

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

Please fill out this form online, print completed form and submit with
proposal to originating department. All questions must be answered.

For details on use of this form, see [Section 2-59 through 2-61](#) of the City's Ethics Code.

*This is a ☒ New Submission or ☐ Correction or ☐ Update to previous submission.

***1. Name of person submitting this disclosure form.**

First: Kent M.I. Last: Power Suffix: P.E.

***2. Contract information.**

- a) Contract or project name: On-Call Civil Engineering Services for the IMP Program
- b) Originating department: City of San Antonio Transportation and Capital Improvements

***3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).**

R.H. Shackelford, Inc. (RHSI)

***4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.**

- ☒ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.
- ☐ Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

***5. List any individuals or entities that will be subcontractors on this contract.**

- ☐ Not applicable. No subcontractors will be retained for this contract.
- ☐ Subcontractors may be retained, but have not been selected at the time of this submission.
- ☒ List of subcontractors, including the name of the owner(s), and business name:

AECOM
Rock Engineering & Testing
LNV, Inc.
MS Engineering, LLC
Foster CM Group, Inc.
Traffic Operations Support Group, LLC

***6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.**

- ☒ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
- ☐ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



City of San Antonio Contracts Disclosure Form

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*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

☒ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

☐ List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under [Section 2-309 of the Municipal Campaign Finance Code](#), the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under [Sections 2-43 or 2-44](#) of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

☒ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

☐ I am aware of the following conflict(s) of interest:

* = Required fields



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Office of the
City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

☒ No

☐ Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see [Section 141 of the City Charter](#) and [Section 2-52 of the City Ethics Code \(Prohibited Interests in Contracts\)](#) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see [Section 2-58 of the City Ethics Code \(Prohibited Interest in Discretionary Contracts\)](#) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

☒ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

☒ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in [Section 2-61 of the City Ethics Code](#) by respondents or their agents may lead to disqualification of their offer from consideration.



City of San Antonio Contracts Disclosure Form

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City Clerk

*3. Contribution Prohibitions for "High-Profile" Contracts

- ☒ This is not a high-profile contract.
- ☐ This is a high-profile contract.
- ☐ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ☒ I warrant that no contributions have been made by these individuals in violation of [Section 2-309 of the Municipal Campaign Finance Code](#).

*4. Conflict of Interest Questionnaire (CIQ)

[Chapter 176 of the Local Government Code](#) requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

- ☒ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath

- ☒ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name: Kent Power

Title: Vice President

Company Name or DBA: R.H. Shackelford, Inc. (RHSI)

Date: 02/01/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

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City Clerk

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*This is a ☒ New Submission or ☐ Correction or ☐ Update to previous submission.

***1. Name of person submitting this disclosure form.**

First: Stephen M.I. G Last: Berckenhoff Suffix: _____

***2. Contract information.**

a) Contract or project name: On-Call Civil Engineering Services for the IMP Program (TCI #12142016DR)

b) Originating department: City of San Antonio Transportation & Capital Improvements

***3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).**

AECOM Technical Services, Inc

***4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.**

☐ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

☒ Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

See attachment below for a list of AECOM Technical Services, Inc.'s Direct Subsidiaries, Affiliates, Officers, and Directors.

***5. List any individuals or entities that will be subcontractors on this contract.**

☒ Not applicable. No subcontractors will be retained for this contract.

☐ Subcontractors may be retained, but have not been selected at the time of this submission.

☐ List of subcontractors, including the name of the owner(s), and business name:

--

***6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.**

☒ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

☐ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

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☐ List of contributions:

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☒ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

☐ I am aware of the following conflict(s) of interest:

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Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

☒ No

☐ Yes

Notice Regarding Prohibited Interest in Contracts.

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Acknowledgements

*1. Updates Required

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City Clerk

***3. Contribution Prohibitions for "High-Profile" Contracts**

- ☒ This is not a high-profile contract.
☐ This is a high-profile contract.

***4. Conflict of Interest Questionnaire (CIQ)**

[Chapter 176 of the Local Government Code](#) requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

- ☒ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

***Oath**

- ☒ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name: Stephen G Berckenhoff, PE

Title: Vice President, Water Business Unit, Texas

Company Name or DBA: AECOM Technical Services, Inc

Date: 02/01/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

AFFILIATED AND/OR SUBSIDIARY COMPANIES

AECOM Information:

AECOM Technical Services, Inc. (ATS) is a wholly owned subsidiary of The Earth Technology Corporation (USA), itself a subsidiary of AECOM, a Delaware corporation whose stock is publicly traded on the New York Stock Exchange (ACM/NYSE). The following is a list, compiled after reasonable research and inquiry, of ATS U.S. subsidiaries and affiliates. The principal address for ATS is One California Plaza, 300 South Grand Ave., Los Angeles, CA 90071. All other companies can receive mail there as well.

ATS US Subsidiaries (100% owned)

AECOM C&E, Inc. (formerly AECOM, Inc.)
AECOM Infrastructure, Inc.
AECOM Services, Inc.
AECOM USA, Inc.
*CASS Water Engineering, Inc.
*Davis Langdon, Inc.
*DEGW North America Limited, LLC
*Economics Research Associates
*EDAW, Inc.
*Earth Tech Environment & Infrastructure, Inc.
*Earth Tech WE Holding, Inc.
Leeding Builders Group, LLC
The Hunt Corporation
Tishman Construction Corporation

ATS primary US Affiliates

AECOM Abu Dhabi, Inc.
AECOM Capital, Inc.
AECOM CM Holding Corporation
AECOM Consult, Inc.
AECOM Enterprises, Inc.
AECOM Global, Inc.
AECOM Global II, LLC
AECOM Government Services, Inc.
AECOM Gulf Corporation
AECOM International Development, Inc.
AECOM Management Services Corporation
ATC Services, Inc.
Austin-AECOM Corporation
*Holmes & Narver, Inc.
MT Holdings Corp
*STRAAM Corporation

Additional US Affiliates (Design + Consulting Services)

AECOM Libya Housing and Infrastructure, Inc.
AECOM Pacific, Inc.
AECOM USA of Massachusetts, Inc.
AECOM USA of Michigan, Inc.

August 2015
List excludes project specific entities

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entities marked with an * are inactive/not taking on new work

AECOM Architects, PC
 AECOM Architects & Engineers (NJ), Inc.
 AECOM Design, a Professional Corporation
 AECOM Design Services, Inc.
 AECOM Louisiana, A Professional Corporation
 AECOM Services of DC, a Professional Corporation
 AECOM Services of Illinois, Inc.
 AECOM Services of Michigan, Inc.
 AECOM Services of NC, Inc.
 AECOM Services of Ohio, Inc.
 AECOM Services of PA, Inc.
 AECOM Technical Services Architects & Engineers, Inc.
 AECOM Technical Services of Michigan, Inc.
 AECOM Technical Services of North Carolina, Inc.
 AECOM North Carolina, Inc.
 ATC Architecture, Inc.
 ATC Engineering, Inc.
 EDAW Landscape Architecture, PC
 Aman Environmental Construction, Inc.
 *Banshee Construction Company, Inc.
 B.P. Barber & Associates, Inc.
 *Clay Street Properties
 Cleveland Wrecking Company
 Dames & Moore Group (NY), Inc.
 *D&M Consulting Engineers, Inc.
 *E.C. Driver & Associates, Inc.
 ForeRunner Corporation
 J.W. Williams, Inc.
 LopezGarcia Group, Inc.
 *Radian Engineering, Inc.
 URS Alaska, LLC
 URS Architects/Engineers, Inc.
 URS Architecture - Oregon Inc.
 URS Architecture & Engineering - New York, PC
 URS Caribe, LLP
 URS Corporation
 URS Corporation AES
 URS Corporation Architecture PC
 URS Corporation Design
 URS Corporation Great Lakes
 URS Corporation Services
 URS Corporation Southeast
 URS Corporation Southern
 URS Corporation-Maryland
 URS Corporation-New York
 URS Corporation-North Carolina
 URS Corporation-Ohio
 *URS Construction Services, Inc.
 *URS District Services, P.C.

URS Florida Advisory Services, Inc.
 URS Global Holdings, Inc.
 URS Greiner Woodward-Clyde Consultants, Inc.
 URS Group, Inc.
 URS International, Inc.
 URS Operating Services, Inc.
 URS Resources, LLC
 URS-Stevenson Architecture, PC

Additional US Affiliates (Construction Services Group)

Tishman Construction Corporation of Florida
 Tishman Construction Corporation of California
 Tishman Construction Corporation of Maryland
 Tishman Construction Corporation of Michigan
 Tishman Construction Corporation of Massachusetts
 Tishman Construction Corporation of Nevada
 Tishman Construction Corporation of Missouri
 Tishman Construction Corporation of New York
 Tishman Construction Corporation of Pennsylvania
 Tishman Construction Corporation of Tennessee
 Tishman Construction Corporation of D.C.
 Tishman Technologies Corporation
 Tishman Construction Corporation of Illinois
 Tishman Construction Corporation of New Jersey
 Tishman Construction Corporation of New England
 Tishman Construction Corporation of Virginia
 Tishman Construction Corporation of Puerto Rico
 Tishman Construction Canada, Inc.
 Tishman Interiors Corporation
 Trimco Insurance Company
 Advatech, LLC
 Badger Middle East, Inc.
 Energy Overseas International, Inc.
 Flint Energy Services, Inc.
 Flint USA, Inc.
 Northern Architects, Inc.
 *Ralph Tyler Services, Ltd
 Raytheon-Ebasco Overseas, Ltd
 Rust Constructors Inc.
 Rust Constructors Puerto Rico, Inc.
 SGT PLLC
 Sub-One Technology, Inc.
 The Leasing Corporation
 The Steam Generating Team LLC
 United Mid-East, Inc.
 URS Architects, LLC
 URS Asia, Inc.
 URS Constructors, LLC
 URS E&C Holdings, Inc.

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August 2015
 List excludes project specific entities

entities marked with an * are inactive/not taking on new work

URS Energy & Construction, Inc.
 URS Flint Industrial Services, Inc.
 URS Global Services, Inc.
 URS International Projects, Inc.
 URS Michigan, LLC
 URS Nuclear, LLC
 URS Overseas Operations, LLC
 Washington California, Inc.
 Washington Construction Corporation
 Washington Group Latin America, Inc.
 Washington Infrastructure Corporation
 Washington Ohio Services, LLC
 Washington Quality Inspection Company
 Washington-Catalytic, Inc.
 WD Global, Inc.
 WGI Global, Inc.
 *WGI Industrial Services, Ltd
 WGI Middle East, Inc.

Additional US Affiliates (Management Services Group)

AECOM Special Mission Services, Inc.
 Pacific Contingency Services, LLC
 AC First, LLC
 Civilian Police International LLC
 AECOM GSS, LTD
 AGS Sudan, Ltd
 CSA, Ltd
 Atlantic Contingency Constructors, LLC
 AECOM National Security Programs, Inc.
 McNeil Security, Inc.
 Global Linguist Solutions, LLC
 Qbase-McNeil Integrated Solutions
 Gulf Coast Petroleum Reserve Operations, LLC
 AECOM International Development, Inc. (AID)
 EG&G Defense Materials, Inc.
 Global Energy Services LLC
 Lear Siegler Logistics International, Inc.
 Nuclear Production Partners, LLC
 URS Federal Project Services, LLC
 URS Federal Services, Inc.
 URS Federal Services International, Inc.
 URS FS Commercial Operations, Inc.
 URS Professional Solutions, LLC
 Washington Demilitarization Company, LLC
 Washington Government Environmental Services Company, LLC
 West Valley Nuclear Services Company, LLC
 WSMS-MK, LLC

August 2015
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*This is a ☒ New Submission or ☐ Correction or ☐ Update to previous submission.

*1. Name of person submitting this disclosure form.			
First: <u>Paul</u>	M.I. <u>W</u>	Last: <u>Foster</u>	Suffix: <u>CCM</u>

*2. Contract information.	
a) Contract or project name:	<u>On-Call Civil Engineering Services for the IMP Program (TCI #12142016DR)</u>
b) Originating department:	<u></u>

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).
<u>Foster CM Group, Inc.</u>

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.
☒ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.
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- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

☐ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

☒ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Paul W. Foster	Mayor Ivy Taylor	10/04/2016	\$150.00	Delete
Paul W. Foster	Councilman Ron Nirenberg	08/23/2016	\$100.00	Delete
Paul W. Foster	Mayor Ivy Taylor	06/16/2016	\$500.00	Delete
Paul W. Foster	Councilman Ray Lopez	05/14/2016	\$100.00	Delete
Paul W. Foster	Councilman Joe Krier	04/22/2016	\$150.00	Delete
Paul W. Foster	Councilman Ron Nirenberg	04/18/2016	\$350.00	Delete
Paul W. Foster	Mayor Ivy Taylor	06/01/2015	\$300.00	Delete
Paul W. Foster	Mayor Ivy Taylor	11/14/2014	\$250.00	Delete

Updates on Contributions Required

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City Clerk

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- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under [Sections 2-43 or 2-44](#) of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

- ☒ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
- ☐ I am aware of the following conflict(s) of interest:

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

- ☒ No
- ☐ Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see [Section 141 of the City Charter](#) and [Section 2-52](#) of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see [Section 2-58](#) of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

***1. Updates Required**

- ☒ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

***2. No Contact with City Officials or Staff during Contract Evaluation**

- ☒ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in [Section 2-61](#) of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

***3. Contribution Prohibitions for "High-Profile" Contracts**

- ☒ This is not a high-profile contract.
☐ This is a high-profile contract.

***4. Conflict of Interest Questionnaire (CIQ)**

[Chapter 176 of the Local Government Code](#) requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

- ☒ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath

- ☒ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name: Paul W. Foster, CCM

Title: President/CEO

Company Name or DBA: Foster CM Group, Inc.

Date: 01/18/2017

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

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* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the
City Clerk

Please fill out this form online, print completed form and submit with
proposal to originating department. All questions must be answered.

For details on use of this form, see [Section 2-59 through 2-61](#) of the City's Ethics Code.

*This is a ☐ New Submission or ☐ Correction or ☒ Update to previous submission.

*1. Name of person submitting this disclosure form.			
First: <u>Derek</u>	M.I. <u>E.</u>	Last: <u>Naiser</u>	Suffix: <u>PE, CFM</u>

*2. Contract Information.	
a) Contract or project name:	<u>On-Call Civil Engineering Services</u>
b) Originating department:	<u>City of San Antonio Transportation & Capital Improvements</u>

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).
<u>LNV, Inc.</u>

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.
☒ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.
☐ Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

*5. List any individuals or entities that will be subcontractors on this contract.
☒ Not applicable. No subcontractors will be retained for this contract.
☐ Subcontractors may be retained, but have not been selected at the time of this submission.
☐ List of subcontractors, including the name of the owner(s), and business name:

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.
☒ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
☐ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the
City Clerk

***7. Disclosure of political contributions.**

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

☐ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

☒ List of contributions:

Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
Derek E. Naiser	Ron Nirenberg	02/25/2016	\$500.00	Delete
Derek E. Naiser	Rebecca Viagran	03/29/2016	\$500.00	Delete
Derek E. Naiser	Ray Lopez	05/05/2016	\$500.00	Delete
Derek E. Naiser	Joe Krier	05/31/2016	\$500.00	Delete
Derek E. Naiser	Ivy Taylor	08/04/2016	\$1,000.00	Delete
Derek E. Naiser	CEPAC	08/29/2016	\$375.00	Delete
Derek E. Naiser	ACEC PAC	08/29/2016	\$375.00	Delete
Susan Naiser	Ivy Taylor	10/13/2016	\$500.00	Delete
Derek E. Naiser	Robert Trevino	10/18/2016	\$250.00	Delete
Derek E. Naiser	Rey Saldana	10/19/2016	\$500.00	Delete
Derek E. Naiser	Joseph Cortez	12/21/2016	\$500.00	Delete
Derek E. Naiser	Cris Medina	01/03/2017	\$500.00	Delete
Derek E. Naiser	Rebecca Viagran	01/03/2017	\$500.00	Delete
Derek E. Naiser	Lynlie Wallace	01/30/2017	\$500.00	Delete
Derek E. Naiser	Shirley Gonzales	01/30/2017	\$500.00	Delete
Byron G. Sanderfer	Rebecca Viagran	01/31/2017	\$100.00	Delete
Alan V. Thompson	Ron Nirenberg	03/19/2016	\$100.00	Delete
Alan V. Thompson	Ivy Taylor	05/02/2016	\$100.00	Delete

* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the
City Clerk

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under [Section 2-309 of the Municipal Campaign Finance Code](#), the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under [Sections 2-43 or 2-44](#) of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

- ☒ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
- ☐ I am aware of the following conflict(s) of interest:

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

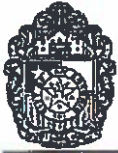
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

- ☒ No
- ☐ Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City

* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the
City Clerk

contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see [Section 141 of the City Charter](#) and [Section 2-52](#) of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see [Section 2-58](#) of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

- ☒ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

- ☒ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

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*3. Contribution Prohibitions for "High-Profile" Contracts

- ☒ This is not a high-profile contract.
☐ This is a high-profile contract.

*4. Conflict of Interest Questionnaire (CIQ)

[Chapter 176 of the Local Government Code](#) requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

- ☒ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the
City Clerk

*Oath	
☒ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.	
Your Name: <u>Derek E. Naiser, PE, CFM</u>	Title: <u>Executive Vice President/Principal</u>
Company Name or DBA: <u>LNV, Inc.</u>	Date: <u>02/01/2017</u>

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.
If necessary to mail, send to:
Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

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* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

Please fill out this form online, print completed form and submit with
proposal to originating department. All questions must be answered.

For details on use of this form, see [Section 2-59 through 2-61](#) of the City's Ethics Code.

*This is a ☒ New Submission or ☐ Correction or ☐ Update to previous submission.

***1. Name of person submitting this disclosure form.**

First: Miyoung M.I. H Last: Squire Suffix: _____

***2. Contract information.**

a) Contract or project name: ON-CALL CAPITAL CIVIL ENGINEERING SERVICES FOR IMP PROGRAM

b) Originating department: City of San Antonio Transportation & Capital Improvements

***3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).**

MSE GROUP, LLC

***4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.**

☒ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

☐ Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

***5. List any individuals or entities that will be subcontractors on this contract.**

☒ Not applicable. No subcontractors will be retained for this contract.

☐ Subcontractors may be retained, but have not been selected at the time of this submission.

☐ List of subcontractors, including the name of the owner(s), and business name:

***6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.**

☒ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

☐ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

☒ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

☐ List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

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- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under [Sections 2-43 or 2-44](#) of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

☒ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

☐ I am aware of the following conflict(s) of interest:

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

☒ No

☐ Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see [Section 141 of the City Charter](#) and [Section 2-52 of the City Ethics Code](#) (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see [Section 2-58 of the City Ethics Code](#) (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

☒ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

☒ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in [Section 2-61 of the City Ethics Code](#) by respondents or their agents may lead to disqualification of their offer from consideration.

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the
City Clerk

***3. Contribution Prohibitions for "High-Profile" Contracts**

- ☒ This is not a high-profile contract.
☐ This is a high-profile contract.

***4. Conflict of Interest Questionnaire (CIQ)**

[Chapter 176 of the Local Government Code](#) requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

- ☒ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

***Oath**

- ☒ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name: Miyoung H. Squire Title: President

Company Name or DBA: MSE Group, LLC Date: 01/27/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

* = Required fields



City of San Antonio Discretionary Contracts Disclosure

Office of the
City Clerk

Please fill out this form online, print completed form and submit with
proposal to originating department. All questions must be answered.

For details on use of this form, see [Section 2-59 through 2-61](#) of the City's Ethics Code.

*This is a ☒ New Submission or ☐ Correction or ☐ Update to previous submission.

1. Name of person submitting this disclosure form.
First: <u>Kyle</u> M.I. <u>D</u> Last: <u>Hammock</u> Suffix: _____
*2. Contract information.
a) Contract or project name: <u>On-Call Civil Engineering Services for the IMP Program</u>
b) Originating Department: <u>Transportation and Capital Improvements</u>
*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).
<u>Rock Engineering & Testing Laboratory, Inc.</u>
*4. List any business entity(ies) that is a partner, parent, or subsidiary business entity(ies) of the individual or entity listed in Question 3.
☒ Not applicable. Contracting party(ies) does not have partner, parent, or subsidiary business entities.
☐ Names of partner, parent, or subsidiary business entities:
5. List any individuals or entities that will be subcontractors on this contract.
☒ Not applicable. No subcontractors will be retained for this contract.
☐ Subcontractors may be retained, but have not been selected at the time of this submission.
☐ List of subcontractors:
6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.
☒ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
☐ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:
*7. Disclosure of political contributions.
List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:
a) any individual seeking contract with the city (Question 3)
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c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
e) the spouse of any individual listed in response to (a) through (d) above
f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
☒ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.



City of San Antonio Discretionary Contracts Disclosure

Office of the
City Clerk

☐ List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

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- a) Legal signatory of a high-profile contract
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Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

- ☒ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
- ☐ I am aware of the following conflict(s) of interest:

*Acknowledgements

☒ Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

☒ No Contact with City Officials or Staff during Contract Evaluation

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

* = Required fields



City of San Antonio Discretionary Contracts Disclosure

Office of the
City Clerk

*Contribution Prohibitions for "High-Profile" Contracts

- ☒ This is not a high-profile contract.
☐ This is a high-profile contract.

*Conflict of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires contractors and vendors to submit a Conflict of Interest Form (CIQ) to the Office of the City Clerk.

- ☒ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath

- ☒ I swear or affirm that the statements contained in this Discretionary Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name: Kyle D. Hammock Title: Vice President

Company Name or DBA: Rock Engineering & Testing Laboratory, Inc. Date: 01/27/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

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* = Required fields



City of San Antonio Discretionary Contracts Disclosure

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City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see [Section 2-59 through 2-61](#) of the City's Ethics Code.

*This is a ☒ New Submission or ☐ Correction or ☐ Update to previous submission.

***1. Name of person submitting this disclosure form.**

First: Frank M.I. G Last: Fonseca Suffix: _____

***2. Contract information.**

a) Contract or project name: ON-CALL CIVIL ENGINEERING SERVICES for the IMP PROGRAM
b) Originating department: City of San Antonio Transportation & Capital Improvements

***3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).**

Traffic Operations Support Group, LLC

***4. List any business entity(ies) that is a partner, parent, or subsidiary business entity(ies) of the individual or entity listed in Question 3.**

- ☒ Not applicable. Contracting party(ies) does not have partner, parent, or subsidiary business entities.
☐ Names of partner, parent, or subsidiary business entities:

***5. List any individuals or entities that will be subcontractors on this contract.**

- ☐ Not applicable. No subcontractors will be retained for this contract.
☒ Subcontractors may be retained, but have not been selected at the time of this submission.
☐ List of subcontractors:

***6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.**

- ☒ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
☐ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

***7. Disclosure of political contributions.**

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

- ☒ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

* = Required fields



City of San Antonio Discretionary Contracts Disclosure

Office of the
City Clerk

☐ List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under [Section 2-309 of the Municipal Campaign Finance Code](#), the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under [Sections 2-43 or 2-44 of the City Ethics Code](#) for any City Council member or board/commission member that has not or will not be raised by these city officials?

- ☒ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
- ☐ I am aware of the following conflict(s) of interest:

Acknowledgements

*1. Updates Required

- ☒ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

- ☐ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in [Section 2-61 of the City Ethics Code](#) by respondents or their agents may lead to disqualification of their offer from consideration.

* = Required fields



City of San Antonio Discretionary Contracts Disclosure

Office of the
City Clerk

Acknowledgements (continued)

***3. Contribution Prohibitions for "High-Profile" Contracts**

- ☒ This is not a high-profile contract.
☐ This is a high-profile contract.

***4. Conflict of Interest Questionnaire (CIQ)**

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

- ☒ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath

- ☒ I swear or affirm that the statements contained in this Discretionary Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name: Frank G. Fonseca

Title: Owner

Company Name or DBA: Traffic Operations Support Group, LLC / DBA TOSG,LLC

Date: 02/02/2017

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

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