail Anderson and Derwood Anderson

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



Office of the City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√ No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.



Print Form

Office of the City Clerk

| *3 Contribution Prohibit | ions for "High-Profile" Contracts | | |
|---|--|--------------------------|----------------------------------|
| This is not a high-profile | | | |
| This is a high-profile cor | | | |
| <u> </u> | | | |
| *4. Conflict of Interest Qu | estionnaire (CIO) | | |
| and the second | overnment Code requires all contractors and | vendors to submit a Cor | nflict of Interest Ouestionnaire |
| - | he City Clerk, even if contract is not designa | | |
| 🔽 I acknowledge that I hav | ve been advised of the requirement to file a | CIQ form under Chapter | 176 of the Local Government |
| Code. | | 2 | |
| | | | |
| | *Oath | | |
| the second | e statements contained in this Contracts Dis ief are true, correct, and complete. | closure Form, including | any attachments, to the best of |
| Your Name: Katherine M | cGuyre | Title: Chief Business D | Development Officer |
| | | 1 | D . t 0.1/10/2016 |
| Company Name or DBA: | Facility Programming and Consulting | | Date: 04/18/2016 |
| NO. | Please fill this form out online, print comp | pleted form and submit v | with |
| | proposal to originating department. All q | uestions must be answe | ered. |
| | If necessary to mail, s | send to: | |
| | Purchasing | | |
| | P.O. Box 83996 | | |
| | San Antonio, Texas 78. | 283-3966 | |

Print Form



Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

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*This is a (New Submission or (Correction or (Update to previous submission.

1. Name of person submitting this disclosure form.

| First. | Thomas | M.L. L | Last: | Mitchell | |
|--------|--------|--------|-------|----------|--|

Suffix: Jr.

*2. Contract information.

a) Contract or project name: Spatial Programming and Efficiency Study for the Frost Bank Tower

b) Originating department:

3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

FM3IS Associates, LLC

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members,

5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



Office of the City Clerk

7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



Office of the City Clerk

9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√ No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale,or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.



Office of the City Clerk

| *3. Contribut | tion Prohibitions for "High-Profile" Co | ntracts |
|-----------------------|---|---|
| This is not | a high-profile contract. | |
| ○ This is a hi | gh-profile contract. | |
| *4. Conflict o | of Interest Questionnaire (CIQ) | |
| | <u>f the Local Government Code</u> requires <u>al</u> the Office of the City Clerk, even if contra | <u>l</u> contractors and vendors to submit a Conflict of Interest Questionnaire act is not designated as "High Profile". |
| ✓ I acknowle Code. | edge that I have been advised of the requ | irement to file a CIQ form under Chapter 176 of the Local Government |
| | | *Oath |
| | affirm that the statements contained in edge and belief are true, correct, and cor | this Contracts Disclosure Form, including any attachments, to the best on mplete. |
| Your Name: | Thomas L. Mitchell, Jr. | Title: Senior Vice President Chief Operating Officer |

Company Name or DBA: FM3IS Associates, L.L.C.

Date: 04/29/2016

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

| * = Rec | uired | field | 5 |
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| - neu | lanea | HEIU: | 2 |

| • = Required fields | | |
|--------------------------------------|--|----------------------------|
| | City of San Antonio Contracts Disclosure Form | Office of th City Clerk |
| | Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered. | city citin |
| | For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. | |
| | *This is a 💿 New Submission or 🔿 Correction or 🎧 Update to previous submission. | |
| 1. Name of perso | on submitting this disclosure form. | |
| First: Steven | M.I. A Last: Parshall | Suffix: |
| 2. Contract infor | mation. | |
|) Contract or pro | pject name: Spatial Programming and Efficiency Study for the Frost Bank Tower |] |
| o) Originating de | partment: Transportation and Capital Improvements | • |
| 3. Name of indivi | dual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). | |
| Hellmuth, Obata & | & Kassabaum, L.P. | |
| 4. List any indivic sted in Question | dual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the in 3. | dividual or entity |
| 🗸 Not applicable. | . Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. | |
| Names of partn and officers of e | ner, parent, joint venture or subsidiary entities, and all the board members, executive comn each entity: | nittee members, |
| 5. List any individ | duals or entities that will be subcontractors on this contract. | |
| ✓ Not applicable. | No subcontractors will be retained for this contract. | |

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

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List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



Office of the City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√ No

Yes

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Acknowledgements

*1. Updates Required

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| * | - | Rod | uirec | fiel | de |
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| | = | reu | uirec | ne | us |



Office of the City Clerk

| This is not a high-profile | ions for "High-Profile" Contracts | | |
|---|---|--|--|
| | | | |
| This is a high-profile cor | | | |
| following individuals are candidates for City Coun business day after the so signatory to contract ind | contract has been designated as a hig prohibited from making campaign or cil, or political action committees that dicitation has been released until 30 ca lividual(s) seeking the contract, owner by attorney, lobbyist, or consultant reta | r officeholder contributions to t make contributions to City C alendar days after the contrac r or officer of an entity seeking | o members of City Council, council elections from the 10th at has been awarded: legal g the contract, the spouse of any o |
| V I warrant that no contrib Campaign Finance Code | putions have been made by these indi e. | ividuals in violation of Section | 2-309 of the Municipal |
| *4. Conflict of laterat O | | | |
| *4. Conflict of Interest Qu Chapter 176 of the Local Go | <u>estionnaire (CiQ)</u> <u>overnment Code</u> requires <u>all</u> contracto | ors and vendors to submit a Co | onflict of Interest Ouestionnaire |
| | he City Clerk, even if contract is not de | | similar of interest Question nume |
| I acknowledge that I hav Code. | ve been advised of the requirement to | o file a CIQ form under Chapte | er 176 of the Local Government |
| | *Oa | ith | |
| | e statements contained in this Contra ief are true, correct, and complete. | acts Disclosure Form, including | g any attachments, to the best of |
| Your Name: Steven A. Pa | rshall | Title: Sr. Vice Preside | ent |
| | 1 | | Date: 04/29/2016 |
| Company Name or DBA: | Hellmuth, Obata & Kassabaum, L.P. | | |

San Antonio, Texas 78283-3966

Print Form



Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

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*This is a
New Submission or
Correction or
Update to previous submission.

*1. Name of person submitting this disclosure form.

| 1.0.0 | | | - | | Marco | |
|--------|-------|------|---|-------|----------|---------|
| First: | Linda | M.I. | G | Last: | Alderson | Suffix: |
| | | | | | | |

*2. Contract information.

a) Contract or project name: Spatial Programming and Efficiency Study for The Frost Bank Tower

b) Originating department:

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

Alderson & Associates, Inc.

*4. List any individual(s) or entity(les) that is a partner, parent, joint venture, or subsidiary entity(les) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

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Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



7. Disclosure of political contributions.

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Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

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*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



Office of the City Clerk

9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

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Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√No

Yes

Notice Regarding Prohibited Interest in Contracts.

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Acknowledgements

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| - Children | | | |
|------------------------------|--|--|--|
| *3. Contribution Prohibit | ions for "High-Profile" Contracts | | |
| This is not a high-profile | contract. | | |
| O This is a high-profile cor | itract. | | |
| | nestionnaire (CIQ) avernment Code requires <u>all</u> contractor the City Clerk, even if contract is not de | | |
| | ve been advised of the requirement to | | |
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| | e statements contained in this Contrac ief are true, correct, and complete.) AMM | cts Disclosure Form, incl | uding any attachments, to the best o Sident |
| Company Name or DBA: | Alderson & Associates, Inc. | | Date: 04/12/2016 |
| | Please fill this form out online, print proposal to originating department If necessary to Purcha P.O. Box | . All questions must be mail, send to: asing | |

San Antonio, Texas 78283-3966

| = Required fields | |
|--|---|
| S. C. | City of San Antonio |
| | Contracts Disclosure Form Office of the |
| | City Clerk Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered. |
| Fo | r details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code. |
| *Th | is is a 💽 New Submission or 🦳 Correction or 🎧 Update to previous submission. |
| I. Name of person sub | mitting this disclosure form. |
| irst: Temple | M.I. R Last: Kennedy Suffix: IV |
| . Contract information | n, |
| A REAL PROPERTY OF A REAL PROPERTY OF | |
| Contract or project n | ame: Spatial Programming and Efficiency Study for The Frost Bank Tower |
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|) Originating departm 3. Name of individual(s ire Protection Consulti 4. List any individual(s) sted in Question 3. 7 Not applicable. Cont Names of partner, pa | ent:) or entity(ies) seeking a contract with the city (i.e. parties to the contract). ng Group, LLC or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity racting party(ies) does not have partner, parent, joint venture, or subsidiary entities. rent, joint venture or subsidiary entities, and all the board members, executive committee members, |
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Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

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√ No

Yes

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| * = Required fields | | |
|--|--|--|
| 2200 | City of San Antonio Contracts Disclosure Form | Office of the |
| | contracts Disclosure Form | City Clerk |
| 3. Contribution Prohibitions f | or "High-Profile" Contracts | |
| This is not a high-profile contine | ract. | 11 |
| This is a high-profile contract. | 2 | |
| 🔽 I acknowledge that I have bee | <u>ment Code</u> requires <u>all</u> contractors and vendors to submit a Conflict ty Clerk, even if contract is not designated as "High Profile". en advised of the requirement to file a CIQ form under Chapter 176 | |
| | ty Clerk, even if contract is not designated as "High Profile". | |
| I acknowledge that I have been code. I swear or affirm that the stat | ty Clerk, even if contract is not designated as "High Profile". en advised of the requirement to file a CIQ form under Chapter 176 | of the Local Government |
| I acknowledge that I have been code. I swear or affirm that the stat my knowledge and belief are | ty Clerk, even if contract is not designated as "High Profile". en advised of the requirement to file a CIQ form under Chapter 176 *Oath rements contained in this Contracts Disclosure Form, including any | of the Local Government attachments, to the best of |
| I acknowledge that I have been Code. I swear or affirm that the stat my knowledge and belief are Your Name: Temple Kennedy | ty Clerk, even if contract is not designated as "High Profile". en advised of the requirement to file a CIQ form under Chapter 176 *Oath rements contained in this Contracts Disclosure Form, including any e true, correct, and complete. Title: Partner & Senior Cor | of the Local Government attachments, to the best of |
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| P.O. Box 839966 |
|-------------------------------|
| San Antonio, Texas 78283-3966 |

Print Form

| | City of San Antonio Contracts Disclosure Form | City Cler |
|---|--|---|
| | Please fill out this form online, print completed form and proposal to originating department. All questions must | |
| | ails on use of this form, see <u>Section 2-59 through 2-61</u> of t | |
| *This is *1. Name of person submitti | a • New Submission or 	 Correction or 	 Update to pu | revious submission. |
| First: Carrie | M.I. Last: Combs | Suffix: |
| *2. Contract information. | | |
| a) Contract or project name: | Spatial Programming & Efficience | u study for Frist Banle |
| b) Originating department: | TCI | Tower |
| *3. Name of individual(s) or e COMBS Consulting Group | entity(ies) seeking a contract with the city (i.e. parties to th | e contract). |
| COMBS Consulting Group '4. List any individual(s) or e | ntity(ies) seeking a contract with the city (i.e. parties to th | |
| COMBS Consulting Group 4. List any individual(s) or existed in Question 3. | ntity(ies) that is a partner, parent, joint venture, or subsidia | ary entity(ies) of the individual or entity |
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| 20MBS Consulting Group 4. List any individual(s) or en- sted in Question 3. 2 Not applicable. Contraction Names of partner, parent, and officers of each entity 5. List any individuals or en- | ntity(ies) that is a partner, parent, joint venture, or subsidiang party(ies) does not have partner, parent, joint venture, joint venture or subsidiary entities, and all the board men | ary entity(ies) of the individual or entity or subsidiary entities. |
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Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

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√No

Yes

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Office of the City Clerk

| *3. Contribution Prohibiti | ons for "High-Profile" Contracts | |
|--|--|---|
| This is not a high-profile | contract. | |
| ○ This is a high-profile con | tract. | |
| *4. Conflict of Interest Qu | <u>estionnaire (CIQ)</u> | |
| | <u>vernment Code</u> requires <u>all</u> contractors a he City Clerk, even if contract is not desig | nd vendors to submit a Conflict of Interest Questionnaire nated as "High Profile". |
| ✓ I acknowledge that I hav Code. | e been advised of the requirement to file | e a CIQ form under Chapter 176 of the Local Government |
| | *Oath | |
| | e statements contained in this Contracts ef are true, correct, and complete. | Disclosure Form, including any attachments, to the best of |
| Your Name: Carrie E. Com | ibs avril 9. Comos | Title: President |
| Company Name or DBA: | COMBS Consulting Group | Date: 04/29/2016 |
| | Please fill this form out online, print co proposal to originating department. A If necessary to ma Purchasin P.O. Box 839 | II questions must be answered. il, send to: 19 |

San Antonio, Texas 78283-3966



Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a <a>> New Submission or <a>Correction or <a>Cupdate to previous submission.

| *1. Name of person submitting this disclosure form. |
|---|
|---|

| First: | Gardner | M.I. M | Last: | Peavy | Suffix: |
|--------|---------|--------|-------|-------|---------|
| | | | | | |

*2. Contract information.

a) Contract or project name: Spatial Programming & Efficiency Study for The Frost Bank Tower

b) Originating department: City of San Antonio Transportation & Capital Improvements

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

Peloton Real Estate Partners San Antonio, LLC

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

Peloton Commercial Real Estate, L.P.

Names of executive committee members, officers, partners, and directors:

T.D. Briggs and Joel Pustmueller

*5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

Peloton Capital Partners, LLC



*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

vNot applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

| Name of Contributor | To Whom | | Total Amount of Contribution(s) | Add |
|---------------------|-----------------------------|---------|------------------------------------|--------|
| Gardner M. Peavy | Ivy Taylor Mayoral Campaign | 05/2015 | \$500.00 | Delete |

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



I am aware of the following conflict(s) of interest:

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓ No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

*1. Updates Required

Acknowledgements

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.



*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

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| Your Name: Gardner M P | eavy | Title: Partner | |
| Company Name or DBA: | Peloton Commercial Real Estate | | Date: 12/15/2015 |
| | Please fill this form out online, print o | • | |
| | proposal to originating department. | • | swered. |
| | If necessary to m | ail, send to: | |
| | Purchas | na | |

P.O. Box 839966 San Antonio, Texas 78283-3966

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Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

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Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



Office of the City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√ No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale,or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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City of San Antonio Contracts Disclosure Form

Office of the City Clerk

| *3. Contribution Prohibit | tions for "High-Profile" C | ontracts |
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| This is not a high-profile | e contract. | |
| This is a high-profile co | ntract. | |
| following individuals are candidates for City Cour business day after the so signatory to contract ind | e prohibited from making ncil, or political action com olicitation has been release dividual(s) seeking the con | ated as a high-profile contract by the city. I further acknowledge that the campaign or officeholder contributions to members of City Council, nmittees that make contributions to City Council elections from the 10th ed until 30 calendar days after the contract has been awarded: legal ntract, owner or officer of an entity seeking the contract, the spouse of any onsultant retained to assist in seeking the contract. |
| I warrant that no contri Campaign Finance Cod | | by these individuals in violation of Section 2-309 of the Municipal |
| 4. Conflict of Interest Qu | uestionnaire (CIO) | |
| | | all contractors and vendors to submit a Conflict of Interest Questionnaire |
| | | tract is not designated as "High Profile". |
| I acknowledge that I ha Code. | ive been advised of the rec | quirement to file a CIQ form under Chapter 176 of the Local Government |
| And the second second | | *Oath |
| my knowledge and be | lief are true, correct, and co | |
| Your Name: Kenneth W. | Polasek | Title: Executive Vice President |
| | AG CM Inc. | Date: 04/29/2016 |
| Company Name or DBA: | | |

Print Form