

FY2016 Annual Audit Plan - July 31
As of 7/31/2016

ID	Audit Project Number	Audit Department	Audit Project	Budget Hours	Actual Hours	Planned or Actual	Current Status	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16	Apr '16	May '16	Jun '16	Jul '16	Aug '16	Sep '16
1																			
2	AU16-002	CCDO	Downtown Public Improvement	1,000	1,386.0	Planned	Fieldwork												
3						Actual													
4																			
5	AU16-003	CCDO	Parking Operations	900	1,353.0	Planned	Fieldwork												
6						Actual													
7																			
8	AU16-004	CCDO	HPARC	1,000	381.0	Planned	Reporting												
9						Actual													
10																			
11	AU16-005	CCDO &Cu	Property Management	500		Planned													
12						Actual													
13																			
14	AU16-008	Human Sen	Senior Service Centers	750	652.0	Planned	Planning												
15						Actual													
16																			
17	AU16-009	Human Sen	Delegate Agency Monitoring	750	570.5	Planned	Fieldwork												
18						Actual													
19																			
20	AU16-010	EastPoint	EastPoint Funding	750		Planned													
21						Actual													
22																			
23	AU16-011	EDD	SBEDA Goal Setting	250	187.0	Planned	Fieldwork												
24						Actual													
25																			
26	AU16-012	Finance	Citywide Inventory Management	750		Planned													
27						Actual													
28																			
29																			
30	AU16-013	Health	PII and PHI Data Security	750	669.0	Planned	Fieldwork												
31						Actual													
32																			
33	AU16-014	ITSD	Traffic Signal System Security	750	61.0	Planned	Planning												
34						Actual													
35																			
36	AU16-015	ITSD	IT Resources	750	708.5	Planned	Reporting												
37						Actual													

Planned is Green

Actual is Blue

Planning

Fieldwork



Reporting

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38																			
39	AU16-016	ITSD	Mobile Computing Security	600	186.0	Planned	Reporting												
40						Actual													
41																			
42	AU16-018	ITSD	Network Infrastructure Secur	600	16	Planned													
43						Actual													
44																			
45	AU16-019	ITSD	IT Licensing	1,000	368.0	Planned	Fieldwork												
46						Actual													
47																			
48	AU16-020	Municipal C	Caseload Management	750	515.25	Planned	Fieldwork												
49						Actual													
50																			
51	AU16-021	SAFD	Public Safety Deployment	750	540.5	Planned	Fieldwork												
52						Actual													
53																			
54	AU16-022	SAFD/ SAP	911 Application Controls and	1,000	2,039.0	Planned	Mgmt Res												
55						Actual													
56																			
57	AU16-023	SAFD/ SAP	Public Safety Response Time	1,000		Planned	Mgmt Res												
58						Actual													
59																			
60	AU16-024	SAPD	Public Safety Crisis Respons	750	1,038.5	Planned	Reporting												
61						Actual													
62																			
63																			
64	AU16-025	SAPD	Armory Inventory Manageme	500		Planned													
65						Actual													
66																			
67	AU16-026	SWMD	Variable Rate Pricing	900		Planned													
68						Actual													
69																			
70	AU16-027	TCI	Sidewalks Infrastructure	750	448.0	Planned	Planning												
71						Actual													
72																			
73	AU16-028	TCI	Storm Water Fees	900	228.5	Planned	Fieldwork												
74						Actual													

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Fieldwork



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75																			
76	AU16-F01	Planning &	TIRZ Operations	500	607.0	Planned	Mgmt Res												
77						Actual													
78																			
79	AU16-F02	Library	Fines and Fees Collections	500	19.0	Planned	Planning												
80						Actual													
81																			
82	AU16-F03	CSF	Elevator & Escalator Mainte	500		Planned													
83						Actual													
84																			
85	AU16-F04	SAPD	Off-Duty Employment	500		Planned													
86						Actual													
87																			
88	AU16-F05	SAPD	Alarm Permitting	400		Planned													
89						Actual													
90																			
91	AU16-F08	ITSD	Citrix Security	500	178.0	Planned	Reporting												
92						Actual													
93																			
94	AU16-C01	ITSD	Security System Install Contr	500		Planned													
95						Actual													
96																			
97	AU16-C02	ITSD	Structured Cabling Contract	500	572.75	Planned	Reporting												
98						Actual													
99																			
100	AU16-C03	Finance	Delinq Prop Tax Collections	500	668.5	Planned	Mgmt Res												
101						Actual													
102																			
103	AU16-C04	CSF	Alamodome Clng & Conv Sv	500	14.0	Planned													
104						Actual													
105																			
106	AU16-C05	Municipal C	Fines & Fees Coll Contract	500		Planned													
107						Actual													
108																			
109	AU15-009	Finance	Right of Way Charges to	1,000	724.0	Planned	Fieldwork												
110			Telecomm Companies			Actual													
111																			

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112																			
113		Audits	Completed																
114	AU16-001	ACS	ACS Metrics	500	735.5	Planned	Complete												
115						Actual													
116																			
117	AU16-006	City Clerk	Election Contracts	250	295.5	Planned	Complete												
118						Actual													
119																			
120	AU16-007	City Council	Council Expenses	400	887.0	Planned	Complete												
121						Actual													
122																			
123	AU16-017	ITSD	Wireless Security	600	585.0	Planned	Complete												
124						Actual													
125																			
126	AU16-029	TCI	Advanced Transportation Dis	500	497.0	Planned	Complete												
127						Actual													
128																			
129	AU16-A01	SAPD	State Reporting	400	418.75	Planned	Complete												
130						Actual													
131																			
132	AU16-F06	Finance	Payroll	500	430.0	Planned	Complete												
133						Actual													
134																			
135	AU16-F07	Finance	Payment Card Security	500	475.0	Planned	Complete												
136						Actual													
137																			
138	AU16-A02	SWMD	ReCommunity Attestation		43.0	Planned	Complete												
139						Actual													
140																			
141	AU15-001	Aviation	Concessions	500	8.5	Planned	Complete												
142						Actual													
143																			
144																			
145	AU15-002	Aviation	Parking Revenues	500	22.0	Planned	Complete												
146						Actual													
147																			
148																			

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Reporting



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Fieldwork



Mgmt's Response



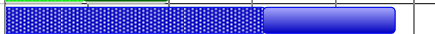
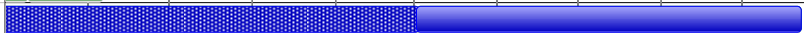
Fieldwork



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149																			
150	AU15-003	City Center	Parking Enforcement	500	137.0	Planned	Complete												
151						Actual													
152																			
153	AU15-011	Finance	Utility Reporting Requirements	1,000	376.0	Planned	Complete												
154			Compliance			Actual													
155																			
156	AU15-013	Finance/HR	Workers' Comp Fund	500	22.5	Planned	Complete												
157						Actual													
158																			
159	AU15-014	HR	Hiring Practices/Processes	500	14.0	Planned	Complete												
160						Actual													
161																			
162	AU15-015	HR	Health Insurance Management	500	36.0	Planned	Complete												
163						Actual													
164																			
165	AU15-018	ITSD	IT Network Access Controls	1,000	26.5	Planned	Complete												
166						Actual													
167																			
168	AU15-019	SAMHD	Immunization Div. - Processes	1,000	1,196.0	Planned	Complete												
169			Efficiencies, Workloads & Metrics			Actual													
170																			
171	AU15-022	SAFD	Hazmat Inspections / Permits	500	41.0	Planned	Complete												
172						Actual													
173																			
174	AU15-024	SAPD	Alarm Permits	500	5.0	Planned	Complete												
175						Actual													
176																			
177	AU15-025	SWMD	Recycling Contract	500	208.0	Planned	Complete												
178						Actual													
179																			
180	AU15-029	TCI	HBGCC Contingency Fund	700	387.0	Planned	Complete												
181						Actual													
182																			
183																			
184																			
185																			

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186																			
187	AU15-030	Aviation	Aviation Leases	650	526.25	Planned	Complete												
188						Actual													
189																			
190	AU15-F02	Finance	P-Card	750	251.0	Planned	Complete												
191						Actual													
192																			
193	AU15-F03	SAFD	New Building Fire Inspection	400	14.0	Planned	Complete												
194						Actual													

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