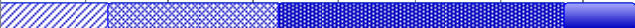
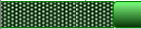


Project: FY2017 Annual Audit Plan - April 30  
As of 4/30/2017

| ID | Audit Project Number | Audit Department       | Audit Project                   | Budget Hours | Actual Hours | Planned or Actual | Current Status |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
|----|----------------------|------------------------|---------------------------------|--------------|--------------|-------------------|----------------|---------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
|    |                      |                        |                                 |              |              |                   |                | Oct '16                                                                               | Nov '16                                                                             | Dec '16                                                                               | Jan '17                                                                               | Feb '17                                                                               | Mar '17                                                                               | Apr '17                                                                               | May '17                                                                               | Jun '17                                                                               | Jul '17                                                                               | Aug '17                                                                               | Sep '17                                                                               |
| 1  | AU16-003             | CCDO                   | Parking Operations              | 50           | 170.5        | Planned           | Mgmt Res       |    |  |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 2  |                      |                        |                                 |              |              | Actual            |                |    |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 3  |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 4  | AU16-005             | CCDO & Culture & Creat | Property Management             | 500          | 682.75       | Planned           | Mgmt Res       |    |  |    |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 5  |                      |                        |                                 |              |              | Actual            |                |    |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 6  |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 7  | AU16-012             | Finance                | Citywide Inventory Managem      | 690          | 870.5        | Planned           | Mgmt Res       |    |  |    |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 8  |                      |                        |                                 |              |              | Actual            |                |    |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 9  |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 10 | AU16-018             | ITSD                   | Network Infrastructure Security | 300          | 259.0        | Planned           | Mgmt Res       |    |  |    |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 11 |                      |                        |                                 |              |              | Actual            |                |    |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 12 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 13 | AU16-019             | ITSD                   | IT Licensing                    | 90           | 113.0        | Planned           | Mgmt Res       |    |  |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 14 |                      |                        |                                 |              |              | Actual            |                |    |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 15 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 16 | AU16-028             | TCI                    | Storm Water Fees                | 290          | 402.5        | Planned           | Reporting      |    |  |    |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 17 |                      |                        |                                 |              |              | Actual            |                |    |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 18 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 19 | AU17-001             | ACS                    | Spay/Neuter Surgery Clinic      | 700          |              | Planned           |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |    |    |
| 20 |                      |                        |                                 |              |              | Actual            |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 21 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 22 | AU17-002             | Aviation/TCI           | Rental Car Facility (ConRac)    | 600          | 897.0        | Planned           | Mgmt Res       |                                                                                       |  |    |    |    |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 23 |                      |                        |                                 |              |              | Actual            |                |    |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 24 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 25 | AU17-003             | City Attorney          | Outside Legal Counsel           | 800          | 329.5        | Planned           | Fieldwork      |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |  |  |  |  |                                                                                       |                                                                                       |                                                                                       |
| 26 |                      |                        |                                 |              |              | Actual            |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |  |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 27 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 28 | AU17-004             | City Clerk             | Passport Office                 | 700          | 701.5        | Planned           | Mgmt Res       |                                                                                       |                                                                                     |  |  |  |  |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 29 |                      |                        |                                 |              |              | Actual            |                |  |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 30 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 31 | AU17-005             | City Clerk             | Record Retention & Archives     | 700          |              | Planned           |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |  |  |  |
| 32 |                      |                        |                                 |              |              | Actual            |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 33 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 34 | AU17-007             | Finance                | Donations & Misc Gifts          | 500          | 87.5         | Planned           | Planning       |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |  |  |  |  |                                                                                       |                                                                                       |                                                                                       |
| 35 |                      |                        | - Citywide -                    |              |              | Actual            |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |  |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |
| 36 |                      |                        |                                 |              |              |                   |                |                                                                                       |                                                                                     |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |                                                                                       |

Planned is Green

Actual is Blue

Planning



Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



Project: FY2017 Annual Audit Plan - April 30  
As of 4/30/2017

| ID | Audit Project Number | Audit Department | Audit Project                | Budget Hours | Actual Hours | Planned or Actual | Current Status |         |         |         |         |         |         |         |         |         |         |         |         |
|----|----------------------|------------------|------------------------------|--------------|--------------|-------------------|----------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|    |                      |                  |                              |              |              |                   |                | Oct '16 | Nov '16 | Dec '16 | Jan '17 | Feb '17 | Mar '17 | Apr '17 | May '17 | Jun '17 | Jul '17 | Aug '17 | Sep '17 |
| 37 | AU17-008             | Finance          | Purchasing                   | 750          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 38 |                      |                  |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 39 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 40 | AU17-009             | Gov't and        | 311 Application & Call Cente | 1,000        | 1,100.5      | Planned           | Reporting      |         |         |         |         |         |         |         |         |         |         |         |         |
| 41 |                      | Public Affairs   |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 42 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 43 | AU17-010             | Health Service   | Food Inspections             | 800          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 44 |                      |                  |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 45 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 46 | AU17-012             | ITSD             | Unauthorized Software        | 500          | 430.0        | Planned           | Mgmt Res       |         |         |         |         |         |         |         |         |         |         |         |         |
| 47 |                      |                  |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 48 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 49 | AU17-013             | ITSD             | IT Help Desk                 | 600          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 50 |                      |                  |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 51 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 52 | AU17-014             | ITSD             | Virtual Server Security      | 750          | 589.0        | Planned           | Mgmt Res       |         |         |         |         |         |         |         |         |         |         |         |         |
| 53 |                      |                  |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 54 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 55 | AU17-015             | ITSD             | Windows Server               | 750          | 323.0        | Planned           | Fieldwork      |         |         |         |         |         |         |         |         |         |         |         |         |
| 56 |                      |                  | Vulnerability Management     |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 57 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 58 | AU17-016             | Sustainability   | Energy Retrofit Project      | 500          | 168.5        | Planned           | Fieldwork      |         |         |         |         |         |         |         |         |         |         |         |         |
| 59 |                      |                  |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 60 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 61 | AU17-017             | Parks & Rec      | Tree Canopy Preservation &   | 500          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 62 |                      |                  | Mitigation Fund              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 63 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 64 | AU17-018             | Pre K 4 SA       | Competitive Grants           | 400          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 65 |                      |                  |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 66 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 67 | AU17-019             | Risk Managen     | Risk Management - Safety     | 800          | 161.25       | Planned           | Reporting      |         |         |         |         |         |         |         |         |         |         |         |         |
| 68 |                      |                  | Program                      |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 69 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 70 | AU17-020             | Municipal        | Detention Center             | 1,000        |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 71 |                      | Court/SAPD       |                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 72 |                      |                  |                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |

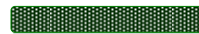
Planned is Green

Actual is Blue

Planning



Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



Project: FY2017 Annual Audit Plan - April 30  
As of 4/30/2017

| ID  | Audit Project Number | Audit Department          | Audit Project                                | Budget Hours | Actual Hours | Planned or Actual | Current Status |         |         |         |         |         |         |         |         |         |         |         |         |
|-----|----------------------|---------------------------|----------------------------------------------|--------------|--------------|-------------------|----------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|     |                      |                           |                                              |              |              |                   |                | Oct '16 | Nov '16 | Dec '16 | Jan '17 | Feb '17 | Mar '17 | Apr '17 | May '17 | Jun '17 | Jul '17 | Aug '17 | Sep '17 |
| 73  | AU17-022             | SAPD                      | Park Police Operations                       | 1,000        |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 74  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 75  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 76  | AU17-024             | SAPD/ ITSD                | Surveillance Cameras                         | 800          | 96.0         | Planned           | Planning       |         |         |         |         |         |         |         |         |         |         |         |         |
| 77  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 78  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 79  | AU17-025             | SWMD                      | SWMD Truck Service Center                    | 750          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 80  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 81  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 82  | AU17-026             | SWMD                      | SWMD Variable Rate Pricing                   | 400          | 3.0          | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 83  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 84  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 85  | AU17-C01             | Building & Equip Services | Maintenance and Custodial Contracts          | 800          | 21.0         | Planned           | Planning       |         |         |         |         |         |         |         |         |         |         |         |         |
| 86  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 87  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 88  | AU17-C02             | Finance                   | Sales Tax Recovery Contract                  | 500          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 89  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 90  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 91  | AU17-C03             | Human Services            | Haven for Hope                               | 750          | 38.5         | Planned           | Planning       |         |         |         |         |         |         |         |         |         |         |         |         |
| 92  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 93  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 94  | AU17-C04             | Human Services            | After School Challenge Program               | 800          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 95  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 96  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 97  | AU17-C05             | SAPD                      | United Road Vehicle Growdon Storage Facility | 600          | 595.5        | Planned           | Reporting      |         |         |         |         |         |         |         |         |         |         |         |         |
| 98  |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 99  |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 100 | AU17-F01             | Aviation                  | Concessions Contracts                        | 400          | 145.0        | Planned           | Planning       |         |         |         |         |         |         |         |         |         |         |         |         |
| 101 |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 102 |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 103 | AU17-F02             | Aviation                  | Parking Revenues                             | 400          | 553.75       | Planned           | Reporting      |         |         |         |         |         |         |         |         |         |         |         |         |
| 104 |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 105 |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 106 | AU17-F03             | HR                        | Hiring Practices                             | 400          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 107 |                      |                           |                                              |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 108 |                      |                           |                                              |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |

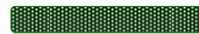
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Planning



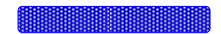
Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



Project: FY2017 Annual Audit Plan - April 30  
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| ID  | Audit Project Number | Audit Department | Audit Project                  | Budget Hours | Actual Hours | Planned or Actual | Current Status |         |         |         |         |         |         |         |         |         |         |         |         |
|-----|----------------------|------------------|--------------------------------|--------------|--------------|-------------------|----------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|     |                      |                  |                                |              |              |                   |                | Oct '16 | Nov '16 | Dec '16 | Jan '17 | Feb '17 | Mar '17 | Apr '17 | May '17 | Jun '17 | Jul '17 | Aug '17 | Sep '17 |
| 109 | AU17-F04             | ITSD             | Network Security               | 400          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 110 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 111 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 112 | AU17-F05             | SAFD             | Hazardous Material Inspections | 600          | 576.0        | Planned           | Reporting      |         |         |         |         |         |         |         |         |         |         |         |         |
| 113 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 114 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 115 | AU17-F06             | HR               | Third Party Benefit Monitoring | 400          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 116 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 117 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 118 | AU17-F07             | CCDO             | Parking Enforcement            | 800          | 205.5        | Planned           | Fieldwork      |         |         |         |         |         |         |         |         |         |         |         |         |
| 119 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 120 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 121 | AU17-F08             | Health Service   | Immunization Clinic            | 600          |              | Planned           |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 122 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 123 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 124 | AU17-F09             | SAPD             | Crisis Response Team           | 250          | 83.0         | Planned           | Fieldwork      |         |         |         |         |         |         |         |         |         |         |         |         |
| 125 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 126 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 127 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 128 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 129 |                      |                  | Audits Completed               |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 130 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 131 | AU16-004             | CCDO             | HPARC                          | 50           | 43.5         | Planned           | Completed      |         |         |         |         |         |         |         |         |         |         |         |         |
| 132 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 133 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 134 | AU16-008             | Human Service    | Senior Service Centers         | 100          | 71.25        | Planned           | Completed      |         |         |         |         |         |         |         |         |         |         |         |         |
| 135 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 136 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 137 | AU16-009             | Human Service    | Delegate Agency Monitoring     | 40           | 56.5         | Planned           | Completed      |         |         |         |         |         |         |         |         |         |         |         |         |
| 138 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 139 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 140 | AU16-011             | EDD              | SBEDA Goal Setting             | 80           | 76.0         | Planned           | Completed      |         |         |         |         |         |         |         |         |         |         |         |         |
| 141 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 142 |                      |                  |                                |              |              |                   |                |         |         |         |         |         |         |         |         |         |         |         |         |
| 143 | AU16-013             | Health           | PII and PHI Data Security      | 40           | 29.0         | Planned           | Completed      |         |         |         |         |         |         |         |         |         |         |         |         |
| 144 |                      |                  |                                |              |              | Actual            |                |         |         |         |         |         |         |         |         |         |         |         |         |

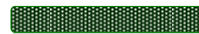
Planned is Green

Actual is Blue

Planning



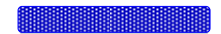
Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



Fieldwork



Mgmt's Response



Project: FY2017 Annual Audit Plan - April 30  
As of 4/30/2017

| ID  | Audit Project Number | Audit Department | Audit Project                    | Budget Hours | Actual Hours | Planned or Actual | Current Status | Oct '16                                                                               | Nov '16 | Dec '16 | Jan '17 | Feb '17 | Mar '17 | Apr '17 | May '17 | Jun '17 | Jul '17 | Aug '17 | Sep '17 |
|-----|----------------------|------------------|----------------------------------|--------------|--------------|-------------------|----------------|---------------------------------------------------------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| 145 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 146 | AU16-014             | ITSD             | Traffic Signal System            | 150          | 126.5        | Planned           | Completed      |    |         |         |         |         |         |         |         |         |         |         |         |
| 147 |                      |                  | Security                         |              |              | Actual            |                |    |         |         |         |         |         |         |         |         |         |         |         |
| 148 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 149 | AU16-015             | ITSD             | IT Resources                     | 750          | 2.0          | Planned           | Completed      |    |         |         |         |         |         |         |         |         |         |         |         |
| 150 |                      |                  |                                  |              |              | Actual            |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 151 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 152 | AU16-016             | ITSD             | Mobile Computing Security        | 600          | 9.0          | Planned           | Completed      |    |         |         |         |         |         |         |         |         |         |         |         |
| 153 |                      |                  |                                  |              |              | Actual            |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 154 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 155 | AU16-020             | Municipal Courts | Caseload Management              | 100          | 152.75       | Planned           | Completed      |    |         |         |         |         |         |         |         |         |         |         |         |
| 156 |                      |                  |                                  |              |              | Actual            |                |    |         |         |         |         |         |         |         |         |         |         |         |
| 157 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 158 | AU16-021             | SAFD             | Public Safety Deployment         | 50           | 155.75       | Planned           | Completed      |    |         |         |         |         |         |         |         |         |         |         |         |
| 159 |                      |                  |                                  |              |              | Actual            |                |    |         |         |         |         |         |         |         |         |         |         |         |
| 160 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 161 | AU16-025             | SAPD             | Armory Inventory Management      | 380          | 413.5        | Planned           | Completed      |    |         |         |         |         |         |         |         |         |         |         |         |
| 162 |                      |                  |                                  |              |              | Actual            |                |    |         |         |         |         |         |         |         |         |         |         |         |
| 163 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 164 | AU16-027             | TCI              | Sidewalks Infrastructure         | 150          | 155.0        | Planned           | Completed      |    |         |         |         |         |         |         |         |         |         |         |         |
| 165 |                      |                  |                                  |              |              | Actual            |                |    |         |         |         |         |         |         |         |         |         |         |         |
| 166 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 167 | AU16-C01             | ITSD             | Security System Install Contract | 341          | 99.0         | Planned           | Cancelled      |    |         |         |         |         |         |         |         |         |         |         |         |
| 168 |                      |                  |                                  |              |              | Actual            |                |   |         |         |         |         |         |         |         |         |         |         |         |
| 169 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 170 | AU16-C05             | Municipal Courts | Fines & Fees Coll Contract       | 500          | 302.0        | Planned           | Cancelled      |  |         |         |         |         |         |         |         |         |         |         |         |
| 171 |                      |                  |                                  |              |              | Actual            |                |  |         |         |         |         |         |         |         |         |         |         |         |
| 172 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 173 | AU16-F01             | Planning & Co    | TIRZ Operations                  | 500          | 4.0          | Planned           | Completed      |  |         |         |         |         |         |         |         |         |         |         |         |
| 174 |                      |                  |                                  |              |              | Actual            |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 175 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 176 | AU16-F02             | Library          | Fines and Fees Collections       | 300          | 254.0        | Planned           | Completed      |  |         |         |         |         |         |         |         |         |         |         |         |
| 177 |                      |                  |                                  |              |              | Actual            |                |  |         |         |         |         |         |         |         |         |         |         |         |
| 178 |                      |                  |                                  |              |              |                   |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 179 | AU16-F03             | CSF              | Elevator & Escalator             | 500          |              | Planned           | Cancelled      |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |
| 180 |                      |                  | Maintenance                      |              |              | Actual            |                |                                                                                       |         |         |         |         |         |         |         |         |         |         |         |

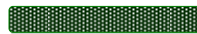
Planned is Green

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Planning



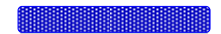
Reporting



Planning



Reporting



Fieldwork



Mgmt's Response



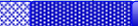
Fieldwork



Mgmt's Response



Project: FY2017 Annual Audit Plan - April 30  
As of 4/30/2017

| ID  | Audit Project Number | Audit Department       | Audit Project                | Budget Hours | Actual Hours | Planned or Actual | Current Status |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
|-----|----------------------|------------------------|------------------------------|--------------|--------------|-------------------|----------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------|---------|---------|---------|
|     |                      |                        |                              |              |              |                   |                | Oct '16                                                                              | Nov '16                                                                              | Dec '16                                                                              | Jan '17                                                                             | Feb '17                                                                             | Mar '17                                                                             | Apr '17                                                                             | May '17                                                                             | Jun '17 | Jul '17 | Aug '17 | Sep '17 |
| 181 |                      |                        |                              |              |              |                   |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 182 | AU16-F04             | SAPD                   | Off-Duty Employment          | 380          | 560.5        | Planned           | Completed      |   |   |   |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 183 |                      |                        |                              |              |              | Actual            |                |   |   |   |  |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 184 |                      |                        |                              |              |              |                   |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 185 | AU16-F05             | SAPD                   | Alarm Permitting             | 240          | 268.5        | Planned           | Completed      |   |   |   |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 186 |                      |                        |                              |              |              | Actual            |                |   |   |   |  |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 187 |                      |                        |                              |              |              |                   |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 188 | AU16-F08             | ITSD                   | Citrix Security              | 500          | 9.0          | Planned           | Completed      |   |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 189 |                      |                        |                              |              |              | Actual            |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 190 |                      |                        |                              |              |              |                   |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 191 | AU17-006             | Office of City Manager | City Manager Office Expense  | 500          | 367.0        | Planned           | Completed      |                                                                                      |                                                                                      |   |  |  |  |                                                                                     |                                                                                     |         |         |         |         |
| 192 |                      |                        |                              |              |              | Actual            |                |                                                                                      |                                                                                      |   |  |  |  |                                                                                     |                                                                                     |         |         |         |         |
| 193 |                      |                        |                              |              |              |                   |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 194 | AU17-011             | ORM                    | Light Duty Program           | 500          | 341.0        | Planned           | Completed      |                                                                                      |   |   |  |  |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 195 |                      |                        |                              |              |              | Actual            |                |                                                                                      |   |   |  |  |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 196 |                      |                        |                              |              |              |                   |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 197 | AU17-021             | SAFD                   | Safety Inspection Program    | 750          | 43.0         | Planned           | Cancelled      |                                                                                      |                                                                                      |                                                                                      |                                                                                     |  |  |  |  |         |         |         |         |
| 198 |                      |                        |                              |              |              | Actual            |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 199 |                      |                        |                              |              |              |                   |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 200 | AU17-023             | SAPD                   | Child Safety Restricted Fund | 600          | 382.5        | Planned           | Completed      |                                                                                      |                                                                                      |                                                                                      |  |  |  |  |                                                                                     |         |         |         |         |
| 201 |                      |                        |                              |              |              | Actual            |                |                                                                                      |                                                                                      |   |  |  |  |                                                                                     |                                                                                     |         |         |         |         |
| 202 |                      |                        |                              |              |              |                   |                |                                                                                      |                                                                                      |                                                                                      |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 203 | AU17-A01             | SAPD                   | Confiscated Property Fund    | 400          | 312.5        | Planned           | Completed      |                                                                                      |   |   |  |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |
| 204 |                      |                        |                              |              |              | Actual            |                |  |  |  |                                                                                     |                                                                                     |                                                                                     |                                                                                     |                                                                                     |         |         |         |         |

Planned is Green

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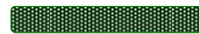
Planning



Fieldwork



Reporting



Mgmt's Response



Planning



Fieldwork



Reporting



Mgmt's Response

