

Office of the **City Clerk** 

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a @ New Submission or Correction or Update to previous submission. 1. Name of person submitting this disclosure form. First: Kent M.I. Last: Power Suffix: P.E. \*2. Contract information. a) Contract or project name: On-Call Civil Engineering Services for the IMP Program b) Originating department: City of San Antonio Transportation and Capital Improvements \*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). R.H. Shackelford, Inc. (RHSI) \*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3. Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.  $_{\lnot}$ Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: \*5. List any individuals or entities that will be subcontractors on this contract. Not applicable. No subcontractors will be retained for this contract. Subcontractors may be retained, but have not been selected at the time of this submission. List of subcontractors, including the name of the owner(s), and business name: Rock Engineering & Testing LNV, Inc. MS Engineering, LLC Foster CM Group, Inc. Traffic Operations Support Group, LLC \*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract. List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

Required fields



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individu	uals.
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#### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
Council or a city board/commission.
I am aware of the following conflict(s) of interest:





Office of the City Clerk

#### Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission? √No Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### 2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

\* = Required fields



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

•3.	Contribution Prohibitions for "High-Profile" Contracts
•	This is not a high-profile contract.
0	This is a high-profile contract.
	lacknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any other individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
✓	I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.
4.	Conflict of Interest Questionnaire (CIQ)
Cha	pter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire m (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".
	Lacknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.
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	Oath
✓	I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.
Υοι	r Name: Kent Power Title: Vice President
Coi	mpany Name or DBA: R.H. Shackelford, Inc. (RHSI) Date: 02/01/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:
Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966



Rev.2014-11 09/18/14

GR.1075-01.PUR.REPORT.Contracts Disclosure Form



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	*This is a 🌘	New Subm	ission or	<b>€</b> Co	rrection	n or (	⁻ Update	e to previo	ous submiss	sion.	
1. Name of person :	ubmitting t	his disclosur	re form.	0 1			1				
First: Stephen			M.I. G		Last:	Ber	ckenhoff			Suffix:	
*2. Contract informa	tion.			V. 1	2007	511	100				
a) Contract or projec	t name:	On-Call Civi	il Engine	ering S	ervices	for t	he IMP Pr	ogram (T	CI #121420	16DR)	
b) Originating depar	tment:	City of San	Antonio	Transp	ortatio	n &	Capital In	nprovem	ents		
*3. Name of individu		/(les) seekin	g a contr	act wil	th the c	ity (i.	e. parties	to the co	ntract).		
AECOM Technical Se	rvices, Inc								· · · · · · · · · · · · · · · · · · ·		
4. List any individua listed in Question 3.	l(s) or entity	(ies) that is a	a partner	, paren	nt, joint	vent	ure, or su	bsidiary e	entity(ies) of	f the individual	or entity
Not applicable. Co	ontracting p	arty(ies) doc	es not ha	ve pari	tner, pa	rent,	joint ven	ture, or si	ubsidiary er	ntities.	
Names of partner, and officers of eac	parent, join h entity:	t venture or	subsidia	ry enti	ties, an	d all 1	he board	l member	rs, executive	e committee m	embers,
See attachment belo	w for a list o	f AECOM Te	chnical S	Service	s, Inc.'s	Dire	t Subsidi	iaries, Affi	liates, Office	ers, and Directo	ors.
PC I for more to disclosive	l	abot will be			4 <b>L</b> 1						
5. List any individua Not applicable. No						_	tract.				
Subcontractors ma							me of this	s submiss	ion,		
 List of subcontract											
6. List any attorneys ontract.	, lobbyists, c	rconsultan	ts retaine	ed by a	iny indi	vidua	us listed i	n Questic	ons 3, 4, or 5	to assist in see	eking this

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

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Office of the City Clerk

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- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- ☑Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

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#### Updates on Contributions Required

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#### 8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

📝 I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
Council or a city board/commission.
I am aware of the following conflict(s) of interest:



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Office of the **City Clerk** 

#### Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission? ✓No 

#### Notice Regarding Prohibited Interest in Contracts.

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#### **Acknowledgements**

#### 1. <u>Updates Required</u>

[7] I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14

Required fields



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*3. Contribution Prohibitions for "High-Profile" Contracts

This is not a high-profile contract.

C This is a high-profile contract.

#### 4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

		*Oath		
		e statements contained in this Contracts of are true, correct, and complete.	Disclosure	Form, including any attachments, to the best of
Your Name:	Stephen G Be	erckenhoff, PE	Title:	Vice President, Water Business Unit, Texas
Company Na	me or DBA:	AECOM Technical Services, Inc		Date: 02/01/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966

San Antonio, Texas 78283-3966



GR.1075-01.PUR.REPORT.Contracts Disclosure Form

#### AFFILIATED AND/OR SUBSIDIARY COMPANIES

#### AECOM Information:

AECOM Technical Services, Inc. (ATS) is a wholly owned subsidiary of The Earth Technology Corporation (USA), itself a subsidiary of AECOM, a Delaware corporation whose stock is publicly traded on the New York Stock Exchange (ACM/NYSE). The following is a list, compiled after reasonable research and inquiry, of ATS U.S. subsidiaries and affiliates. The principal address for ATS is One California Plaza, 300 South Grand Ave., Los Angeles, CA 90071. All other companies can receive mail there as well.

#### ATS US Subsidiaries (100% owned)

AECOM C&E, Inc. (formerly AECOM, Inc.)

AECOM Infrastructure, Inc.

AECOM Services, Inc.

AECOM USA, Inc.

\*CASS Water Engineering, Inc.

\*Davis Langdon, Inc.

\*DEGW North America Limited, LLC

\*Economics Research Associates

\*EDAW, Inc.

\*Earth Tech Environment & Infrastructure, Inc.

\*Earth Tech WE Holding, Inc.

Leeding Builders Group, LLC

The Hunt Corporation

Tishman Construction Corporation

#### ATS primary US Affiliates

AECOM Abu Dhabi, Inc.

AECOM Capital, Inc.

**AECOM CM Holding Corporation** 

AECOM Consult, Inc.

AECOM Enterprises, Inc.

AECOM Global, Inc.

AECOM Global II. LLC

AECOM Government Services, Inc.

**AECOM Gulf Corporation** 

AECOM International Development, Inc.

**AECOM Management Services Corporation** 

ATC Services, Inc.

Austin-AECOM Corporation

\*Holmes & Narver, Inc.

MT Holdings Corp

\*STRAAM Corporation

#### Additional US Affiliates (Design + Consulting Services)

AECOM Libya Housing and Infrastructure, Inc.

AECOM Pacific, Inc.

AECOM USA of Massachusetts, Inc.

AECOM USA of Michigan, Inc.

August 2015

List excludes project specific entities

entities marked with an \* are inactive/not taking on new work

AECOM Architects, PC

AECOM Architects & Engineers (NJ), Inc.

AECOM Design, a Professional Corporation

AECOM Design Services, Inc.

AECOM Louisiana, A Professional Corporation

AECOM Services of DC, a Professional Corporation

AECOM Services of Illinois, Inc.

AECOM Services of Michigan, Inc.

AECOM Services of NC, Inc.

AECOM Services of Ohio, Inc.

AECOM Services of PA, Inc.

AECOM Technical Services Architects & Engineers, Inc.

AECOM Technical Services of Michigan, Inc.

AECOM Technical Services of North Carolina, Inc.

AECOM North Carolina, Inc.

ATC Architecture, Inc.

ATC Engineering, Inc.

EDAW Landscape Architecture, PC

Aman Environmental Construction, Inc.

\*Banshee Construction Company, Inc.

B.P. Barber & Associates, Inc.

\*Clay Street Properties

Cleveland Wrecking Company

Dames & Moore Group (NY), Inc.

\*D&M Consulting Engineers, Inc.

\*E.C. Driver & Associates, Inc.

ForeRunner Corporation

J.W. Williams, Inc.

LopezGarcia Group, Inc.

\*Radian Engineering, Inc.

URS Alaska, LLC

URS Architects/Engineers, Inc.

URS Architecture - Oregon Inc.

URS Architecture & Engineering - New York, PC

URS Caribe, LLP

**URS** Corporation

**URS Corporation AES** 

**URS Corporation Architecture PC** 

**URS Corporation Design** 

**URS Corporation Great Lakes** 

**URS Corporation Services** 

**URS Corporation Southeast** 

**URS Corporation Southern** 

**URS Corporation-Maryland** 

**URS Corporation-New York** 

**URS Corporation-North Carolina** 

**URS** Corporation-Ohio

\*URS Construction Services, Inc.

\*URS District Services, P.C.

August 2015

List excludes project specific entities





URS Florida Advisory Services, Inc.

URS Global Holdings, Inc.

URS Greiner Woodward-Clyde Consultants, Inc.

URS Group, Inc.

URS International, Inc.

URS Operating Services, Inc.

URS Resources, LLC

URS-Stevenson Architecture, PC

#### Additional US Affiliates (Construction Services Group)

Tishman Construction Corporation of Florida

Tishman Construction Corporation of California

Tishman Construction Corporation of Maryland

Tishman Construction Corporation of Michigan

Tishman Construction Corporation of Massachusetts

Tishman Construction Corporation of Nevada

Tishman Construction Corporation of Missouri

Tishman Construction Corporation of New York

Tishman Construction Corporation of Pennsylvania

Tishman Construction Corporation of Tennessee

Tishman Construction Corporation of D.C.

Tishman Technologies Corporation

Tishman Construction Corporation of Illinois

Tishman Construction Corporation of New Jersey

Tishman Construction Corporation of New England

Tishman Construction Corporation of Virginia

Tishman Construction Corporation of Puerto Rico

Tishman Construction Canada, Inc.

Tishman Interiors Corporation

Trimco Insurance Company

Advatech, LLC

Badger Middle East, Inc.

Energy Overseas International, Inc.

Flint Energy Services, Inc.

Flint USA, Inc.

Northern Architects, Inc.

\*Ralph Tyler Services, Ltd

Raytheon-Ebasco Overseas, Ltd

Rust Constructors Inc.

Rust Constructors Puerto Rico, Inc.

SGT PLLC

Sub-One Technology, Inc.

The Leasing Corporation

The Steam Generating Team LLC

United Mid-East, Inc.

**URS Architects. LLC** 

URS Asia, Inc.

**URS Constructors, LLC** 

URS E&C Holdings, Inc.

August 2015

List excludes project specific entities

entities marked with an \* are inactive/not taking on new work

URS Energy & Construction, Inc.

URS Flint Industrial Services, Inc.

URS Global Services, Inc.

URS International Projects, Inc.

URS Michigan, LLC

**URS Nuclear, LLC** 

**URS Overseas Operations, LLC** 

Washington California, Inc.

Washington Construction Corporation

Washington Group Latin America, Inc.

Washington Infrastructure Corporation

Washington Ohio Services, LLC

Washington Quality Inspection Company

Washington-Catalytic, Inc.

WD Global, Inc.

WGI Global, Inc.

\*WGI Industrial Services, Ltd

WGI Middle East, Inc.

### Additional US Affiliates (Management Services Group)

AECOM Special Mission Services, Inc.

Pacific Contingency Services, LLC

AC First, LLC

Civilian Police International LLC

AECOM GSS, LTD

AGS Sudan, Ltd

CSA, Ltd

Atlantic Contingency Constructors, LLC

AECOM National Security Programs, Inc.

McNeil Security, Inc.

Global Linguist Solutions, LLC

**Qbase-McNeil Integrated Solutions** 

Gulf Coast Petroleum Reserve Operations, LLC

AECOM International Development, Inc. (AID)

EG&G Defense Materials, Inc.

Global Energy Services LLC

Lear Siegler Logistics International, Inc.

Nuclear Production Partners, LLC

URS Federal Project Services, LLC

URS Federal Services, Inc.

URS Federal Services International, Inc.

URS FS Commercial Operations, Inc.

**URS Professional Solutions, LLC** 

Washington Demilitarization Company, LLC

Washington Government Environmental Services Company, LLC

West Valley Nuclear Services Company, LLC

WSMS-MK, LLC









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\*This is a @ New Submission or Correction or Update to previous submission. 1. Name of person submitting this disclosure form. Suffix: CCM First: Paul M.I. W Last: Foster 2. Contract information. a) Contract or project name: On-Call Civil Engineering Services for the IMP Program (TCI #12142016DR) b) Originating department: \*3. Name of individual(s) or entity(les) seeking a contract with the city (i.e. parties to the contract). Foster CM Group, Inc. \*4. List any individual(s) or entity(les) that is a partner, parent, joint venture, or subsidiary entity(les) of the individual or entity listed in Question 3. Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, Jand officers of each entity: '5. List any individuals or entities that will be subcontractors on this contract. ✓ Not applicable. No subcontractors will be retained for this contract. Subcontractors may be retained, but have not been selected at the time of this submission. List of subcontractors, including the name of the owner(s), and business name: \*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract. List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



Office of the City Clerk

#### 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- ☐ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

#### ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Paul W. Foster	Mayor Ivy Taylor	10/04/2016	\$150.00	Delete
Paul W. Foster	Councilman Ron Nirenberg	08/23/2016	\$100.00	Delete
Paul W. Foster	Mayor Ivy Taylor	06/16/2016	\$500.00	Delete
Paul W. Foster	Councilman Ray Lopez	05/14/2016	\$100.00	Delete
Paul W. Foster	Councilman Joe Krier	04/22/2016	\$150.00	Delete
Paul W. Foster	Councilman Ron Nirenberg	04/18/2016	\$350.00	Delete
Paul W. Foster	Mayor Ivy Taylor	06/01/2015	\$300.00	Delete
Paul W. Foster	Mayor Ivy Taylor	11/14/2014	\$250.00	Delete

#### Updates on Contributions Required

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- a) Legal signatory of a high-profile contract
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- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### 8. Disclosure of conflict of interest. Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials? 📝 I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. I am aware of the following conflict(s) of interest:

#### 9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

ı	Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
l	<b>☑</b> No
	Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own

Required fields



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

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If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### **Acknowledgements**

#### 1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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#### \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

8 84	in the same	To refer the same	Oath	
		statements contained in this Co of are true, correct, and complete		Form, including any attachments, to the best of
Your Name:	Paul W. Foste	r, CCM	Title:	President/CEO
Company Na	me or DBA:	Foster CM Group, Inc.		Date: 01/18/2017





Office of the **City Clerk** 

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

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Office of the **City Clerk** 

**Update**Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see  $\underline{\text{Section 2-59}}$  through 2-61 of the City's Ethics Code.

\*This is a ( New Submission or ( Correction or ( Update to previous submission.

	g this disclosure form.		ALLEGE OF THE	
First: Derek	M.I. <u>E.</u>	Last:	Naiser	Suffix: PE, CFM
*2. Contract information.				
a) Contract or project name:	On-Call Civil Engineerin	ng Services		
b) Originating department:	City of San Antonio Tra	ansportation	& Capital Improver	ments
*3. Name of individual(s) or en	itity(les) seeking a contract	with the cit	y (i.e. parties to the	contract).
LNV, Inc.				
<ol> <li>List any individual(s) or ent listed in Question 3.</li> </ol>	tity(ies) that is a partner, pa	arent, joint v	enture, or subsidiar	y entity(les) of the individual or entity
—				
✓ Not applicable. Contracting	g party(ies) does not have	partner, pare	ent, joint venture, o	r subsidiary entities.
				r subsidiary entities. Ders, executive committee members,
Names of partner, parent, jo and officers of each entity:	oint venture or subsidiary e	entities, and	all the board memb	
Names of partner, parent, jo and officers of each entity: *5. List any individuals or entit	oint venture or subsidiary e	entities, and	all the board memb	
Names of partner, parent, jo	oint venture or subsidiary e ties that will be subcontrac tractors will be retained for	entities, and tors on this or this contract	all the board memb	ers, executive committee members,
Names of partner, parent, journal officers of each entity:  *5. List any individuals or entity  Not applicable. No subcontinuous of the	pint venture or subsidiary e ties that will be subcontrac tractors will be retained for sined, but have not been so	tors on this contracted at the	contract.	ers, executive committee members,
Names of partner, parent, jo and officers of each entity:  *5. List any individuals or entity  Not applicable. No subcont  Subcontractors may be reta  List of subcontractors, inclu	cies that will be subcontract tractors will be retained for sined, but have not been so ding the name of the own	tors on this or this contracted at the	contract.  it.  e time of this submissiness name:	ers, executive committee members,
Names of partner, parent, jo and officers of each entity:  *5. List any individuals or entity  Not applicable. No subconty  Subcontractors may be retated  List of subcontractors, inclue  *6. List any attorneys, lobbyist	cies that will be subcontract tractors will be retained for sined, but have not been so ding the name of the own	tors on this or this contracted at the er(s), and but by any indivi	contract.  et time of this submissiness name:	ission.  tions 3, 4, or 5 to assist in seeking this



Office of the City Clerk

#### Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

#### **✓** List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Derek E. Naiser	Ron Nirenberg	02/25/2016	\$500.00	Delete
Derek E. Naiser	Rebecca Viagran	03/29/2016	\$500.00	Delete
Derek E. Naiser	Ray Lopez	05/05/2016	\$500.00	Delete
Derek E. Naiser	Joe Krier	05/31/2016	\$500.00	Delete
Derek E. Naiser	lvy Taylor	08/04/2016	\$1,000.00	Delete
Derek E. Naiser	CEPAC	08/29/2016	\$375.00	Delete
Derek E. Naiser	ACEC PAC	08/29/2016	\$375.00	Delete
Susan Naiser	lvy Taylor	10/13/2016	\$500.00	Delete
Derek E. Naiser	Robert Trevino	10/18/2016	\$250.00	Delete
Derek E. Naiser	Rey Saldana	10/19/2016	\$500.00	Delete
Derek E. Naiser	Joseph Cortez	12/21/2016	\$500.00	Delete
Derek E. Naiser	Cris Medina	01/03/2017	\$500.00	Delete
Derek E. Naiser	Rebecca Viagran	01/03/2017	\$500.00	Delete
Derek E. Naiser	Lynlie Wallace	01/30/2017	\$500.00	Delete
Derek E. Naiser	Shirley Gonzales	01/30/2017	\$500.00	Delete
Byron G. Sanderfer	Rebecca Viagran	01/31/2017	\$100.00	Delete
Alan V. Thompson	Ron Nirenberg	03/19/2016	\$100.00	Delete
Alan V. Thompson	lvy Taylor	05/02/2016	\$100.00	Delete







Office of the **City Clerk** 

#### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
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Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### 8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these

city officials?
✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
I am aware of the following conflict(s) of interest:
9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
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✓No
TYPS

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City

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Office of the City Clerk

contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see <u>Section 141 of the City Charter</u> and <u>Section 2-52</u> of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### **Acknowledgements**

#### 1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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#### 3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

#### Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.





Office of the **City Clerk** 

THE STATE		*Oath
	affirm that the statements contained in telege and belief are true, correct, and cor	this Contracts Disclosure Form, including any attachments, to the best of nplete.
Your Name:	Derek E. Naiser, PE, CFM	Title: Executive Vice President/Principal
Company Na	me or DBA: LNV, Inc.	Date: 02/01/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

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Office of the **City Clerk** 

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. \*This is a @ New Submission or Correction or Update to previous submission.

*1. Name of person submitting	this disclosure form.	100			
First: Miyoung	M.I. <u>I</u>	<u> </u>	.ast:	Squire	Suffix:
*2. Contract information.				Market Service	
a) Contract or project name:	ON-CALL CAPITAL	CIVIL ENGI	INEERI	NG SERVICES I	FOR IMP PROGRAM
b) Originating department:	City of San Antonio	Transpor	rtation	& Capital Imp	rovements
*3. Name of individual(s) or ent	ity(ies) seeking a cont	ract with	the cit	y (i.e. parties t	o the contract).
MSE GROUP, LLC					
*4. List any individual(s) or enti listed in Question 3.	ly(les) that is a partne	r, parent, j	joint v	enture, or sub	sidiary entity(ies) of the individual or entity
✓ Not applicable. Contracting	party(ies) does not ha	ave partne	er, pare	ant, joint venti	ire, or subsidiary entities.
Names of partner, parent, jo and officers of each entity:	nt venture or subsidia	ary entitie	s, and	all the board r	nembers, executive committee members,
*5. List any individuals or entiti	es that will be subcon	tractors o	n this	contract.	
✓ Not applicable. No subconti	actors will be retained	d for this c	contra	ct.	
Subcontractors may be retai	ned, but have not bee	en selecte	d at th	e time of this :	submission.
List of subcontractors, include	ling the name of the o	owner(s), a	and bu	ısiness name:	
*6. List any attorneys, lobbyists contract.	, or consultants retain	ed by any	/ indivi	iduals listed in	Questions 3, 4, or 5 to assist in seeking this
✓ Not applicable. No attorney	s, lobbyists, or consult	ants have	e been	retained to as	sist in seeking this contract.
List of attorneys, lobbyists, o	r consultants retained	to assist	in seel	king this contr	act;



Office of the City Clerk

#### 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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I	✓ Not applicable.	No campaign o	r officeholder	contributions h	iave been n	nade in preceding	24 months by	these individuals
ı								

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✓ I am not aware of any conflict(s) of interest issues und	er Section 2-43 or 2-44 of the City Ethics Code for members of City
Council or a city board/commission.	
I am aware of the following conflict(s) of interest:	







Office of the **City Clerk** 

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#### Acknowledgements

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= Required fields



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*3. Contribution Prohibitions for "High-Profile" Contracts

This is not a high-profile contract.

This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

☑ Lacknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

***	Path
I swear or affirm that the statements contained in this Cont my knowledge and belief are true, correct, and complete.	racts Disclosure Form, including any attachments, to the best of
Your Name: Miyoung H. Squire	Title: President
Company Name or DBA: MSE Group, LLC	Date: 01/27/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966



**ARHSI** 



## **City of San Antonio Discretionary Contracts Disclosure**

Office of the **City Clerk** 

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a @ New Submission or Correction or Update to previous submission.

1. Name of person submitting	this disclosure form.			
First: Kyle	M.I. D	Last:	Hammock	Suffix:
*2. Contract information.				
a) Contract or project name:	On-Call Civil Engineering	Services	for the IMP Progra	nm
b) Originating Department:	Transportation and Capi	tal Impro	vements	
*3. Name of individual(s) or enti Rock Engineering & Testing Lab	The Wilder Committee of the Committee of	with the c	ity (i.e. parties to t	ne contract).
*4. List any business entity(les) Question 3.  Not applicable. Contracting			University the	es) of the individual or entity listed in
Names of partner, parent, or		•		Dusiness enucies.
5. List any individuals or entitle Not applicable. No subcontr Subcontractors may be retain List of subcontractors:	actors will be retained for t	his contr	act.	imission.
6. List any attorneys, lobbyists, contract.	or consultants retained by	any indi	viduals listed in Qu	estions 3, 4, or 5 to assist in seeking this
✓ Not applicable. No attorneys  List of attorneys, lobbyists, or				-
\$100 to any current member of action committee that contribut  a) any individual seekir b) any owner or officer c) any individual or ow d) any subcontractor of e) the spouse of any individual or ow	er contributions made by t City Council, former memb les to City Council election ng contract with the city (O of entity seeking contract	per of City s: Question 3 with the sted above racting er to (a) thre	Council, any cand city (Question 3) we as a partner, paintity retained for though (d) above	
✓ Not applicable. No campaigr	or officeholder contributi	ions have	been made in pre	ceding 24 months by these individuals.

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\* = Required fields



## City of San Antonio Discretionary Contracts Disclosure

Office of the City Clerk

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#### **Updates on Contributions Required**

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#### 8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

v	I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of Cit
	Council or a city board/commission.

I am aware	of the	following	conflict(s)	of interest.
------------	--------	-----------	-------------	--------------

#### \*Acknowledgements

#### **✓ Updates Required**

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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## **City of San Antonio Discretionary Contracts Disclosure**

Office of the **City Clerk** 

This is not a high-profile contract.

This is a high-profile contract.

#### \*Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires contractors and vendors to submit a Conflict of Interest Form (CIQ) to the Office of the City Clerk.

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath					
I swear or affirm that the statements contained in this Discretionary Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
Your Name: Kyle D. Hammock Title: Vice President					
Company Name or DBA:	Rock Engineering & Testing Laboratory, Inc		Date: 01/27/2017		

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

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## **City of San Antonio Discretionary Contracts Disclosure**

Office of the **City Clerk** 

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a @ New Submission or Correction or Update to previous submission.

*1. Name of person submitting this disclosure form.					
First: Frank	M.I. <u>G</u>	Last:	Fonseca		Suffix:
*2. Contract information.					Access Constant No. of the
the Brief and Burging of the Control	ON CALL COME FAICHE	FRINIC SER	Here f at the	22222	THE CHARGE THE
a) Contract or project name:	ON-CALL CIVIL ENGINE	EKING SEK	VICES for the IMP	PROGRAM	
b) Originating department:	City of San Antonio Tra	ansportation	on & Capital Impro	ovements	
*3. Name of individual(s) or ent	Name and Address of the Address of t	t with the o	ity (i.e. parties to	the contract).	
Traffic Operations Support Gro	ıp, LLC	-			
*4. List any business entity(ies) Question 3.	that is a partner, parent,	or subsidia	ry business entity	(ies) of the individual o	r entity listed in
✓ Not applicable. Contracting	party(ies) does not have	partner, pa	rent, or subsidiar	y business entities.	
Names of partner, parent, or	subsidiary business entit	ies:			
*5. List any individuals or entitle	s that will be subcontrac	tors on th	s contract.		£11
Not applicable. No subcontr	actors will be retained fo	r this contr	act.		
Subcontractors may be retain	ned, but have not been s	elected at	the time of this su	ibmission.	
List of subcontractors:					
*6. List any attorneys, lobbyists, contract.	or consultants retained	by any indi	viduals listed in C	Questions 3, 4, or 5 to as	sist in seeking this
✓ Not applicable. No attorney	, lobbyists, or consultant	s have bee	n retained to assi	st in seeking this contra	ict.
List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:					
*7. Disclosure of political contri	outions.	# U E T			
List any campaign or officehold					
\$100 to any current member of action committee that contribute			/ Council, any can	didate for City Council,	or to any political
	ng contract with the city		3)		
b) any owner or officer	of entity seeking contract	t with the	city (Question 3)		
	ner or officer of an entity				
	r owner/officer of subcor dividual listed in respons			the contract (Question	5)
	t, or consultant retained			(Question 6)	
✓ Not applicable. No campaig			-		these individuals.

GR.1075-01.PUR.REPORT.Discretionary Contracts Disclosure Rev.2011-6 10/05/11

\*= Required fields



## City of San Antonio Discretionary Contracts Disclosure

Office of the City Clerk

List of contributions:	·	

#### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed Individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### 8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

пку отклати	
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.	
I am aware of the following conflict(s) of interest:	

#### Acknowledgements

#### 1. <u>Updates Required</u>

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### 2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.









## **City of San Antonio Discretionary Contracts Disclosure**

Office of the **City Clerk** 

#### **Acknowledgements (continued)**

#### \*3. Contribution Prohibitions for "High-Profile" Contracts

This is not a high-profile contract.

This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath					
I swear or affirm that the statements contained in this Discretionary Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
Your Name:	Frank G. Fons	eca	Title:	Owner	
Company Na	me or DBA:	Traffic Operations Support Group, LLC / DB	A TOSC	ā,LLC	Date: 02/02/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a • New Submission or Correction or Update to previous submission. 1. Name of person submitting this disclosure form. First: Bart M.I. Suffix: PE Last: Standley Contract information. a) Contract or project name: City of San Antonio On-Call Civil Engineering Services for the IMP Program b) Originating department: \*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). RPS Klotz Associates \*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3. √ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: 5. List any individuals or entities that will be subcontractors on this contract. Not applicable. No subcontractors will be retained for this contract. Subcontractors may be retained, but have not been selected at the time of this submission. ✓ List of subcontractors, including the name of the owner(s), and business name: Arias & Associates, Gonzalez- De La Garza & Associates, Foster CM Group and Altura Solutions 6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract. Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract. List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



Office of the City Clerk

## 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
- ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Luis Cuellar, PE	Ron Nirenberg for City Council COSA	09/20/2016	\$150.00	Delete
RPS Klotz Associates PAC	Ron Nirenberg for City Council COSA	04/15/2016	\$250.00	Delete
RPS Klotz Associates PAC	Joe Krier for City Council COSA	07/13/2016	\$250.00	Delete
RPS Klotz Associates PAC	Ivy Taylor for Mayor COSA	06/04/2015	\$1,000.00	Delete

## Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
☑No
□Yes

## Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

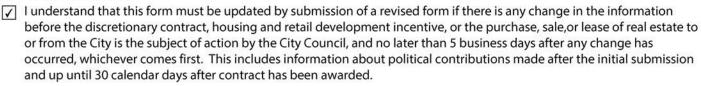
Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

## **Acknowledgements**

## \*1. Updates Required





## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## 2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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## \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

## \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath							
✓ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.							
Your Name: Bart Standley	/, PE	Title: Vice President					
Company Name or DBA:	RPS Klotz Associates	<b>Date:</b> 01/30/2017					

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

Print Form



Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code. \*This is a New Submission or Correction or Update to previous submission.

*1. Name of person submitting t	his disclosure for	rm.		
First: Jesus	M.	.l. <u>E</u> Last	: Lardizabal	Suffix:
*2. Contract information.				
a) Contract or project name:	IMP P	rogram	RFQ	
b) Originating department:	and	Sananta	nio Transportati	ran + copital improveme
*3. Name of individual(s) or entit	y(ies) seeking a c	contract with the	e city (i.e. parties to the con	tract).
Altura Solutions,	LP			
*4. List any individual(s) or entity listed in Question 3.	(ies) that is a par	tner, parent, joi	nt venture, or subsidiary en	tity(ies) of the individual or entity
Not applicable. Contracting p				
Names of partner, parent, joir	t venture or sub	sidiary entities,	and all the board members,	executive committee members,
and officers of each entity:	29nn Ann	e Carre	9	
*5. List any individuals or entitle	s that will be sub	contractors on t	this contract.	
Not applicable. No subcontra	ctors will be reta	ined for this cor	ntract.	
Subcontractors may be retain	ed, but have not	been selected a	at the time of this submission	on.
List of subcontractors, includi	ng the name of t	he owner(s), and	d business name: Altw	a Solutions, up
*6. List any attorneys, lobbyists, contract.	or consultants re	etained by any ir	ndividuals listed in Question	ns 3, 4, or 5 to assist in seeking this
Not applicable. No attorneys	lobbyists, or cor	nsultants have b	een retained to assist in see	eking this contract.
List of attorneys, lobbyists, or	consultants retain	ined to assist in	seeking this contract:	



Office of the City Clerk

## \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

	1	Not applicable.	No campaign of	or officeholder	contributions	have been i	made in p	receding 2	4 months by	y these	individuals
--	---	-----------------	----------------	-----------------	---------------	-------------	-----------	------------	-------------	---------	-------------

lict	ot.	contribution	-
LIST	OI.	CONTINUUTION	Э.

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
Council or a city board/commission.

		of the	fallowing	conflict(s)	of interest
- 11	am aware	or the	rollowing	conflict(s)	of interest.



Office of the City Clerk

## \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

	1	
-	IN	О
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	1/
	Yes
$\overline{}$	

## Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

## Acknowledgements

## \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## \*2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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Office of the City Clerk

*3. Contribution Prof	ibitions for "High-Profile" Contracts
This is not a high-pr	ofile contract.
○ This is a high-profile	e contract.
	at Questionnaire (CIQ) al Government Code requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire of the City Clerk, even if contract is not designated as "High Profile".
	I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath							
I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.							
Your Name: JUSUS Land rabal	Title:	President					
Company Name or DBA: Altura Solutions, LP		Date: 01/27/2017					

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966



## City of San Antonio Discretionary Contracts Disclosure

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a 
New Submission or Correction or Update to previous submission.

*1. Name of person submitting	this disclosure fo	rm.				
First: Christopher	N	1.I. <u>M</u>	Last:	Szymczak		Suffix: P.E.
*2. Contract information.						
a) Contract or project name:	TCI #12142016E	DR - CoSA	On-Call	Civil Engineerin	g Services for IMP Progra	m
b) Originating department:						
*3. Name of individual(s) or enti	ty(ies) seeking a o	contract w	ith the c	ity (i.e. parties to	o the contract).	
RPS Klotz Associates						
		····				
*4. List any business entity(ies) t Question 3.	that is a partner, p	parent, or :	subsidia	ry business entit	ty(ies) of the individual o	r entity listed in
✓ Not applicable. Contracting	party(ies) does no	ot have pa	rtner, pa	rent, or subsidia	ary business entities.	
Names of partner, parent, or	subsidiary busine	ss entities	:			
*5. List any individuals or entitie	es that will be sub	contracto	rs on thi	s contract.		
☐ Not applicable. No subcontra	actors will be reta	ined for th	nis contr	act.		
Subcontractors may be retain	ned, but have not	been sele	cted at t	he time of this s	submission.	
✓ List of subcontractors:						
Arias & Associates, Inc.						
Robert P. Arias, P.E.						
*6. List any attorneys, lobbyists, contract.	or consultants re	tained by	any indi	viduals listed in	Questions 3, 4, or 5 to as	sist in seeking this
✓ Not applicable. No attorneys	, lobbyists, or con	sultants h	ave bee	n retained to as	sist in seeking this contra	act.
List of attorneys, lobbyists, or	consultants retai	ined to ass	ist in see	eking this contra	act:	



## City of San Antonio **Discretionary Contracts Disclosure**

Office of the City Clerk

*7.	Disc	osure o	f politica	al contrib	utions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

1	Not appli	cable.	No campaign or	officeholder	contributions	have been	made in p	receding 2	4 months by	these individua	ls.

List of contributions:

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## 8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44

of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?	9
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.	
I am aware of the following conflict(s) of interest:	

## Acknowledgements

## \*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.



## City of San Antonio Discretionary Contracts Disclosure

Office of the City Clerk

2.	No Contact with City	Officials or Staff during	Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

## Acknowledgements (continued)

## \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

## \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

		*Oath	
	estatements contained in this Diedge and pelief are true, correct,	and complete.	cts Disclosure Form, including any attachments,  Director of CoMET
Company Name or DBA:	Arias & Associates, Inc.		<b>Date:</b> 01/30/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966



# City of San Antonio Discretionary Contracts Disclosure Update

Office of the City Clerk

**Update**Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

				3-13-14 (1-14)	of the City's Ethics Co	
*1. Name of person submitti	STORY THE SHAPE SHAPE	and the same of the same	Correction	or (•) Update to	o previous submissio	n.
First: Ayda	LES ES DE DE XIA DE LA	и.I. S	Last	Gonzalez		Suffix:
Tilst. Ayua		1.1. 5		GOTIZATEZ		
*2. Contract information.						
a) Contract or project name:	On-Call Civil Er	gineering	g Services	for the IMP Prog	gram	
b) Originating department:						
*3. Name of individual(s) or e	entity(ies) seeking a	contract	with the c	ity (i.e. parties to	the contract).	
Gonzalez-De La Garza & Asso	ociates, LLC		and the same	W. C. B. 18.		
*4. List any business entity(io Question 3.	es) that is a partner,	parent, or	r subsidia	ry business entit	y(ies) of the individu	al or entity listed in
✓ Not applicable. Contracti	ng party(ies) does n	ot have p	artner, pa	rent, or subsidia	ry business entities.	
Names of partner, parent,	or subsidiary busin	ess entitie	es:			
	ADDITION OF THE PROPERTY OF TH	-		(a)		4
*5. List any individuals or en	tities that will be su	ocontract	ors on thi	s contract.		
✓ Not applicable. No subco	ntractors will be ret	ained for	this contr	act.		
Subcontractors may be re	tained, but have no	t been sel	lected at t	he time of this s	submission.	
List of subcontractors:						
<ol> <li>List any attorneys, lobbyi contract.</li> </ol>	sts, or consultants r	etained by	y any indi	viduals listed in	Questions 3, 4, or 5 to	o assist in seeking this
✓ Not applicable. No attorn	eys, lobbyists, or co	nsultants	have bee	n retained to ass	sist in seeking this co	ntract.
List of attorneys, lobbyists	, or consultants reta	ined to a	ssist in se	eking this contra	act:	
*7. Disclosure of political cor	atributions			police samin	III/S TIME TO THE TIME TO	
List any campaign or officeho		made by t	the follow	ving individuals i	in the past 24 month	s totaling more than
\$100 to any current member	of City Council, for	ner meml	ber of City			
action committee that contri						
<ul><li>a) any individual see</li><li>b) any owner or offi</li></ul>					Y	
						business (Question 4)
d) any subcontracto	or or owner/officer o	f subcont	tracting e	ntity retained fo	r the contract (Quest	
<ul><li>e) the spouse of any</li><li>f) any attorney, lobb</li></ul>					rt (Question 6)	
i, any attorney, look	yist, or consultant	ctunieu ti	o ussist 111	seeking contract	.c (Question o)	

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.



# City of San Antonio Discretionary Contracts Disclosure Update

Office of the City Clerk

and the second s	
List of contributions:	

## Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.  I am aware of the following conflict(s) of interest:

## Acknowledgements

## \*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## \*2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.



# City of San Antonio Discretionary Contracts Disclosure Update

Office of the City Clerk

## Acknowledgements (continued)

<b>*3.</b>	Contribution	Prohibitions	for "Hig	h-Profile"	Contracts

- This is not a high-profile contract.
- O This is a high-profile contract.

## \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

	*Oath					
✓ I swear or affirm that the statements contained in this Discretionary Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.						
Your Name:		Title:	Chief Executive Officer			
Company Name or DBA: Go	onzalez+De La Garza & Associates, LLC		Date: 01/27/2017			

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966



Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a • New Submission or Correction or Update to previous submission.

*1. Name of person submitting	this disclosure forn	n.					
First: Paul	M.I.	. <u>W</u>	Last:	Foster		Suffix: CCM	
*2. Contract information.							
a) Contract or project name:	On-Call Civil Engi	neering :	Services	for the IMP Progra	am (TCI #12142016DR)		
b) Originating department:	o) Originating department: Transportation & Capital Improvements						
*3. Name of individual(s) or ent	ity(ies) seeking a co	ntract w	ith the c	ity (i.e. parties to t	he contract).		
Foster CM Group, Inc.							
	. (!\al!				1		
*4. List any individual(s) or enti listed in Question 3.	ty(les) that is a parti	ner, pare	nt, joint	venture, or subsid	iary entity(les) of the li	ndividual or entity	
✓ Not applicable. Contracting	party(ies) does not	have pa	rtner, pa	rent, joint venture	, or subsidiary entities		
Names of partner, parent, jo and officers of each entity:	int venture or subsi	diary ent	tities, and	d all the board me	mbers, executive com	mittee members,	
*5. List any individuals or entiti	es that will be subc	ontracto	rs on thi	s contract			
Not applicable. No subcont		CANADA CONTRACTOR		Address of the same of the sam			
Subcontractors may be retain					omission.		
List of subcontractors, include							
*6. List any attorneys, lobbyists contract.	, or consultants reta	ained by	any indi	viduals listed in Qu	uestions 3, 4, or 5 to as	sist in seeking this	
✓ Not applicable. No attorney	s, lobbyists, or cons	ultants h	ave bee	n retained to assis	t in seeking this contra	act.	
List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:							



Office of the City Clerk

## \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

## ✓ List of contributions:

To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Mayor Ivy Taylor	10/04/2016	\$150.00	Delete
Councilman Ron Nirenberg	08/23/2016	\$100.00	Delete
Mayor Ivy Taylor	06/16/2016	\$500.00	Delete
Councilman Ray Lopez	05/14/2016	\$100.00	Delete
Councilman Joe Krier 04/22/2016	04/22/2016	\$150.00	Delete
Councilman Ron Nirenberg	04/18/2016	\$350.00	Delete
Mayor Ivy Taylor	06/01/2015	\$300.00	Delete
Mayor Ivy Taylor	11/14/2014	\$250.00	Delete
	Mayor Ivy Taylor  Councilman Ron Nirenberg  Mayor Ivy Taylor  Councilman Ray Lopez  Councilman Joe Krier  Councilman Ron Nirenberg  Mayor Ivy Taylor	Mayor Ivy Taylor 10/04/2016  Councilman Ron Nirenberg 08/23/2016  Mayor Ivy Taylor 06/16/2016  Councilman Ray Lopez 05/14/2016  Councilman Joe Krier 04/22/2016  Councilman Ron Nirenberg 04/18/2016  Mayor Ivy Taylor 06/01/2015	Name         Contribution(s)         Contribution(s)           Mayor Ivy Taylor         10/04/2016         \$150.00           Councilman Ron Nirenberg         08/23/2016         \$100.00           Mayor Ivy Taylor         06/16/2016         \$500.00           Councilman Ray Lopez         05/14/2016         \$100.00           Councilman Joe Krier         04/22/2016         \$150.00           Councilman Ron Nirenberg         04/18/2016         \$350.00           Mayor Ivy Taylor         06/01/2015         \$300.00

## Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.



Office of the City Clerk

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

8.	Disc	osure	of	cont	lict	of	inter	est.
_								

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

city officials?
✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
☐ I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
☑No
□Yes

## Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own



Office of the City Clerk

will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

## Acknowledgements

## \*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <a href="Section 2-61">Section 2-61</a> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

## \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

## \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

			*0;	ath	
			its contained in this Contro correct, and complete.	acts Disclosure	e Form, including any attachments, to the best of
Your Name:	Paul W. Foster	, CCM		Title:	President/CEO
Company Na	ame or DBA:	Foster CM	1 Group, Inc.		Date: 01/31/2017



Office of the City Clerk

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

## **Tab 3: Discretionary Contracts Disclosure**

\* = Required fields

Lockwood, Andrews & Newnam, Inc.



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a 
New Submission or Correction or Update to previous submission.

*1. Name of person submitting this disclosure form.	
First: Stephen M.I. Last: Aniol Suffix:	
*2. Contract information.	
a) Contract or project name: On-Call Civil Engineering Services for the IMP Program	
b) Originating department:	
*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).	
Lockwood, Andrews & Newnam, Inc.	
*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entillisted in Question 3.	ty
Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.	
Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members and officers of each entity:	š,
Parent Company: LEO A DALY Company. Officers: Dennis Petersen; Arnold Cohen; Derek St. John; Steve Gilbreath; Drew Ha Devarti Rastogi; Sima Vajdani; John Green; E. Tyson Thomas	rdin;
*5. List any individuals or entities that will be subcontractors on this contract.	
Not applicable. No subcontractors will be retained for this contract.	
Subcontractors may be retained, but have not been selected at the time of this submission.	
List of subcontractors, including the name of the owner(s), and business name:	
Bain Medina Bain (Pamela Bain), Big Red Dog (Will Schnier), Maestas & Associates (Ernest Maestas), Raba Kistner (William Rai Gary Raba), WV Consulting (Sarah Vasquez), Young Professional Resources (Leonard Young)	ba &
*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking the contract.	nis
Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.	
List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:	

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14





Data(s) of Total Amount of

## \* = Required fields



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- ─ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

## ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add	
LAN-PAC	Ivy Taylor for Mayor Campaign	5/4/2016	\$500.00	Delete	
LAN-PAC	The Ron Nirenberg Campaign	10/23/2015	\$500.00	Delete	
LAN-PAC	Ivy R. Taylor Campaign	8/11/2015	\$1,000.00	Delete	
LAN-PAC	Joe Krier For City Council Campaign	7/6/2015	\$500.00	Delete	
LAN-PAC	Leticia Van De Putte For Mayor	6/1/2015	\$1,000.00	Delete	
LAN-PAC	Friends Of Mari Aguirre Rodriguez	6/1/2015	\$500.00	Delete	
LAN-PAC	The Ron Nirenberg Campaign	4/14/2015	\$500.00	Delete	
LAN-PAC	Mike Gallagher Campaign	4/14/2015	\$500.00	Delete	
LAN-PAC	Ray Lopez Campaign	4/14/2015	\$500.00	Delete	
LAN-PAC	Roberto C. Trevino Campaign	3/25/2015	\$500.00	Delete	
LAN-PAC	Allan Warrick Campaign	3/25/15	\$500.00	Delete	
LAN-PAC	Ray Saldana Campaign	3/25/15	\$500.00	Delete	
LAN-PAC	Friends Of Mari Aguirre Rodriguez	3/25/15	\$500.00	Delete	
LAN-PAC	Joe Krier For City Council Campaign	3/25/15	\$500.00	Delete	
LAN-PAC	Leticia Van De Putte For Mayor	3/16/15	\$1,000.00	Delete	

## Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev.2014-11 09/18/14







## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
☑ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.  ☐ I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently or within the part twolve (12) months has an owner partner or employee of a business entity who owns 10% or more

## Notice Regarding Prohibited Interest in Contracts.

City board or commission?

✓ No Yes

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own



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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### **Acknowledgements**

## \*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <a href="Section 2-61">Section 2-61</a> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

## \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath	
✓ I swear or affirm that the statements contained in this Contracts Dimy knowledge and belief are true, correct, and complete.	isclosure Form, including any attachments, to the best of
Your Name: Robert Austin Bob Husten	Title: Senior Associate, Team Leader
Company Name or DBA: Lockwood, Andrews & Newnam, Inc.	<b>Date:</b> 01/26/2017





## City of San Antonio Contracts Disclosure Form

**Bain Medina Bain** 

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a New Submission or Correction or Update to previous submission.

1111313131		Correction	noi O opaate t	
*1. Name of person submitting	this disclosure form.			
First: Pamela	M.I	Last:	Bain	Suffix:
*2. Contract information.				
a) Contract or project name:	On-call Civil Engineer	ing Services	for the IMP Pro	gram TCI#12142016DR
b) Originating department:				
*3. Name of individual(s) or ent	ity(ies) seeking a contra	ct with the	city (i.e. parties to	o the contract).
Bain Medina Bain, Inc.				
*4. List any individual(s) or enti listed in Question 3.	ty(ies) that is a partner,	parent, join	t venture, or sub	sidiary entity(ies) of the individual or entity
✓ Not applicable. Contracting	party(ies) does not hav	e partner, p	arent, joint ventu	ure, or subsidiary entities.
Names of partner, parent, jo and officers of each entity:	nt venture or subsidiary	y entities, ar	nd all the board r	members, executive committee members,
*5. List any individuals or entiti	es that will be subcontr	actors on th	is contract.	
Not applicable. No subconti	actors will be retained f	for this cont	ract.	
Subcontractors may be retai	ned, but have not been	selected at	the time of this	submission.
List of subcontractors, include	ling the name of the ov	ner(s), and	business name:	
*6. List any attorneys, lobbyists contract.	, or consultants retained	d by any ind	ividuals listed in	Questions 3, 4, or 5 to assist in seeking this
✓ Not applicable. No attorney	s, lobbyists, or consulta	nts have be	en retained to as	sist in seeking this contract.
List of attorneys, lobbyists, o	r consultants retained t	o assist in se	eeking this contr	act:





## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

## ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Pamela Bain	lvy R. Taylor	4/16/2015	\$500.00	Delete
Pamela Bain	Roberto C. Trevino	4/116/2015	\$250.00	Delete
Pamela Bain	Alan E. Warrick, II	4/16/2015	\$500.00	Delete
Pamela Bain	Ron Nirenburg	4/16/2015	\$500.00	Delete
Pamela Bain	Joe Krier	4/16/2015	\$500.00	Delete
Pamela Bain	Rebecca J. Viagran	4/16/2015	\$250.00	Delete
Pamela Bain	Ray Lopez	4/16/2015	\$500.00	Delete
Pamela Bain	Ivy R. Taylor	8/11/2016	\$5,000.00	Delete

## Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.





## **City of San Antonio Contracts Disclosure Form**

Office of the **City Clerk** 

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded

released until 50 calendar days after the contract has been awarded.
*8. Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
☑I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

## \*9. Prohibited Interest in Contracts.

I am aware of the following conflict(s) of interest:

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns $10\%$ or more
of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a
City board or commission?
✓ No
Yes

## Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own



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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### **Acknowledgements**

### \*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## \*2. No Contact with City Officials or Staff during Contract Evaluation

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## \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

### \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath					
I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
Your Name: Pamela Bain		Title: President			
Company Name or DBA:	Bain Medina Bain, Inc	<b>Date:Date:</b> 01/11/2017			



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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

*I his is a (e	) New Submission	n or Corre	ection	or Opdate to	previous submission.	
*1. Name of person submitting	this disclosure for	rm.				
First: Ernest	M	I.I. <u>T.</u> I	Last:	Maestas		Suffix:
*2. Contract information.						
z. contract information.						
a) Contract or project name:	On-Call Civil Eng	gineering Ser	vices	for the IMP Prog	ram	
b) Originating department:	TCI					
*3. Name of individual(s) or enti	ty(ies) seeking a c	contract with	the ci	ty (i.e. parties to	the contract).	
Lockwood, Andrews & Newnam	, Inc.					
*4. List any individual(s) or entit listed in Question 3.	y(ies) that is a par	rtner, parent,	joint	venture, or subsi	diary entity(ies) of the i	ndividual or entity
✓ Not applicable. Contracting	party(ies) does no	ot have partne	er, pai	rent, joint ventui	e, or subsidiary entities	
Names of partner, parent, join and officers of each entity:	nt venture or sub	sidiary entitie	es, and	d all the board m	embers, executive com	mittee members,
*5. List any individuals or entities  Not applicable. No subcontro						
Subcontractors may be retain					ıhmission	
List of subcontractors, includ					ibillission.	
	ing the name of t	ile Owller(s),	and b	usiness name.		
Maestas & Associates, Inc.						
*6. List any attorneys, lobbyists, contract.	or consultants re	tained by any	y indiv	viduals listed in (	Questions 3, 4, or 5 to as	ssist in seeking this
✓ Not applicable. No attorneys	, lobbyists, or con	nsultants have	e beei	n retained to ass	ist in seeking this contra	act.
List of attorneys, lobbyists, or	consultants retai	ined to assist	in see	eking this contra	ct:	





## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

	List	οf	COL	ntri	hu	tior	15
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#### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
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- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

l am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
Council or a city board/commission.
1

I am aware of the following conflict(s) of interest:





## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓	No
	Yes

### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### Acknowledgements

## \*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

### \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

- \*3. Contribution Prohibitions for "High-Profile" Contracts
- This is not a high-profile contract.
- This is a high-profile contract.

## \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

		*Oath		
		e statements contained in this Contracts Dief are true, correct, and complete.	sclosure	Form, including any attachments, to the best of
Your Name:	Ernest T. Ma	estas, PE	_ Title:	President
Company Na	ne or DBA:	Maestas & Associates, Inc.		<b>Date:</b> 01/12/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966



**Raba Kistner** 



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a • New Submission or Correction or Update to previous submission.

*1. Name of person submitting	*1. Name of person submitting this disclosure form.				
First: William	M.I. <u>L.</u>	Last:	Raba	Suffix:	
*2. Contract information.					
a) Contract or project name:	ON-CALL CIVIL ENGINEE	RING SERVI	ICES for the IMP PRC	OGRAM	
b) Originating department:					
*3. Name of individual(s) or ent	tity(ies) seeking a contract	with the cit	ry (i.e. parties to the	contract).	
Raba Kistner, Inc.					
*4. List any individual(s) or enti listed in Question 3.	ty(ies) that is a partner, pa	rent, joint v	renture, or subsidiary	y entity(ies) of the individual or entity	
Not applicable. Contracting	party(ies) does not have p	artner, pare	ent, joint venture, or	subsidiary entities.	
Names of partner, parent, jo and officers of each entity:	int venture or subsidiary e	ntities, and	all the board memb	pers, executive committee members,	
	Raba Kistner Enviornment	al, Inc.; Raba	a Kistner Infrastructu	ure, Inc.; Project Control of Texas	
*5. List any individuals or entiti	ies that will be subcontract	tors on this	contract.		
☐ Not applicable. No subcont	ractors will be retained for	this contra	ct.		
Subcontractors may be retain	ined, but have not been se	lected at th	ne time of this submi	ission.	
✓ List of subcontractors, include	ding the name of the owne	er(s), and bu	usiness name:		
Raba Kistner is a subcontractor	to a prime consultant.				
*6. List any attorneys, lobbyists contract.	s, or consultants retained b	y any indivi	iduals listed in Ques	tions 3, 4, or 5 to assist in seeking this	
✓ Not applicable. No attorney	s, lobbyists, or consultants	have been	retained to assist in	seeking this contract.	
List of attorneys, lobbyists, o	or consultants retained to a	ssist in seel	king this contract:		

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- b) any owner or officer of entity seeking contract with the city (Question 3)
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- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- ─Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

## ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Raba Kistner PAC	Joe Krier Campaign	6/16/2015	\$500.00	Delete
Raba Kistner PAC	Ray Lopez Campaign	6/16/2015	\$500.00	Delete
Raba Kistner PAC	Ivy Taylor Campaign	6/16/2015	\$1,000.00	Delete
Raba Kistner PAC	Alan Warrick Campaign	06/29/2015	\$500.00	Delete
Raba Kistner PAC	Lackey 4 SARA Campaign	9/1/2015	\$500.00	Delete
Raba Kistner PAC	Roberto Trevino Campaign	10/13/2016	\$500.00	Delete
Raba Kistner PAC	Ivy Taylor Campaign	10/24/2016	\$1,000.00	Delete

## Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

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Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8. Disclosure of conflict of interest.

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or mor of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
✓ No
Yes

## Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

## Acknowledgements

### \*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <a href="Section 2-61">Section 2-61</a> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

## \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

### \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

		*Oath		
		e statements contained in this Contracts Dis ef are true, correct, and complete.	closure	Form, including any attachments, to the best of
Your Name:	William L. Ral	ра	Title:	President
Company Na	me or DBA:	Raba Kistner, Inc.		<b>Date:</b> 01/29/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966





## City of San Antonio Contracts Disclosure Form

**WV Consulting** 

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

	*This is a (	New Submission or ( ) Co	rrection	n or Opdate to pr	evious submission.	
*1. Nam	ne of person submitting	this disclosure form.				
First: S	arah	M.I	Last:	Vasquez		Suffix:
*2 Cont	tract information.					
2. Com	tract information.					
a) Conti	ract or project name:	ON-CALL CIVIL ENGINEERI	NG SER	VICES for the IMP PR	OGRAM	
b) Origi	inating department:					
*3. Nam	e of individua <b>l</b> (s) or enti	ty(ies) seeking a contract wi	th the c	ity (i.e. parties to the	e contract).	
WV Con	sulting, LLC					
	any individual(s) or entit Question 3.	y(ies) that is a partner, parer	nt, joint	venture, or subsidia	ry entity(ies) of the in	dividua <b>l</b> or entity
✓ Not a	pplicable. Contracting	party(ies) does not have par	tner, pa	rent, joint venture, o	or subsidiary entities.	
11 1	es of partner, parent, joi officers of each entity:	nt venture or subsidiary enti	ities, an	d all the board mem	bers, executive comn	nittee members,
	•					
*5. List a	any individuals or entitie	es that will be subcontractor	s on thi	s contract.		
✓ Not a	pp <b>l</b> icable. No subcontr	actors will be retained for th	is contr	act.		
Subco	ontractors may be retair	ned, but have not been se <b>l</b> ed	cted at	the time of this subr	nission.	
List o	f subcontractors, includ	ing the name of the owner(s	s), and b	ousiness name:		
*6 lists	any attornous John ista	or consultants retained by a	nny in di	widuals listed in Ove	estions 2 1 or 5 to ass	ist in socking this
contract	• • • • • •	or consultants retained by a	any mai	viduais listed in Que	estions 5, 4, or 5 to ass	ist in seeking this
✓ Not a	pplicable. No attorneys	s, lobbyists, or consultants ha	ave bee	n retained to assist i	n seeking this contrac	et.
List o	List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:					





## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

	$\overline{m{\gamma}}$ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals
Γ	List of contributions:

## Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
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- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the	City Ethics Code for members of City
Council or a city board/commission.	
I am aware of the following conflict(s) of interest:	









Yes

## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

## \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

## Notice Regarding Prohibited Interest in Contracts.

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If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

## Acknowledgements

## \*1. <u>Updates Required</u>

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

- \*3. Contribution Prohibitions for "High-Profile" Contracts
- This is not a high-profile contract.
- This is a high-profile contract.

## \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

☑ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

	*Oath						
	affirm that the statements contained in this Contracts Dis edge and belief are true, correct, and complete.	closure Form, including any attachments, to the best of					
Your Name:	Sarah Vasquez	Title: Principal					
Company Na	me or DBA: WV Consulting, LLC	<b>Date:</b> 01/26/2017					

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:
Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966



## **Young Professional Resources**



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a • New Submission or Correction or Update to previous submission.

*1. Name of person submitting this disclosure form.						
First: Leonard M.I. D Last: Young Suffix:						
*2. Contract information.						
2. Contract information.						
a) Contract or project name: ON-CALL CIVIL ENGINEERING SERVICES for the IMP PROGRAM (TCI #12142016DR)						
b) Originating department:						
*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).						
Leonard D. Young dba Young Professional Resources						
*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.						
✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.						
Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:						
*5. List any individuals or entities that will be subcontractors on this contract.						
✓ Not applicable. No subcontractors will be retained for this contract.						
Subcontractors may be retained, but have not been selected at the time of this submission.						
List of subcontractors, including the name of the owner(s), and business name:						
*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.						
✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.						
List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:						





## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

#### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

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- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not a	ware of a	ny c	onflict	(s) of inte	rest iss	ues ui	nder S	Section	n 2-4	l3 or	r 2-4	4 of	the C	ity E	thic	s Co	de f	or m	emb	ers c	of Cit	y
Council o	r a city bo	ard/	comm/	ission.																		
				CI ( )																		

I am aware of the following conflict(s) of interest:





## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓	No
	Yes

### Notice Regarding Prohibited Interest in Contracts.

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### Acknowledgements

## \*1. <u>Updates Required</u>

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

- \*3. Contribution Prohibitions for "High-Profile" Contracts
- This is not a high-profile contract.
- This is a high-profile contract.

## \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath							
I swear or affirm that the statements contained in this Contracts Dismy knowledge and belief are true, correct, and complete.	closure Form, including any attachments, to the best of						
Your Name: Leonard D. Young	Title: President						
Company Name or DBA: Young Professional Resources	<b>Date:</b> <u>01/30/2017</u>						

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966



## **Big Red Dog**

\* = Required fields



## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a 
New Submission or Correction or Update to previous submission.

*1. Name of person submitting	this disclosure form.				
First: Jeremy	M.I. S	Last:	Doege	Suffix:	
*2. Contract information.					
a) Contract or project name:	On-Call Civil Engineering	g Services	for the IMP Pro	gram TCI #12142016DR	
b) Originating department:	( <u>*</u>				
*3. Name of individual(s) or enti Big Red Dog, Inc.	ity(ies) seeking a contract	with the o	city (i.e. parties to	the contract).	
big Rea Dog, Inc.					
		5244700	20 20		
<ol> <li>List any individual(s) or entil listed in Question 3.</li> </ol>	ty(ies) that is a partner, pa	rent, joint	venture, or sub	idiary entity(ies) of the individual or entity	
✓ Not applicable. Contracting	party(ies) does not have p	artner, pa	arent, joint ventu	re, or subsidiary entities.	
Names of partner, parent, joi and officers of each entity:	nt venture or subsidiary e	ntities, an	d all the board n	nembers, executive committee members,	
ar ar a r b a l l l l and	at a 401 1 1 1 1	10100000000000000000000000000000000000			
*5. List any individuals or entition					
Not applicable. No subcontractors will be retained for this contract.					
Subcontractors may be retained, but have not been selected at the time of this submission.					
List of subcontractors, include	ling the name of the owne	er(s), and l	business name:		
<ol> <li>List any attorneys, lobbyists, contract.</li> </ol>	, or consultants retained b	y any ind	ividuals listed in	Questions 3, 4, or 5 to assist in seeking this	
✓ Not applicable. No attorney:	s, lobbyists, or consultants	have bee	en retained to as	sist in seeking this contract.	
List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:					

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

Name of Contributor	To Whom		Total Amount of Contribution(s)	Add
Russell Yeager	Ivy Taylor for Mayor Campaign	06/07/2016	\$500.00	Delete

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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Council or a city board/commission.	

I am aware of the	e following	conflict(s) o	finterest
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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

Yes

Notice Regarding Prohibited Interest in Contracts.

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I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## 2. No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

<ol> <li>Contribution Prohibitions for</li> </ol>	or "High-Profile" Contracts
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- This is not a high-profile contract.
- This is a high-profile contract.
- ☑ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath	
I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.	
Your Name: Jeremy S. Doege, P.E.	Title: Public Infrastructure Market Leader
Company Name or DBA: Big Red Dog, Inc.	Date: 01/31/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

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