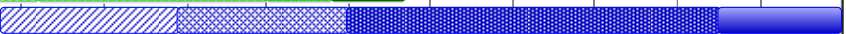


FY2016 Annual Audit Plan - Sep 30
As of 9/30/2016

ID	Audit Project Number	Audit Department	Audit Project	Budget Hours	Actual Hours	Planned or Actual	Current Status	Oct '15	Nov '15	Dec '15	Jan '16	Feb '16	Mar '16	Apr '16	May '16	Jun '16	Jul '16	Aug '16	Sep '16
1																			
2	AU16-002	CCDO	Downtown Public Improvement	1,000	1,455.5	Planned	Mgmt Res												
3						Actual													
4																			
5	AU16-003	CCDO	Parking Operations	900	1,691.0	Planned	Reporting												
6						Actual													
7																			
8	AU16-004	CCDO	HPARC	1,000	388.5	Planned	Reporting												
9						Actual													
10																			
11	AU16-005	CCDO & Cu	Property Management	500	74.75	Planned	Planning												
12						Actual													
13																			
14	AU16-008	Human Sen	Senior Service Centers	750	1,047.25	Planned	Reporting												
15						Actual													
16																			
17	AU16-009	Human Sen	Delegate Agency Monitoring	750	930.5	Planned	Reporting												
18						Actual													
19																			
20	AU16-011	EDD	SBEDA Goal Setting	250	297.0	Planned	Fieldwork												
21						Actual													
22																			
23	AU16-012	Finance	Citywide Inventory Management	750	103.0	Planned	Planning												
24						Actual													
25																			
26																			
27	AU16-013	Health	PII and PHI Data Security	750	1,007.5	Planned	Reporting												
28						Actual													
29																			
30	AU16-014	ITSD	Traffic Signal System Security	750	371.0	Planned	Fieldwork												
31						Actual													
32																			
33	AU16-015	ITSD	IT Resources	750	717.5	Planned	Mgmt Res												
34						Actual													
35																			
36	AU16-016	ITSD	Mobile Computing Security	600	204.0	Planned	Mgmt Res												
37						Actual													

Planned is Green

Actual is Blue

Planning



Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



FY2016 Annual Audit Plan - Sep 30
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38																			
39	AU16-018	ITSD	Network Infrastructure Secur	600	91.0	Planned	Planning												
40						Actual													
41																			
42	AU16-019	ITSD	IT Licensing	1,000	511.0	Planned	Fieldwork												
43						Actual													
44																			
45	AU16-020	Municipal C	Caseload Management	750	809.25	Planned	Fieldwork												
46						Actual													
47																			
48	AU16-021	SAFD	Public Safety Deployment	750	930.0	Planned	Reporting												
49						Actual													
50																			
51	AU16-023	SAFD/ SAP	Public Safety Response Time	1,000	1,484.0	Planned	Mgmt Res												
52						Actual													
53																			
54																			
55	AU16-025	SAPD	Armory Inventory Manageme	500	140.0	Planned	Planning												
56						Actual													
57																			
58	AU16-027	TCI	Sidewalks Infrastructure	750	752.5	Planned	Fieldwork												
59						Actual													
60																			
61	AU16-028	TCI	Storm Water Fees	900	359.75	Planned	Fieldwork												
62						Actual													
63																			
64	AU16-F01	Planning &	TIRZ Operations	500	607.0	Planned	Mgmt Res												
65						Actual													
66																			
67	AU16-F02	Library	Fines and Fees Collections	500	306.75	Planned	Fieldwork												
68						Actual													
69																			
70	AU16-F03	CSF	Elevator & Escalator Mainte	500		Planned													
71						Actual													
72																			
73	AU16-F04	SAPD	Off-Duty Employment	500	22.0	Planned	Planning												
74						Actual													

Planned is Green

Actual is Blue

Planning



Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



FY2016 Annual Audit Plan - Sep 30
As of 9/30/2016

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75																			
76	AU16-F05	SAPD	Alarm Permitting	400	77.0	Planned	Planning												
77						Actual													
78																			
79	AU16-F08	ITSD	Citrix Security	500	203.0	Planned	Mgmt Res												
80						Actual													
81																			
82	AU16-C01	ITSD	Security System Install Contr	500	52.5	Planned	Planning												
83						Actual													
84																			
85	AU16-C02	ITSD	Structured Cabling Contract	500	601.75	Planned	Mgmt Res												
86						Actual													
87																			
88	AU16-C05	Municipal C	Fines & Fees Coll Contract	500	121.0	Planned	Planning												
89						Actual													
90																			
91	AU15-009	Finance	Right of Way Charges to	1,000	902.5	Planned	Fieldwork												
92			Telecomm Companies			Actual													
93																			
94																			
95																			
96		Audits	Completed																
97																			
98	AU16-001	ACS	ACS Metrics	500	736.5	Planned	Complete												
99						Actual													
100																			
101	AU16-006	City Clerk	Election Contracts	250	299.5	Planned	Complete												
102						Actual													
103																			
104	AU16-007	City Council	Council Expenses	400	902.0	Planned	Complete												
105						Actual													
106																			
107	AU16-010	EastPoint	EastPoint Funding	750	7.0	Planned	Cancel												
108						Actual													
109																			
110	AU16-017	ITSD	Wireless Security	600	593.0	Planned	Complete												
111						Actual													

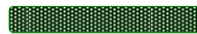
Planned is Green

Actual is Blue

Planning



Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



FY2016 Annual Audit Plan - Sep 30
As of 9/30/2016

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112																			
113	AU16-022	SAFD/ SAP	911 Application Controls and	1,000	600.0	Planned	Complete												
114						Actual													
115																			
116	AU16-024	SAPD	Public Safety Crisis Respons	750	1,052.5	Planned	Complete												
117						Actual													
118																			
119	AU16-026	SWMD	Variable Rate Pricing	900	1.00	Planned	Postpone												
120						Actual													
121																			
122	AU16-029	TCI	Advanced Transportation Dis	500	497.0	Planned	Complete												
123						Actual													
124																			
125	AU16-A01	SAPD	State Reporting	400	418.75	Planned	Complete												
126						Actual													
127																			
128	AU16-F06	Finance	Payroll	500	431.0	Planned	Complete												
129						Actual													
130																			
131	AU16-F07	Finance	Payment Card Security	500	480.0	Planned	Complete												
132						Actual													
133																			
134	AU16-A02	SWMD	ReCommunity Attestation		43.0	Planned	Complete												
135						Actual													
136																			
137	AU16-C03	Finance	Delinq Prop Tax Collections	500	682.5	Planned	Complete												
138						Actual													
139																			
140																			
141	AU16-C04	CSF	Alamodome Clnng & Conv Sv	500	14.0	Planned	Cancel												
142						Actual													
143																			
144	AU15-001	Aviation	Concessions	500	8.5	Planned	Complete												
145						Actual													
146																			
147	AU15-002	Aviation	Parking Revenues	500	22.0	Planned	Complete												
148						Actual													

Planned is Green

Actual is Blue

Planning



Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



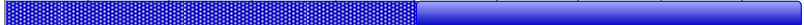
Fieldwork



Mgmt's Response



FY2016 Annual Audit Plan - Sep 30
As of 9/30/2016

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149																			
150	AU15-003	City Center	Parking Enforcement	500	137.0	Planned	Complete												
151						Actual													
152																			
153	AU15-011	Finance	Utility Reporting Requirements	1,000	378.5	Planned	Complete												
154			Compliance			Actual													
155																			
156	AU15-013	Finance/HR	Workers' Comp Fund	500	22.5	Planned	Complete												
157						Actual													
158																			
159	AU15-014	HR	Hiring Practices/Processes	500	14.0	Planned	Complete												
160						Actual													
161																			
162	AU15-015	HR	Health Insurance Management	500	36.0	Planned	Complete												
163						Actual													
164																			
165	AU15-018	ITSD	IT Network Access Controls	1,000	26.5	Planned	Complete												
166						Actual													
167																			
168	AU15-019	SAMHD	Immunization Div. - Processes	1,000	1,196.0	Planned	Complete												
169			Efficiencies, Workloads & Metrics			Actual													
170																			
171	AU15-022	SAFD	Hazmat Inspections / Permits	500	42.0	Planned	Complete												
172						Actual													
173																			
174	AU15-024	SAPD	Alarm Permits	500	5.0	Planned	Complete												
175						Actual													
176																			
177	AU15-025	SWMD	Recycling Contract	500	208.0	Planned	Complete												
178						Actual													
179																			
180	AU15-029	TCI	HBGCC Contingency Fund	700	387.0	Planned	Complete												
181						Actual													
182																			
183																			
184	AU15-030	Aviation	Aviation Leases	650	526.25	Planned	Complete												
185						Actual													

Planned is Green

Actual is Blue

Planning



Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



FY2016 Annual Audit Plan - Sep 30
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186																			
187	AU15-F02	Finance	P-Card	750	251.0	Planned	Complete												
188						Actual													
189																			
190	AU15-F03	SAFD	New Building Fire Inspection	400	14.0	Planned	Complete												
191						Actual													

Planned is Green

Actual is Blue

Planning



Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response

