### **Tab 3: Discretionary Contracts Disclosure**

\* = Required fields

Lockwood, Andrews & Newnam, Inc.



# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a • New Submission or Correction or Update to previous submission.

First: Stephen M.I. Last: Aniol Suffix:  2. Contract information.  a) Contract or project name: On-Call Civil Engineering Services for the IMP Program  b) Originating department:  3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).  Lockwood, Andrews & Newnam, Inc.  4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.  Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.  Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:  Parent Company: LEO A DALY Company. Officers: Dennis Petersen; Arnold Cohen; Derek St. John; Steve Gilbreath; Drew Hardin; Devarti Rastog; Sima Vajdani; John Green; E. Tyson Thomas  5. List any individuals or entities that will be subcontractors on this contract.  Not applicable. No subcontractors will be retained for this contract.  Subcontractors may be retained, but have not been selected at the time of this submission.  Clist of subcontractors, including the name of the owner(s), and business name:  Bain Medina Bain (Pamela Bain), Big Red Dog (Will Schnier), Maestas & Associates (Ernest Maestas), Raba Kistner (William Raba & Gary Raba), WV Consulting (Sarah Vasquez), Young Professional Resources (Leonard Young)  6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.  C) Not applicable. No attorneys, lobbyists, or consultants retained to assist in seeking this contract.  Clist of attorneys, lobbyists, or consultants retained to assist in seeking this contract.	*1. Name of person submitting	this disclosure form.			
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	List of attorneys, lobbyists, o	or consultants retained	to assist in se	eking this contra	act:

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Data(s) of Total Amount of

#### \* = Required fields



# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

─Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

#### ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
LAN-PAC	Ivy Taylor for Mayor Campaign	5/4/2016	\$500.00	Delete
LAN-PAC	The Ron Nirenberg Campaign	10/23/2015	\$500.00	Delete
LAN-PAC	Ivy R. Taylor Campaign	8/11/2015	\$1,000.00	Delete
LAN-PAC	Joe Krier For City Council Campaign	7/6/2015	\$500.00	Delete
LAN-PAC	Leticia Van De Putte For Mayor	6/1/2015	\$1,000.00	Delete
LAN-PAC	Friends Of Mari Aguirre Rodriguez	6/1/2015	\$500.00	Delete
LAN-PAC	The Ron Nirenberg Campaign	4/14/2015	\$500.00	Delete
LAN-PAC	Mike Gallagher Campaign	4/14/2015	\$500.00	Delete
LAN-PAC	Ray Lopez Campaign	4/14/2015	\$500.00	Delete
LAN-PAC	Roberto C. Trevino Campaign	3/25/2015	\$500.00	Delete
LAN-PAC	Allan Warrick Campaign	3/25/15	\$500.00	Delete
LAN-PAC	Ray Saldana Campaign	3/25/15	\$500.00	Delete
LAN-PAC	Friends Of Mari Aguirre Rodriguez	3/25/15	\$500.00	Delete
LAN-PAC	Joe Krier For City Council Campaign	3/25/15	\$500.00	Delete
LAN-PAC	Leticia Van De Putte For Mayor	3/16/15	\$1,000.00	Delete

### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.	
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-4</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by city officials?	
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of Council or a city board/commission.  I am aware of the following conflict(s) of interest:	City
*9. Prohibited Interest in Contracts.	
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member v first degree of consanguinity or affinity served on a City board or commission?	vithin the
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on	•

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

Yes			

board or commission?

### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own



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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <a href="Section 2-61">Section 2-61</a> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

GR.1075-01.PUR.REPORT.Contracts Disclosure Form

Chapter 176 of the Local Government Code requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath						
I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.						
Your Name: Robert Austin Bob Huston	Title: Senior Associate, Team Leader					
Company Name or DBA: Lockwood, Andrews & Newnam, Inc.	<b>Date:</b> 01/26/2017					



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### City of San Antonio Contracts Disclosure Form

### **Bain Medina Bain**

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a New Submission or Correction or Update to previous submission.

*1. Name of person submitting this disclosure form.	
First: Pamela M.I. Last: Bain	Suffix:
*2. Contract information.	
*z. Contract information.	
a) Contract or project name: On-call Civil Engineering Services for the IMP Program	TCI#12142016DR
b) Originating department:	
*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the	contract).
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List of subcontractors, including the name of the owner(s), and business name:	
*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Quest contract.	stions 3, 4, or 5 to assist in seeking this
✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in	seeking this contract.
List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:	





# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

#### ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Pamela Bain	Ivy R. Taylor	4/16/2015	\$500.00	Delete
Pamela Bain	Roberto C. Trevino	4/116/2015	\$250.00	Delete
Pamela Bain	Alan E. Warrick, II	4/16/2015	\$500.00	Delete
Pamela Bain	Ron Nirenburg	4/16/2015	\$500.00	Delete
Pamela Bain	Joe Krier	4/16/2015	\$500.00	Delete
Pamela Bain	Rebecca J. Viagran	4/16/2015	\$250.00	Delete
Pamela Bain	Ray Lopez	4/16/2015	\$500.00	Delete
Pamela Bain	Ivy R. Taylor	8/11/2016	\$5,000.00	Delete

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.





# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44
of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a

### Notice Regarding Prohibited Interest in Contracts.

City board or commission?

✓ No Yes

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own



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# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### **Acknowledgements**

#### \*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <a href="Section 2-61">Section 2-61</a> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath						
	I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
Your Name: Pamela Bain		Title: President				
Company Name or DBA:	Bain Medina Bain, Inc	Date:Date: 01/11/2017				

3.00

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**Big Red Dog** 



# City of San Antonio Discretionary Contracts Disclosure

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

proposal to originating department. All questions must be answered.

For details on use of this form, see  $\underline{\text{Section 2-59 through 2-61}}$  of the City's Ethics Code.

			or Opdate to previous su	
*1. Name of person submitting	this disclosure form.			
First: Jeremy	M.I. <u>S</u>	Last: [	Doege	Suffix:
*2 Canturation				
*2. Contract information.				
a) Contract or project name:	On-Call Civil Engineering	Services fo	or the IMP Program	
b) Originating department:				
*3. Name of individual(s) or enti	ty(ies) seeking a contract w	vith the city	ι (i.e. parties to the contract	t).
Big Red Dog, Inc.				
*4. List any business entity(ies) t Question 3.	hat is a partner, parent, or	subsidiary	business entity(ies) of the i	ndividual or entity listed in
✓ Not applicable. Contracting	oarty(ies) does not have pa	rtner, pare	nt, or subsidiary business e	entities.
Names of partner, parent, or	subsidiary business entities	5:		
*5. List any individuals or entitie	s that will be subcontracto	ors on this	contract.	
✓ Not applicable. No subcontra	actors will be retained for t	his contrac	t.	
Not applicable. No subcontra	actors will be retained for t	his contrac	t.	
✓ Not applicable. No subcontra	actors will be retained for t	his contrac	t.	
Not applicable. No subcontra	actors will be retained for t ned, but have not been sele	his contracected at th	et. e time of this submission.	4, or 5 to assist in seeking this
Not applicable. No subcontractors may be retain List of subcontractors:  *6. List any attorneys, lobbyists,	actors will be retained for t ned, but have not been seld or consultants retained by	his contracected at the	et. e time of this submission. duals listed in Questions 3,	
Not applicable. No subcontractions may be retain List of subcontractors:  *6. List any attorneys, lobbyists, contract.	or consultants retained by	his contracted at the any indivi	etime of this submission.  duals listed in Questions 3, retained to assist in seeking	
✓ Not applicable. No subcontractors may be retain  List of subcontractors:  *6. List any attorneys, lobbyists, contract.  ✓ Not applicable. No attorneys  List of attorneys, lobbyists, or	or consultants retained by  lobbyists, or consultants retained to as	his contracted at the any indivi	etime of this submission.  duals listed in Questions 3, retained to assist in seeking	
✓ Not applicable. No subcontractors may be retain  List of subcontractors:  *6. List any attorneys, lobbyists, contract.  ✓ Not applicable. No attorneys  List of attorneys, lobbyists, or  *7. Disclosure of political contril	actors will be retained for the decision of the decision of consultants retained by a lobbyists, or consultants for consultants retained to as consultants.	his contrace ected at the any indivinave been sist in seek	ett. e time of this submission. duals listed in Questions 3, retained to assist in seeking	g this contract.
✓ Not applicable. No subcontractors may be retain   ☐ List of subcontractors:  *6. List any attorneys, lobbyists, contract. ✓ Not applicable. No attorneys   ☐ List of attorneys, lobbyists, or  *7. Disclosure of political contril   List any campaign or officeholders   \$\frac{1}{2}\$ to any current member of \$\frac{1}{2}\$	or consultants retained for the decision of consultants retained by a consultants retained to as consultants retained to as consultants retained to as consultants retained to as consultants.	any indivinave been sist in seek	e time of this submission.  duals listed in Questions 3, retained to assist in seeking ting this contract:	g this contract.
Subcontractors may be retain List of subcontractors:  *6. List any attorneys, lobbyists, contract.  Not applicable. No attorneys List of attorneys, lobbyists, or  *7. Disclosure of political contril List any campaign or officeholde \$100 to any current member of action committee that contribut	or consultants retained for the decision of consultants retained by a consultants retained to as consultants retained for the retained by the retained for the retained f	any indivinave been sist in seek	e time of this submission.  duals listed in Questions 3, retained to assist in seeking ting this contract:	g this contract.
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✓ Not applicable. No subcontractors may be retain  List of subcontractors:  *6. List any attorneys, lobbyists, contract.  ✓ Not applicable. No attorneys  List of attorneys, lobbyists, or  *7. Disclosure of political contril  List any campaign or officeholde \$100 to any current member of action committee that contribut  a) any individual seekir b) any owner or officer c) any individual or own d) any subcontractor or	or consultants retained for the decision of consultants retained by the consultants retained to associate the consultants retained by the consultants retained to associate the consultants retained the consultants retained to associate the consultants retained the consultants retained to associate the co	his contract ected at the any indivi- nave been sist in seek ne followir er of City (5): uestion 3) with the ci- sted above facting ent	e time of this submission.  duals listed in Questions 3, retained to assist in seeking ting this contract:  g individuals in the past 24 Council, any candidate for Council, any candidate for Council, any candidate for Council, and can be as a partner, parent, or subity retained for the contract	g this contract.  I months totaling more than City Council, or to any political osidiary business (Question 4)
Subcontractors may be retain List of subcontractors:  *6. List any attorneys, lobbyists, contract.  Not applicable. No attorneys List of attorneys, lobbyists, or  *7. Disclosure of political contril List any campaign or officeholde \$100 to any current member of action committee that contribut a) any individual seekir b) any owner or officer c) any individual or ow d) any subcontractor o e) the spouse of any individual	or consultants retained for the decided, but have not been selected or consultants retained by the consultants retained to associate the consultants retained to associate the consultants retained to associate the contributions made by the contributions made by the contributions made by the contributions of contract with the city (Q of entity seeking contract with the city (Q of entity seeking contract the contribution of consultant retained to the consultant retained to the contribution of the consultant retained to the contribution of the consultant retained to the contribution of the contribution	nave been sist in seel with the cisted above racting entro (a) through assist in s	ett.  e time of this submission.  duals listed in Questions 3,  retained to assist in seeking ting this contract:  g individuals in the past 24  Council, any candidate for Council, any candidate for Council, any candidate for Council, and can partner, parent, or subject to the contract of the contract	I months totaling more than City Council, or to any political osidiary business (Question 4) t (Question 5)

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# City of San Antonio Discretionary Contracts Disclosure

Office of the City Clerk

List of contributions:

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

- ✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
- I am aware of the following conflict(s) of interest:

### Acknowledgements

### \*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

### 2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

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# City of San Antonio Discretionary Contracts Disclosure

Office of the City Clerk

### **Acknowledgements (continued)**

- \*3. Contribution Prohibitions for "High-Profile" Contracts
- This is not a high-profile contract.
- This is a high-profile contract.

### \*4. Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

	*Oath							
✓ I swear or affirm that the statements contained in this Discretionary Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.								
Your Name:	Jeremy S. Doege	, P.E.	Title:	Public Infrastructure Market Leader				
Company Na	me or DBA: Big	Red Dog, Inc.		<b>Date:</b> 01/31/2017				

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966

San Antonio, Texas 78283-3966



### **Maestas & Associates**



### City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a @ New Submission or Correction or Clindate to previous submission

11113 13 0	9		rection	or Opaute to	previous submission.	
*1. Name of person submitting	this disclosure fo	orm.				
First: Ernest		M.I. <u>T.</u>	Last:	Maestas		Suffix:
×2 C						
*2. Contract information.						
a) Contract or project name:	On-Call Civil Er	ngineering S	ervices	for the IMP Progr	am	
b) Originating department:	TCI					
*3. Name of individual(s) or enti	ty(ies) seeking a	contract wit	h the c	ity (i.e. parties to	the contract).	
Lockwood, Andrews & Newnam,	, Inc.					
*4. List any individual(s) or entit listed in Question 3.	ry(ies) that is a pa	artner, paren	ıt, joint	venture, or subsid	diary entity(ies) of the in	dividual or entity
✓ Not applicable. Contracting	party(ies) does n	ot have part	ner, pa	rent, joint ventur	e, or subsidiary entities.	
Names of partner, parent, join and officers of each entity:	nt venture or sub	bsidiary entit	ties, and	d all the board me	embers, executive comn	nittee members,
*5. List any individuals or entitie	as that will be su	h contractors	on thi	contract		
Not applicable. No subcontra						
Subcontractors may be retain					bmission.	
List of subcontractors, includ						
Maestas & Associates, Inc.						
*6. List any attorneys, lobbyists, contract.	or consultants r	etained by a	ny indi	viduals listed in C	Questions 3, 4, or 5 to ass	ist in seeking this
✓ Not applicable. No attorneys	s, lobbyists, or co	nsultants ha	ve bee	n retained to assi	st in seeking this contra	ct.
List of attorneys, lobbyists, or	r consultants reta	ained to assi	st in se	eking this contrac	rt:	





# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

#### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

√	am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
	Council or a city board/commission.

I am aware of the following conflict(s) of interest:





# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓	No
	Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### Acknowledgements

#### \*1. <u>Updates Required</u>

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

- \*3. Contribution Prohibitions for "High-Profile" Contracts
- This is not a high-profile contract.
- This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath				
I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.				
Your Name: Ernest T. M	aestas, PE	Title: President		
Company Name or DBA:	Maestas & Associates, Inc.		<b>Date:</b> <u>01/12/2017</u>	

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966







### City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a New Submission or Correction or Undate to previous submission

*1. Name of person submitting this disclosure form.					
First: William	M.I. <u>L.</u>	Last: Raba		Suffix:	
*2. Contract information.					
a) Contract or project name:	ON-CALL CIVIL ENGINEE	RING SERVICES f	or the IMP PROGRAM		
b) Originating department:					
*3. Name of individual(s) or entit	y(ies) seeking a contract	with the city (i.e	parties to the contract).		
Raba Kistner, Inc.					
*4. List any individual(s) or entity listed in Question 3.	v(ies) that is a partner, par	ent, joint ventu	re, or subsidiary entity(ies) of the	individual or entity	
			oint venture, or subsidiary entitie		
Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:					
Raba Kistner Consultants, Inc.; Raba Kistner Enviornmental, Inc.; Raba Kistner Infrastructure, Inc.; Project Control of Texas					
*5. List any individuals or entities that will be subcontractors on this contract.					
Not applicable. No subcontra	ictors will be retained for	this contract.			
Subcontractors may be retain					
List of subcontractors, including the name of the owner(s), and business name:					
_		ir(3), aria basirie			
Raba Kistner is a subcontractor t	o a prime consultant.	(3), and basine			
Raba Kistner is a subcontractor t	o a prime consultant.	(3), and basine			
Raba Kistner is a subcontractor t	o a prime consultant.	into pushic			
Raba Kistner is a subcontractor t	o a prime consultant.				
*6. List any attorneys, lobbyists,			s listed in Questions 3, 4, or 5 to a	ssist in seeking this	
*6. List any attorneys, lobbyists, contract.	or consultants retained b	y any individual			

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## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

─Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

#### ✓ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Raba Kistner PAC	Joe Krier Campaign	6/16/2015	\$500.00	Delete
Raba Kistner PAC	Ray Lopez Campaign	6/16/2015	\$500.00	Delete
Raba Kistner PAC	Ivy Taylor Campaign	6/16/2015	\$1,000.00	Delete
Raba Kistner PAC	Alan Warrick Campaign	06/29/2015	\$500.00	Delete
Raba Kistner PAC	Lackey 4 SARA Campaign	9/1/2015	\$500.00	Delete
Raba Kistner PAC	Roberto Trevino Campaign	10/13/2016	\$500.00	Delete
Raba Kistner PAC	Ivy Taylor Campaign	10/24/2016	\$1,000.00	Delete

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8. Disclosure of conflict of interest.

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# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
I am aware of the following conflict(s) of interest:
*9. Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or mor of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
✓ No
Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

### **Acknowledgements**

#### \*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

GR.1075-01.PUR.REPORT.Contracts Disclosure Form





# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <a href="Section 2-61">Section 2-61</a> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

		*Oath			
I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
Your Name:	William L. Ral	oa e e e e e e e e e e e e e e e e e e e	Title:	President	
Company Na	me or DBA:	Raba Kistner, Inc.		<b>Date:</b> 01/29/2017	

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966





### City of San Antonio Contracts Disclosure Form

**WV Consulting** 

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a \(\cap \) New Submission or \(\cap \) Correction or \(\cap \) Update to previous submission.

*1. Name of person submitting this disclosure form.					
First: Sarah	M.I	Last:	Vasquez	Suffix:	
*2. Contract information.					
*2. Contract information.					
a) Contract or project name:	ON-CALL CIVIL ENGINEE	er <b>i</b> ng ser	VICES for the IMP I	PROGRAM	
b) Originating department:					
*3. Name of individual(s) or entit	y(ies) seeking a contract	with the c	ity (i.e. parties to t	he contract).	
WV Consulting, LLC					
*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.					
✓ Not applicable. Contracting p	party(ies) does not have p	oartner, pa	rent, joint venture	e, or subsidiary entities.	
Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:					
*5. List any individuals or entitie	s that will be subcontrac	tors on thi	s contract.		
✓ Not applicable. No subcontra	actors will be retained for	this contr	act.		
Subcontractors may be retain	Subcontractors may be retained, but have not been selected at the time of this submission.				
List of subcontractors, includi	ng the name of the own	er(s), and b	ousiness name:		
*6. List any attorneys, lobbyists, contract.	or consultants retained b	oy any indi	vidua <b>ls l</b> isted in Q	uestions 3, 4, or 5 to assist in seeking this	
✓ Not applicable. No attorneys	, lobbyists, or consultants	s have bee	n retained to assis	t in seeking this contract.	
List of attorneys, lobbyists, or	consultants retained to a	assist in se	eking this contrac	t:	



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# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

☑ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individual
List of contributions:

#### Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

1	I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
	Council or a city board/commission.
	I am aware of the following conflict(s) of interest:









Yes

# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### Acknowledgements

#### \*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

### \*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

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# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

- \*3. Contribution Prohibitions for "High-Profile" Contracts
- This is not a high-profile contract.
- This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

☑ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government

*Oath				
✓ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.				
Your Name: Sarah Vasqu	ez	Title: Principal		
Company Name or DBA:	WV Consulting, LLC	<b>Date:</b> 01/26/2017		

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:
Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966



### **Young Professional Resources**



### City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

\*This is a • New Submission or Correction or Update to previous submission.

*1. Name of person submitting this disclosure form.					
First: Leonard M.I. D	Last: Young	Suffix:			
*2. Contract information.					
a) Contract or project name: ON-CALL CIVIL ENGINEERING	G SERVICES for the IMP	PROGRAM (TCI #12142016DR)			
b) Originating department:					
*3. Name of individual(s) or entity(ies) seeking a contract with	the city (i.e. parties to	the contract).			
Leonard D. Young dba Young Professional Resources					
*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.					
✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.					
Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:					
,					
*5. List any individuals or entities that will be subcontractors	on this contract.				
✓ Not applicable. No subcontractors will be retained for this	contract.				
Subcontractors may be retained, but have not been selected	ed at the time of this su	ubmission.			
List of subcontractors, including the name of the owner(s),	and business name:				
*6. List any attorneys, lobbyists, or consultants retained by an contract.	y individuals listed in C	Questions 3, 4, or 5 to assist in seeking this			
✓ Not applicable. No attorneys, lobbyists, or consultants have	e been retained to assi	st in seeking this contract.			
List of attorneys, lobbyists, or consultants retained to assist	t in seeking this contrac	ct:			





# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

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#### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

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- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

√I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
Council or a city board/commission.

I am aware of the following conflict(s) of interest:





## City of San Antonio Contracts Disclosure Form

Office of the City Clerk

#### \*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓	No
	Yes

#### Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

#### Acknowledgements

#### \*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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# City of San Antonio Contracts Disclosure Form

Office of the City Clerk

- \*3. Contribution Prohibitions for "High-Profile" Contracts
- This is not a high-profile contract.
- This is a high-profile contract.

#### \*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath								
✓ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.								
Your Name: <u>Leonard D. Y</u>	oung	Title:	President					
Company Name or DBA:	Young Professional Resources		<b>Date:</b> 01/30/2017					

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

