

AN ORDINANCE 2018-12-13-1030

**APPROVING FUNDING RECOMMENDATIONS IN THE CHILD ABUSE, YOUTH DATA, YOUTH SUCCESS, SENIOR INDEPENDENCE, AND WORKFORCE DEVELOPMENT FUNDING PRIORITIES FOR THE FY 2019 & FY 2020 HUMAN AND WORKFORCE DEVELOPMENT SERVICES CONSOLIDATED FUNDING II PROCESS TO SEVEN DELEGATE AGENCIES IN THE AMOUNT OF \$774,359.00 FOR THE PERIOD OF DECEMBER 1, 2018 THROUGH SEPTEMBER 30, 2019 FUNDED THROUGH THE DELEGATE AGENCY FY 2019 GENERAL FUND ADOPTED BUDGET.**

\* \* \* \* \*

**WHEREAS**, since 2005, the Consolidated Funding Process has allocated funding to provide services to vulnerable populations; complement City direct services; align with City Council priorities; and streamline the funding process; and

**WHEREAS**, in FY 2018, the Department of Human Services utilized the Equity Impact Assessment on the Human and Workforce Development Consolidated Funding Process to develop recommended funding priorities for the FY 2019 & FY 2020 cycle to create an equitable funding structure and enhance operational efficiencies; and

**WHEREAS**, based on application of the equity impact assessment process, a Request for Proposal was issued on April 26, 2018, to award human and workforce development services along five long-term outcomes and 16 funding priorities for the Department of Human Services and Economic Development; and

**WHEREAS**, the Request for Proposal funding process closed on May 29, 2018, with 56 agencies and 83 programs that were recommended and approved for through the Fiscal Year 2019 budget adoption; and

**WHEREAS**, total allocated funding through this process was \$19,405,505.00, leaving \$774,359.00 unallocated; and

**WHEREAS**, a second Request for Proposal was issued on August 27, 2018 with responses due by September 28, 2018; and

**WHEREAS**, a total of 35 proposals, submitted by 29 agencies, sought available funding in the Child Abuse, Youth Success, Senior Independence, and Workforce Development services funding priorities; **NOW THEREFORE:**

**BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF SAN ANTONIO:**

**SECTION 1.** Funding recommendations in the Child Abuse, Youth Success, Senior Independence, and Workforce Development funding priorities through the FY 2019 & FY 2020 Human and Workforce Development Services Consolidated Funding II process to seven (7)

entities in the total amount of \$774,359.00 for the period of December 1, 2018 through September 30, 2019, with a one year renewal option, is hereby approved.

**SECTION 2.** The City Manager, or her designee, is authorized to execute delegate agency contracts, in substantially the same form as shown in **Attachment I**, or contract amendments, in substantially the same form as shown in **Attachment II**, and with such revisions as are first approved by the City Attorney's Office, with each of the entities and for the amounts indicated in **Attachment III**, and to incorporate as attachments to the contracts, the project-specific scope of work, performance measures and budgets.

**SECTION 3.** Funding for this Ordinance in the amount up to \$774,359.00 is available as part of the FY2019 Budget. See the table below:


Amount	General Ledger	Fund	Cost Center
\$528,730.00	5202020	11001000	8501010001
\$245,629.00	5407030	11001000	8501010001
<b>Total Amount</b>	\$774,359.00		

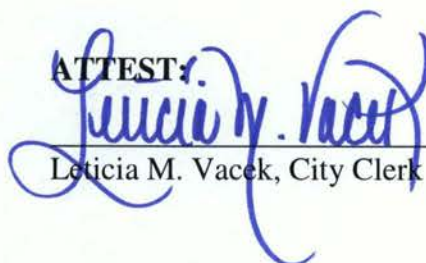
**SECTION 4.** Payment not to exceed the budgeted amount is authorized to the listed agencies in **Attachment III** and should be encumbered with a purchase order. All expenditures will be in accordance with the Fiscal Year 2019 and subsequent budgets that fall within the term period of these contracts approved by City Council.


**SECTION 5.** The financial allocations in this Ordinance are subject to approval by the Director of Finance, City of San Antonio. The Director of Finance may, subject to concurrence by the City Manager or the City Manager's designee, correct allocations to specific SAP Fund Numbers, SAP Project Definitions, SAP WBS Elements, SAP Internal Orders, SAP Fund Centers, SAP Cost Centers, SAP Functional Areas, SAP Funds Reservation Document Numbers, and SAP GL Accounts as necessary to carry out the purpose of this Ordinance.

**SECTION 6.** This Ordinance is effective immediately upon the receipt of eight affirmative votes; otherwise, it is effective ten days after passage.

**PASSED AND APPROVED this 13th day of December, 2018.**

  
**M A Y O R**  
Ron Nirenberg

**ATTEST:**  
  
Leticia M. Vacek, City Clerk

**APPROVED AS TO FORM:**  
  
for Andrew Segovia, City Attorney



<b>Agenda Item:</b>	41 ( in consent vote: 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15A, 15B, 16A, 16B, 16C, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 36, 37, 38, 39, 40, 41, 43, 44, 45, 46, 47, 48, 49A, 49B, 50A, 50B, 50C, 51A, 51B, 51C, 52, Z-1, 53, Z-2 )						
<b>Date:</b>	12/13/2018						
<b>Time:</b>	11:10:11 AM						
<b>Vote Type:</b>	Motion to Approve						
<b>Description:</b>	Ordinance approving funding to seven Human Services and Workforce Development Delegate Agencies in the total amount of \$774,359.00 for FY 2019 consistent with the FY 2019 General Fund Adopted Budget for the period of December 1, 2018 to September 30, 2019. [María Villagómez, Assistant City Manager; Melody Woosley, Director, Human Services]						
<b>Result:</b>	Passed						
<b>Voter</b>	<b>Group</b>	<b>Not Present</b>	<b>Yea</b>	<b>Nay</b>	<b>Abstain</b>	<b>Motion</b>	<b>Second</b>
Ron Nirenberg	Mayor		x				
Roberto C. Treviño	District 1		x				
William Cruz Shaw	District 2		x				x
Rebecca Viagran	District 3		x			x	
Rey Saldaña	District 4		x				
Shirley Gonzales	District 5		x				
Greg Brockhouse	District 6		x				
Ana E. Sandoval	District 7		x				
Manny Pelaez	District 8	x					
John Courage	District 9		x				
Clayton H. Perry	District 10		x				

# Attachment I

STATE OF TEXAS \*

COUNTY OF BEXAR \*

CITY OF SAN ANTONIO \*

DELEGATE AGENCY CONTRACT  
WITH

[Insert Contractor name]

This Contract is between the City of San Antonio ("City"), a Texas Municipal Corporation, acting by and through its Director of the Department of Human Services ("Managing City Department") pursuant to Ordinance No. 2018-09-13-0709, dated September 13, 2018, and the \_\_\_\_\_, ("Contractor") (together, the "Parties").

Background

- A. The City adopted a budget for the expenditure of City of San Antonio General or Grant Fund Operating funds ("General Fund" or "Grant Fund," as applicable), which included an allocation of funds for a project entitled "\_\_\_\_\_" ("Project"); and
- B. The City wishes to engage the Contractor to carry out the Project.

Contract

The Parties agree as follows:

## I. SCOPE OF WORK

- 1.1 The Contractor will provide, oversee and administer all activities and services in a manner satisfactory to the City and in compliance with the attached **Scope of Work** and **Scorecard** ("Attachment I").

## II. TERM

- 2.1 This Contract shall begin on October 1, 2018 and shall terminate on September 30, 2019.

## III. CONSIDERATION

- 3.1 The City will reimburse Contractor in an amount not to exceed \$\_\_\_\_\_ for costs incurred in accordance with the attached **Budget** ("Attachment II"), and all subsequently authorized Budget Revisions or Budget Amendments to that Budget.
- 3.2 Funding through this Contract is based on an allocation from the following sources:

[SELECT THOSE THAT ARE APPLICABLE, AND DELETE ALL OTHERS]

- \_\_\_\_\_ General Fund
- \_\_\_\_\_ Community Development Block Grant (CDBG) CFDA # \_\_\_\_\_;  
Contractor DUNS # \_\_\_\_\_
- \_\_\_\_\_ Community Service Block Grant (CSBG) CFDA # \_\_\_\_\_  
Contractor DUNS # \_\_\_\_\_
- \_\_\_\_\_ Emergency Solutions Grant (ESG) CFDA # 14.231;  
Contractor DUNS # \_\_\_\_\_
- \_\_\_\_\_ Housing Opportunities for Persons with Aids (HOPWA) CFDA # 14.241;  
Contractor DUNS # \_\_\_\_\_
- \_\_\_\_\_ Federal Child Care Matching Funds
- \_\_\_\_\_ (Other funding sources)



Contractor shall comply with the attached **Funding Guide** ("Attachment III").

- 3.3 The City's obligations under this Contract are contingent upon the actual receipt of adequate General or Grant Fund revenue, as applicable, to meet City's liabilities under this Contract. If the City does not receive sufficient funds to make payments pursuant to this Contract or if the award of Grant Funds is reduced, then City, at its sole discretion, may elect to terminate this Contract or reduce the Scope of Work and Compensation. City shall notify Contractor in writing of its determination within a reasonable time.
- 3.4 Contractor's total agency revenues and expenses derived from both non-City sources and from the City is deemed Contractor's Total Budget:

$$\text{Total Budget} = \text{non-City revenue/expenses} + \text{City Revenue/expenses}$$

The percentage of Total Budget derived from non-City sources must meet the following "match" requirements:

- (A) If Contractor receives an aggregate amount of \$1,000,000.00 or more in City funds from all City funded contracts, then Contractor must obtain at least thirty-five percent (35%) of its Total Budget from non-City sources (i.e., no more than sixty-five percent (65%) of its Total Budget is derived from the City).
- (B) If Contractor receives less than an aggregate amount of \$1,000,000.00 in City funds from all City funded contracts, then Contractor shall obtain fifty percent (50%) of its Total Budget from non-City sources (i.e., no more than fifty percent (50%) of its Total Budget is derived from the City).

In addition, Contractor shall comply with any matching fund requirements set by City Council that apply to Contractor's contract, regardless of when such requirements are passed. City shall require sufficient information that Contractor's match is in place before contract execution, and may request, at the end of each quarter, information and documentation confirming that Contractor has expended or is on course to expend its "match" requirement before the end of the Contract term. City has no obligation to provide any funds until Contractor demonstrates having secured or expended the required percentage of matching funds. Pell grants and other awards received by individuals, and in-kind contributions shall not count toward its matching fund requirements. If Contractor does not provide City with acceptable information and documentation that the required amount of non-City funds have been expended then City may reduce or recapture funds pursuant to Section 4.6 to comply with the match requirement. Contractor acknowledges that reduction or recapture of funds pursuant to Section 4.6 does not require the approval of City Council.

#### IV. COST REIMBURSEMENT; FISCAL RESPONSIBILITY

- 4.1 **Allowable Costs** means those costs which are necessary, reasonable and allowable under applicable federal, state, and local law, including but not limited to those laws referenced in Article XI for the proper administration and performance of the services to be provided under this Contract. The City's payment obligation under this cost reimbursement Contract is limited to making reimbursements for Allowable Costs incurred as a direct result of City-funded services provided by the Contractor in accordance with this Contract and consistent with budgeted line items in the applicable Budget. Approved Budget Revisions (total Contract Budget remains the same) and Budget Amendments (an increase or decrease to the total Contract Budget) supersede prior budget documents and all references to the Budget mean the last revised, approved budget.
- 4.2 **Advance payment.** In case of unforeseen or special circumstances, Contractor may submit to the Director of the Managing City Department, in the form prescribed by the City, a written request for advance payments, including the specific reason for such request no less than ten (10) business days before the requested date of payment. Each request will be considered by the Director of the Managing City Department on a case-by-case basis. The Director of the Managing City Department's shall have sole discretion to approve or disapprove a request. If advance payments are approved then:



- a. Contractor's payments to its vendors using funds advanced by the City shall be paid in a prompt and timely manner but no later than 10 calendar days after the Contractor is notified that an advance payment has been made available so long as services have been performed by the vendor.
- b. Contractor must deposit advanced City funds in a bank insured with the Federal Deposit Insurance Corporation (FDIC). If Contractor's total deposits in the bank, including all City funds deposited with the bank, exceed the FDIC insurance limit, then the Contractor must arrange to automatically have the excess collaterally secured. Contractor must provide City a copy of the collateral agreement with the Contractor's banking institution. Advanced funds that cause the Contractor's account balance to exceed the FDIC limit must be deposited in compliance with the Public Funds Investment Act (Chapter 2256 of the Texas Government Code). Contractor shall maintain the FDIC insured bank account in which City funds are deposited and its recordkeeping in a manner that will allow City to track, in detail, expenditures made pursuant to this and all other City contracts.
- c. The City may, in its sole discretion, either 1) deduct pro rata from the remaining monthly reimbursements amounts necessary to offset the amount advanced, or 2) deduct from a single subsequent monthly reimbursement the full amount advanced to Contractor. The City will consider factors such as projected allowable costs and other pertinent indicators such as Contractor's financial stability. Contractor shall maintain a financial management system to account for periodic, or a lump sum, deduction from reimbursements.

4.3 Contractor's Request for Payment. Contractor shall submit to City no later than the 15<sup>th</sup> of every month a monthly Request for Payment in the form prescribed by City, which details:

- a. the specific costs (by category and by program account number) Contractor expensed in the previous month for the services delivered as described in Article I; and
- b. supporting documentation of costs as may be required by the Director of the Managing City Department (e.g., original or certified copies of invoices, cancelled checks, Contractor's general ledger and/or receipts to verify invoiced expenses); and
- c. the Program Income received or projected during the same time period.

4.4 City Payment. City shall pay for eligible expenses and undisputed amounts in submitted Requests for Payments within 30 calendar days of receiving a properly completed, documented and approved Request for Payment.

4.5 Final Request for Payment. The Contractor shall submit to City all final requests for payment no later than 30 days from the expiration or early termination date of this Contract, unless Contractor receives written authorization from the Director of the Managing City Department allowing Contractor to submit a request for payment after the 30 day period.

4.6 Return of Funds. Within 10 business days of City's written notification, or the Contractor becoming aware of its existence, Contractor must return to the City any funds, credits that are on-hand or collected, or advance payments that:

- a. exceed allowable costs incurred during the Contract term; or
- b. for which Contractor fails to deliver services as specified under the Contract.

Any amounts not returned within 10 business days may, at City's option, be subject to offset against future funding obligations by City. *"Business day" means every day of the week except all Saturdays, Sundays and those scheduled holidays officially adopted and approved by the San Antonio City Council for City of San Antonio employees.*

4.7 Cost Rules.

(A) Administrative Overhead. Administrative overhead costs may not exceed twenty percent (20%) of the funding provided under this Contract. More stringent administrative overhead costs limitations may be



- applicable due to grant regulations associated with Contract funding. Contractor shall provide City detailed administrative costs by line item with its annual program budget.
- (B) Contractor shall establish, submit with supporting documentation and use a Cost Allocation Plan with Contractor's annual program budget by the deadline established by the City. *The **Cost Allocation Plan** is a plan that identifies and distributes the cost of services provided by staff and/or departments or functions and overhead not solely devoted to the Project funded by this Contract. The **Cost Allocation Plan** substantiates how the costs of a program are charged to a particular cost category or to the program and ensures that the City is paying only its share of the costs for services, overhead, and staffing.*
  - (C) Contractor costs or earnings claimed under this Contract may not be claimed under another contract or grant from another agency, organization, business entity or governmental entity.
  - (D) Contractor shall not use funds awarded from this Contract as matching funds for any federal, state or local grant without the prior written approval of the Director of the Managing City Department.
  - (E) The use or purchase of gift cards is not allowed and not reimbursable under this Contract.
- 4.8 Each year Contractor shall submit to the Managing City Department a form 990 or 990T no later than 30 days after Internal Revenue Service (IRS) deadlines for completion. If filing an extension, Contractor shall notify the City in writing of the extension and the anticipated date of filing with the IRS. Contractor shall submit the 990 or 990T to the Managing City Department no later than 30 days after Contractor files under the extension.
- 4.9 The Contractor warrants that any and all taxes that the Contractor may be obligated for, including but not limited to, federal, state, and local taxes, fees, special assessments, federal and state payroll and income taxes, personal property, real estate, sales and franchise taxes, are current, and paid to the fullest extent liable as of the execution date and throughout the term of the Contract.
- 4.10 Contractor shall comply with the following check writing and handling procedures:
- (A) No signing of blank checks.
  - (B) No checks made payable to cash or bearer with the exception of those for petty cash reimbursement. Petty cash checks must not exceed 1) \$100.00 maximum per check and 2) \$200.00 in aggregate per location for any given calendar month during the term of this Contract unless Contractor receives prior written approval from the Managing City Department to exceed the limit. Requests for petty cash must be supported by the submission to the Managing City Department of an original receipt.
  - (C) Checks issued by City to Contractor shall be deposited into the appropriate bank account immediately or by the next business day after Contractor's receipt of each such check, and shall never be cashed for purposes of receiving any of the face amount back.
- 4.11 Contractor shall comply with the following:
- (A) *"**Program Income**" means Contractor earnings from activities under this Contract or from Contractor's management of funding provided or received under this Contract. Program Income includes, but shall not be limited to,*
    - 1. *interest income;*
    - 2. *usage or rental/lease fees;*
    - 3. *income produced from contract-supported services of individuals or employees or from the use of equipment or facilities of Contractor provided as a result of this Contract, and*
    - 4. *payments from clients or third parties for services rendered by Contractor pursuant to this Contract.*
- Contractor must not charge fees or solicit donations from participants in any City-funded project without the prior written approval of the Director of the Managing City Department.
- (B) The Contractor must fully disclose and be accountable to the City for all **Program Income**. Contractor shall provide 30 days' written notice detailing the type, time, and place of all



activities, anticipated to generate program income. Within 30 days after activity that generates program income, Contractor must submit a statement of expenditures and revenues to the Managing City Department. The statement is subject to audit verification by Managing City Department. Failure by Contractor to report program income as required is grounds for suspension, cancellation, or termination of this Contract.

(C) At the sole option and upon prior written direction from the Director of the Managing City Department, Contractor will either:

1. return **Program Income** funds to City within the timeframe that may be specified by the Director of the Managing City Department; or
2. retain **Program Income** funds to be added to the Project and used to further eligible Project objectives but only if the proposed expenditures are approved by the City; or
3. deduct **Program Income** funds from the total Project cost for the purpose of determining the net cost reimbursed by the City. In this case, Contractor must submit all reports required by the Managing City Department within the timeframe specified in the Contract.

(D) Contractor must include this Section 4.11, in its entirety, in all of its subcontracts involving income-producing services or activities.

4.12 The City shall not be obligated to any third parties of Contractor (including any subcontractors or third party beneficiaries of Contractor) under this Contract.

4.13 Contractor shall maintain a financial management and accounting records system that provides the following:

- a. accurate, current, and complete disclosure of financial support from each federal, state and locally sponsored project and program in accordance with the reporting requirements set forth in Article VII of this Contract. If accrual basis reports are required, the Contractor shall develop accrual data for its reports based on an analysis of the documentation available;
- b. identification of the source and application of funds for City-sponsored activities. The records shall contain information pertaining to City awards, authorizations, obligations, un-obligated balances, assets, equity, outlays, and income;
- c. effective control over and accountability for all funds, property, and other assets. The Contractor shall adequately safeguard all such assets and shall ensure that they are used solely for authorized purposes;
- d. identification of separate funds by funding source and project;
- e. comparison of actual outlays with budget amounts for each award. Whenever appropriate or required by the City, financial information should be related to performance and unit cost data;
- f. procedures to minimize the time elapsing between the transfer of funds from the City and the disbursement of said funds by the Contractor;
- g. procedures for determining reasonable, allowable, and allocable costs in accordance with the provisions of any and all applicable cost principles, and the terms of the award, grant, or contract, with the City;
- h. supporting source documentation (i.e., timesheets, employee benefits, professional services agreements, purchases, and other documentation as required by City); and
- i. an accounting system based on generally acceptable accounting principles which accurately reflects all costs chargeable (paid and unpaid) to the Project. A Receipts and Disbursements Ledger must be maintained. A general ledger with an Income and Expense Account for each budgeted line item is necessary. Paid invoices revealing check number, date paid and evidence of goods or services received are to be filed according to the expense account to which they were charged.

4.14 The City's Director of Finance, the City Auditor, or a person designated by the Director of the Managing City Department may review and approve all Contractor's systems of internal accounting and administrative controls before the release of funds. The City may, in its sole discretion, require the Contractor to use any and all of the City's accounting or administrative procedures used in the planning, controlling, monitoring and reporting of all fiscal matters relating to this Contract.



- 4.15 Contractor shall maintain financial stability and operate in a fiscally responsible and prudent manner. City may immediately terminate this Contract if the City finds, in its sole discretion, that Contractor's financial condition may impact performance under this Contract. The City may consider:
- a. evidence such as the apparent inability of Contractor to meet its financial obligations;
  - b. items that reflect detrimentally on the credit worthiness of Contractor;
  - c. pending litigation, liens and encumbrances on the assets of Contractor;
  - d. the appointment of a trustee, receiver or liquidator for all or a substantial part of Contractor's property; or
  - e. institution of bankruptcy, reorganization, rearrangement of or liquidation proceedings by or against Contractor. Contractor shall provide any records requested by City that City deems necessary to make such a determination.

## V. CONTRACT ADMINISTRATION

- 5.1 City-Supported Project. Contractor shall publicly acknowledge that this Project is supported by the City of San Antonio, Department of Human Services. Contractor must include written acknowledgment of the City's financial support in all Project-related presentations, press releases, flyers, brochures and other informational material prepared and distributed by Contractor. Contractor shall obtain the City's prior approval of the language and City marks or logos, as applicable, to be used.
- 5.2 Contractor shall use the online Contract Management System provided by the City for the purpose of submitting all Contract related documents, including, but not limited to, monthly reports, budgets, budget revisions and requests for payment.
- 5.3 The Contractor shall comply with all **Grant Contract** (attached as **Attachment VII**, if applicable) terms and conditions and applicable grant program policies and procedures the City must comply with if this project is Grant funded.
- 5.4 If any disagreement or dispute arises between the Parties that pertains to this Contract or any applicable governing rules, regulations, laws, codes or ordinances, then the City Manager, as the City representative ultimately responsible for all matters of compliance with City of San Antonio rules and regulations and the Grantor's rules or regulations, if Grant funded, shall have the final authority to render or secure an interpretation.
- 5.5 The City may, during normal business hours, inspect the operating facility used by the Contractor for the administration of this Contract and may require safety or security measures such as locks, alarms, security/surveillance systems, safes, fire extinguishers, sprinkler systems, etc. to safeguard property and/or equipment funded by this Contract.
- 5.6 Contractor shall provide to the Managing City Department all information requested by the Managing City Department relating to the Contractor's Board functions, including, but not limited to:
- a. Roster of current Board Members (name, title, address, telephone number and e-mail address);
  - b. Current and any amendments to Bylaws and Charter;
  - c. Terms of Officers;
  - d. Schedule of anticipated board meetings for current Fiscal Year;
  - e. Board Agenda, to be submitted at least three (3) business days prior to each Board meeting; and
  - f. Minutes of board meetings that are approved by the Contractor's board
- 5.7 Contractor must have or shall comply with the following regarding personnel management:
- (A) An employee ethics or integrity policy that outlines a) the requirements for employees to conduct themselves in an ethical manner consistent with the values of the Contractor; and b) the process for identifying, investigating, and enforcing potential breaches of the policy.



- (B) Internal project management procedures to mitigate the risk of theft, embezzlement, improper inducement, obstruction of investigation or other criminal action, and to reasonably prevent fraud and program abuse. These procedures shall specify the consequences to Contractor's employees and vendors involved in such illegal activities to include but not be limited to termination and prosecution where necessary.
- (C) The employee ethics policy and the project management procedures will be provided to the Managing City Department upon request by the Managing City Department.
- (D) Contractor shall immediately notify the City if any unethical, illegal, or potentially fraudulent activity involves or is related to funds provided by the City and shall provide the City with timely updates on any investigation or inquiry into the activity.
- (E) Contractor represents and warrants that it has conducted a criminal background check, at its own expense, for employees providing services related to this Contract. No employee of Contractor shall be eligible to perform services related to this Contract if he or she, (1) has been convicted of, or was placed in a pre-trial diversion program for, any crime involving dishonesty or breach of trust including, but not limited to, check kiting or passing bad checks; embezzlement, drug trafficking, forgery, burglary, robbery, theft, perjury; possession of stolen property, identity theft, fraud, money laundering, shoplifting, larceny, falsification of documents; and/or (2) has been convicted of any weapons or violent crime including but not limited to homicide, attempted homicide, rape, child molestation, extortion, terrorism or terrorist threats, kidnapping, assault, battery, and illegal weapon possession, sale or use; or 3) is listed on the national register of sex offenders.
- (F) The Contractor agrees to establish internal procedures that assure employees of an established complaint and grievance policy. The grievance policy will include procedures to receive, investigate, and resolve complaints and grievances in an expeditious manner.
- (G) Chief Executive Officers (CEOs), directors and other management positions may not supervise a spouse, parents, children, brothers, sisters, and in-laws standing in the same relationship, ("Relatives") who are involved in any capacity with program delivery supported through City funds. Relatives may be co-workers in the same Project but only in non-supervisory roles.
- (H) Contractor represents and warrants that Contractor's employees and its subcontractors have the requisite training, license or certification to provide the services required under this Contract, and that they meet all licensing, training, and competency standards promulgated by relevant authoritative or professional bodies. The Contractor will provide the City with the names and license registration of any employees of Contractor regulated by state law whose activities contribute towards, facilitate, or coordinate the performance of this Contract.
- (I) Contractor must include written job descriptions in personnel folders for each position in the organization funded through this Contract. Job titles and descriptions in the budget (**Attachment II**) that affect a salary or range increase may not be changed without the prior written approval of the Director of the Managing City Department.
- (J) The Director of the Managing City Department may, in his or her sole discretion, approve reimbursement of pay to full time, permanent employees for other than annual or personal leave for the following:
  - 1. To attend Armed Services training, up to 15 business days;
  - 2. To serve as a juror;
  - 3. To attend the funeral of someone in the immediate family, up to 3 days as long as not charged to annual or personal leave. Immediate family shall include father, step-father, father-in-law, mother, step-mother, mother-in-law, sister, step-sister, brother, step-brother, spouse, child, and relative, if such relative is actually a member of the employee's household, if he or she was the legal guardian of the employee, or if the employee had legal guardianship of the relative.
  - 4. To attend seminars or workshops.

5.8 The following applies to equipment or intellectual property that was purchased or created with City funds:

- (A) Ownership. The City shall own all tangible property, including but not limited to, vehicles, equipment and furniture, purchased with funds received through the City. The tangible property shall, at the City's sole option, be delivered to the City upon the expiration or termination of this Contract. The Contractor must relinquish and transfer possession of and, if applicable, title to tangible property



without the requirement of a court order. Tangible property that has reverted to the Contractor through a City-paid lease agreement with option to buy will be considered the same as though the equipment was purchased outright with City funds. No tangible property purchased with City funds may be disposed of without receiving prior written approval from the Managing City Department.

- (B) Contractor shall maintain records for and provide an annual inventory of tangible property purchased with City funds, to include:
1. A description of the item, including the model and serial number, if applicable;
  2. The date of acquisition, cost and procurement source, purchase order number, and vendor number;
  3. An indication of whether the item is new or used;
  4. The vendor's name (or transferred from);
  5. The location of the property;
  6. The property number shown on the property tag; and
  7. A list of disposed items and disposition.
- (C) Contractor shall safeguard, maintain and fully insure all City-funded property against fire, loss and theft. The Contractor is also solely responsible for reporting and replacing with like property all lost, stolen, missing, damaged, or destroyed property purchased or leased with City funds. All replacement property will be treated in the same manner as property purchased with City funds. All lost, stolen, missing, damaged and/or destroyed property shall be reported to law enforcement agencies as appropriate. The Contractor shall make such reports immediately and shall notify and deliver a copy of the official report to the Managing City Department within seventy-two (72) hours from the date that Contractor discovers the property having been lost, stolen, missing, damaged and/or destroyed.

The report submitted by the Contractor to the Managing City Department must include:

1. A reasonably complete description of the missing, damaged or destroyed articles of property, including the cost and serial number and other pertinent information;
  2. A reasonably complete description of the circumstances surrounding the loss, theft, damage or destruction; and
  3. A copy of the official written police report or, should the Police not make such copy available, a summary of the report made to the Police, including the date the report was made and the name and badge number of the Police Officer who took the report.
- (D) Ownership of Intellectual Property. The Project shall be and remain the sole and exclusive proprietary property of City. The Project shall be deemed a "work for hire" within the meaning of the copyright laws of the United States, and ownership of the Project and all rights therein shall be solely vested in City. Contractor hereby grants, sells, assigns, and conveys to City all rights in and to the Project and the tangible and intangible property rights relating to or arising out of the Project, including, without limitation, any and all copyright, patent and trade secret rights. All intellectual property rights including, without limitation, patent, copyright, trade secret, trademark, brand names, color schemes, designs, screens, displays, user interfaces, data structures, organization, sequences of operation, trade dress, and other proprietary rights (the "Intellectual Property Rights") in the Project shall be solely vested in City. Contractor agrees to execute all documents reasonably requested by City to perfect and establish City's right to the Intellectual Property Rights. If the City is unable, after reasonable effort, to secure Contractor's signature on any documents relating to Intellectual Property Rights in the Project, including without limitation, any letters patent, copyright, or other protection relating to the Project, for any reason whatsoever, Contractor hereby irrevocably designates and appoints City and its duly authorized officers and agents as Contractor's agent and attorney-in-fact, to act for and in Contractor's behalf and stead to execute and file any such application or applications and to do all other lawfully permitted acts to further the prosecution and issuance of letters patent, copyright or other analogous protection thereon with the same legal force and effect as if executed by Contractor. Provided, however, nothing contained in this Contract is intended nor shall it be construed to require Contractor to transfer any ownership interest in Contractor's best practice and benchmarking information to the City.



5.9 Contractor shall comply with the following regarding City-funded travel:

- (A) Travel costs are allowable if:
  - 1. they are approved in the budget;
  - 2. supported by detailed documentation, for example, conference costs to include itineraries and documentation certifying conference attendance;
  - 3. travel costs (including per diem rates) are do not exceed those allowed under the City's travel policies and conform to the reimbursement rates under the United States General Services Administration; and
  - 4. transportation fares are at economy class rates.
- (B) Mileage reimbursement rates must not exceed the City's policy for mileage reimbursement and must comply with IRS rules. To be eligible for mileage reimbursement, the employees must
  - 1. possess a valid Texas Driver's License and liability insurance as required by law; and
  - 2. record, on a daily basis, odometer readings before and after business use, showing total business miles driven each day and must keep the record on file for City inspection, if requested. Mileage records are subject to spot-checks by the City.

Contractor shall strongly encourage the participation by its employees in an approved defensive driving course. Evidence of the required driver's license and liability insurance must be kept on file with the Contractor.

5.10 The Department of Human Services participates in a Dual Generation initiative with the United Way of Bexar County and San Antonio. To continue to promote best practices, Contractor is encouraged to adhere to the following principles while performing the scope of work:

- a. A multi-generational approach – Partners/contractors understand that they can more effectively address a child's needs within the context of the capacity and needs of the entire family and vice versa.
- b. Families are partners - A coaching approach, instead of a traditional case management model, supports family-centered actions to work with the family toward their goals. Families inform the work of the partnership and participate in collective decision-making.
- c. Mutual accountability and shared outcomes – As no single partner/contractor alone can guarantee positive outcomes for families all partners have a vested interest in collectively supporting families and each other. Partners/Contractors remain accountable for their contribution to family wellbeing but understand the limits of their contribution and their need to rely on other partners/contractors.
- d. Collaboration and coordination – Partners/contractors participate in regular forums with other providers serving the same families and coordinate their work both at the family and partnership level.
- e. Data sharing and continuous learning – Partners/contractors routinely share and review individual and aggregate level performance data to inform and improve their work with families and as a partnership. Partners/contractors commit to using a client level shared data platform as source for this learning.

## VI. AUDIT

6.1 If Contractor expends \$750,000 or more of City or federal dollars combined, whether provided under this Contract or under multiple City contracts, then the Contractor must complete an independent audit and submit the audit report within the earlier of:

- a. 30 calendar days after receipt of the auditor's report(s); or
- b. 9 months after the end of Contractor's fiscal year; or
- c. 9 months after the expiration or early termination of this Contract.

Contractor must furnish the Managing City Department a copy of the corrective action plan on all audit findings, a summary schedule of prior audit findings, management letter and/or conduct of audit letter



within 30 calendar days of receipt of the audit report or upon submission of the corrective action plan to the auditor.

If Contractor is notified of federal, state, or local entities that have conducted program reviews and/or audits of the Contractor or its programs of any findings about accounting deficiencies, or violations of Contractor's financial operations, a copy of the notification, review, investigation, and audit violations report must be forwarded to the Managing City Department within 10 calendar days of receipt of the report.

- 6.2 If Contractor expends less than \$750,000 of City or federal dollars combined, whether provided under this Contract or under multiple City contracts, then the Contractor must complete and submit an unaudited financial statement(s) within the earlier of:

- a. 9 months following the end of Contractor's fiscal year; or
- b. 9 months following expiration or early termination of this Contract.

The financial statement must include the following 1) a balance sheet and income statement prepared by a bookkeeper, 2) a cover letter signed by Contractor attesting to the correctness of the financial statement, and 3) a schedule of receipts and disbursements by budgeted cost category for each project funded by the City.

- 6.3 If Contractor receives or expends more than \$750,000 in federal funds from the City, then an audit must be conducted in accordance with the Single Audit Act Amendments of 1996, the State of Texas Single Audit Circular, and U.S. Office of Management and Budget Circular (Uniform Guidance). Contractor shall submit copies of its annual independent audit report, and all related reports issued by the independent certified public accountant within the earlier of 30 days after receipt of the auditor's report(s), or 9 months after the end of the audit period, unless a longer period is agreed to in advance by the Federal cognizant or oversight agency for audit to the Federal Audit Clearinghouse in Jeffersonville, Indiana.

Contractor may submit reports through the following website:

<https://harvester.census.gov/facides/Account/Login.aspx> and may also contact the Clearinghouse by telephone at (301) 763-1551 (voice) or 1-888-222-9907 (toll free) or 1-800-253-0696.

Upon completion of Form SF-SAC, Contractor may submit the completed report by mail to:

Federal Audit Clearinghouse  
Bureau of the Census  
1201 E. 10<sup>th</sup> Street  
Jeffersonville, Indiana 47132

Contractor agrees to reimburse the City or supplement any disallowed costs with eligible and allowable expenses based upon reconciled adjustments resulting from Contractor's Single Audit. Reimbursement shall be made within 30 calendar days of written notification regarding the need for reimbursement.

- 6.4 The City may conduct or have an audit conducted or conduct a review of the use of funds and documentation associated with this Contract. City is entitled to determine the scope of any audit. The City Internal Audit Staff, a Certified Public Accounting (CPA) firm, or other personnel as designated by the City, may perform such audit(s) or reviews. Contractor must make available to City all accounting and Project records.
- 6.5 Contractor, during normal business hours, and as often as deemed necessary by City and/or the applicable state or federal governing agency or any other auditing entity, must make available the books, records, documents, reports, and evidence with respect to all matters covered by this Contract for a minimum period of three (3) years or whatever period is determined necessary based on the Records Retention guidelines established by applicable law for this Contract. The records shall be maintained for the required retention period, except if there is pending litigation or if the audit report has not been accepted, then the Contractor



shall retain the records for as long the City requires retention. The auditing entity shall have the authority to audit, examine and make excerpts, transcripts, and copies from all such books, records, documents and evidence, contracts, invoices, materials, payrolls, records of personnel, conditions of employment and other data relating to matters covered by this Contract.

- 6.6 If an audit or examination determines that the Contractor has expended funds or incurred costs which may be inconsistent with this Contract or if the applicable state or federal governing agency raises compliance issues, then Contractor shall be notified and provided an opportunity to address the issues.
- 6.7 City shall provide Contractor written notification if reimbursed expenses or charges are disallowed by the City because of review or audit findings. The Managing City Department may, in its sole discretion, elect to either 1) deduct the disallowed amounts from subsequent reimbursements, or 2) require Contractor to fully refund the disallowed amounts by cashier's check or money order within ten days after receipt of written notification. Contractor may not reduce Project expenditures if the City opts to deduct disallowed expenses or charges from future reimbursements.
- 6.8 Any expenses for the collection of delinquent debts owed by Contractor are the sole responsibility of the Contractor and shall not be paid from any Project funds.
- 6.9 If the City determines, in its sole discretion, that Contractor is in violation of the above requirements, the City shall have the right to dispatch auditors of its choosing to conduct the required audit and to have the Contractor pay for such audit from non-City resources.

## VII. RECORDS AND REPORTING

- 7.1 The Managing City Department is responsible for monitoring, fiscal control, and evaluation of this Project.
  - (A) Contractor shall submit to the Managing City Department a **Contract Monitoring Report** no later than the 15<sup>th</sup> day of every month detailing the actual services delivered and outcomes achieved against the projected performance measures, and shall attach documentation supporting the same, for the month preceding the submission. A Contract Monitoring Report containing projected monthly performance measures for the entire Contract term is attached. (**Attachment IV**).
  - (B) At such times and in such form as may be required by the Managing City Department, Contractor shall prepare and submit to the Managing City Department or the Grantor of applicable grant funds any additional reports, records, data, statements, policies, procedures and information, pertaining to the performance of this Contract.
  - (C) Within 30 days from the expiration or termination of this Contract, Contractor shall submit all final reports and deliverables to City along with a receipt for all sums and a release of all claims against the Project.

The Contractor represents that all information in reports submitted to City is accurate and that supporting documentation shall be maintained. The Contractor shall, upon reasonable request, allow and facilitate interviews or discussions with its personnel, board members and Project participants.

- 7.2 Contractor shall not disclose information pertaining to the Project or other information and materials prepared for, provided by, or obtained from City, which is marked "confidential" or for which City informs Contractor is "confidential," including, without limitation, reports, records, information, Project evaluation, Project designs, data, and other related information (collectively, the "Confidential Information") and to use the Confidential Information for the sole purpose of performing its obligations under this Contract. Contractor shall protect the Confidential Information and shall take the necessary steps to prevent the unauthorized disclosure, dissemination, or publication of the Confidential Information. If disclosure is required (i) by law or (ii) by order of a governmental agency or court of competent jurisdiction, Contractor shall give the Director of the Managing City Department prior written notice that



such disclosure is required with a full and complete description regarding such requirement. Contractor shall establish specific procedures designed to meet the obligations of this Article VII, Section 7.2, including, but not limited to execution of confidential disclosure agreements, regarding the Confidential Information with Contractor's employees and subcontractors prior to any disclosure of the Confidential Information. This Article VII, Section 7.2 shall not be construed to limit the City's or its authorized representatives' right of access to records or other information, confidential or otherwise, under this Contract. Upon request at the expiration or termination of this Contract, Contractor shall deliver to City all copies of materials related to the Project, including the Confidential Information.

- 7.3 If applicable, Contractor shall execute a **HIPAA Business Associate Agreement** in substantially the same form as shown in **Attachment VI**, which is intended to protect the privacy and provide for the security of Protected Health Information in compliance with the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 ("HIPAA") and regulations promulgated by the U.S. Department of Health and Human Services (the "HIPAA Regulations") and other applicable laws.
- 7.4 In accordance with Texas law, Contractor acknowledges and agrees that all local government records as defined in Chapter 201, Section 201.003 (8) of the Texas Local Government Code created or received in the transaction of official business or the creation or maintenance of which were paid for with public funds are declared to be public property and subject to the provisions of Chapter 201 of the Texas Local Government Code and Subchapter J, Chapter 441 of the Texas Government Code. Contractor represents that no local government records produced by or on the behalf of Contractor pursuant to this Contract shall be the subject of any copyright or proprietary claim by Contractor.
- 7.5 Contractor shall comply with official records retention schedules in accordance with the Local Government Records Act of 1989 and any amendments thereto, referenced in Section 11.2(C) of this Contract

#### **VIII. INSURANCE**

- 8.1 Contractor will comply with the **Insurance Requirements** attached and incorporated into this Contract for all purposes as **Attachment V**.

#### **IX. INDEMNITY**

- 9.1 **CONTRACTOR AGREES TO COMPLY WITH THE FOLLOWING INDEMNITY PROVISION:**

**CONTRACTOR** covenants and agrees to **FULLY INDEMNIFY, DEFEND and HOLD HARMLESS**, the **CITY** and the elected officials, employees, officers, directors, volunteers and representatives of the **CITY**, individually and collectively, from and against any and all costs, claims, liens, damages, losses, expenses, fees, fines, penalties, proceedings, actions, demands, causes of action, liability and suits of any kind and nature, including but not limited to, personal or bodily injury, death and property damage, made upon the **CITY** directly or indirectly arising out of, resulting from or related to **CONTRACTOR'S** activities under this **CONTRACT**, including any acts or omissions of **CONTRACTOR**, any agent, officer, director, representative, employee, consultant or subcontractor of **CONTRACTOR**, and their respective officers, agents, employees, directors and representatives while in the exercise of the rights or performance of the duties under this **CONTRACT**. The indemnity provided for in this paragraph shall not apply to any liability resulting from the negligence of **CITY**, its officers or employees, in instances where such negligence causes personal injury, death, or property damage. IF **CONTRACTOR** AND **CITY** ARE FOUND JOINTLY LIABLE BY A COURT OF COMPETENT JURISDICTION, LIABILITY SHALL BE APPORTIONED COMPARATIVELY IN ACCORDANCE WITH THE LAWS OF THE STATE OF TEXAS, WITHOUT, HOWEVER, WAIVING ANY GOVERNMENTAL IMMUNITY AVAILABLE TO THE CITY UNDER TEXAS LAW AND WITHOUT WAIVING ANY DEFENSES OF THE PARTIES UNDER TEXAS LAW.

The provisions of this **INDEMNIFICATION** are solely for the benefit of the Parties and not intended



to create or grant any rights, contractual or otherwise, to any other person or entity.

CONTRACTOR shall advise the CITY in writing within 24 hours of any claim or demand against the CITY or CONTRACTOR known to CONTRACTOR related to or arising out of CONTRACTOR'S activities under this CONTRACT and shall see to the investigation and defense of such claim or demand at CONTRACTOR's cost. The CITY shall have the right, at its option and at its own expense, to participate in such defense without relieving CONTRACTOR of any of its obligations under this paragraph.

X. SMALL, MINORITY OR WOMAN OWNED BUSINESS ADVOCACY POLICY

**THIS SECTION INTENTIONALLY LEFT BLANK**

XI. COMPLIANCE WITH LAWS

- 11.1 Contractor shall comply with all applicable federal, state and local laws, rules and regulations, codes, charters, ordinances, rules, regulations, policies, and procedures, and any and all amendments or additions to these as they may be promulgated, applicable to the services provided by, or funds received by Contractor hereunder, as directed by the City or as required in this Contract. Failure to comply with applicable laws may subject the Contractor to suspension of payments, termination of Contract, and debarment and suspension actions.
- 11.2 Additionally, Contractor shall comply with the following:
- (A) If using City of San Antonio General Funds, expenditures shall be made in accordance with:
    - 1. Texas Local Government Code Chapter 252 pertaining to purchasing and contracting authority of municipalities; and
    - 2. Texas Government Code Chapter 2254 pertaining to Professional and Consulting Services
  - (B) The Contractor certifies that it will provide a drug-free workplace in compliance with the Drug-Free Workplace Act of 1988.
  - (C) Local Government Records Act of 1989 official record retention schedules found at <http://www.tsl.state.tx.us/slrn/recordspubs/gr.html>
  - (D) Government Code Chapter 552 pertaining to Texas Public Information Act found at <http://www.statutes.legis.state.tx.us/Docs/GV/htm/GV.552.htm>. The Public Information Act, Government Code Section 552.021, requires the City to make public information available to the public. Under Government Code Section 552.002(a), public information means information that is written, produced, collected, assembled or maintained under a law or ordinance or in connection with the transaction of official business: 1) by a governmental body; or 2) for a governmental body and the governmental body owns the information, has a right of access to it, or has spent or contributed public money for the purpose of its writing, production, collection, assembly or maintenance. Therefore, if Contractor receives inquiries regarding documents within its possession pursuant to this Contract, Contractor shall within twenty-four (24) hours of receiving the requests forward such requests to City for disposition. If the requested information is confidential pursuant to state or federal law, the Contractor shall submit to City the list of specific statutory authority mandating confidentiality no later than three (3) business days of Contractor's receipt of such request.
  - (E) As a party to this Contract, Contractor understands and agrees to comply with the *Non-Discrimination Policy* of the City of San Antonio contained in Chapter 2, Article X of the City Code and further, shall not discriminate on the basis of race, color, religion, national origin, sex, sexual orientation, gender identity, veteran status, age or disability, unless exempted by state or federal law, or as otherwise established in this Contract.



(F) Additionally, Contractor shall comply fully with the following nondiscrimination, minimum wage and equal opportunity provisions, including but not limited to:

1. Title VII of the Civil Rights Act of 1964, as amended;
2. Section 504 of the Rehabilitation Act of 1973, as amended;
3. The Age Discrimination Act of 1975, as amended;
4. Title IX of the Education Amendments of 1972, as amended; (Title 20 USC sections 1681-1688)
5. Fair Labor Standards Act of 1938, as amended;
6. Equal Pay Act of 1963, P.L. 88-38;
7. Americans with Disabilities Act of 1990, 42 U.S.C. 12101 et seq., and
8. All applicable regulations implementing the above laws.

(G) The Contractor shall comply with all applicable local, state, and federal employment laws including, but not limited to:

1. worker's compensation;
2. unemployment insurance;
3. timely deposits of payroll deductions;
4. filing of Information on Tax Return form 990 or 990T, Quarterly Tax Return Form 941, W-2's Form 1099 on individuals who received compensation other than wages, such as car allowance, Forms 1099 and 1096 for contract or consultant work, non-employee compensation, etc;
5. Occupational Safety and Health Act regulations; and
6. Employee Retirement Income Security Act of 1974, P.L. 93-406.

(H) In compliance with Texas Government Code Section 2264.053, Restrictions on Use of Certain Public Subsidies, if Contractor receives a public subsidy and is found to be in violation of 8 U.S.C. 1324a(f), Contractor shall repay all funds received under this Contract with interest in the amount of three percent (3%). Such repayment shall be made within 120 days of Contractor receiving notice from the City of the violation. For the purposes of this Section, a **"public subsidy"** is defined as a public program or public benefit or assistance of any type that is designed to stimulate the economic development of a corporation, industry or sector of the state's economy or to retain or create jobs in this state. This term includes grants, loans, loan guarantees, benefits relating to an enterprise or empowerment zone, fee waivers, land price subsidies, infrastructure development and improvements designed to principally benefit a single business or defined group of businesses, matching funds, tax refunds, tax rebates or tax abatements.

(I) Texas Government Code §2270.002 provides that a governmental entity may not enter into a contract with a company for goods or services, unless the contract contains a written verification from the company that it:

1. does not boycott Israel; and
2. will not boycott Israel during the term of the contract.

**"Boycott Israel"** means refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations specifically with Israel, or with a person or entity doing business in Israel or in an Israeli-controlled territory, but does not include an action made for ordinary business purposes.

**"Company"** means a for-profit sole proprietorship, organization, association, corporation, partnership, joint venture, limited partnership, limited liability partnership, or limited liability company, including a wholly owned subsidiary, majority-owned subsidiary, parent company, or affiliate of those entities or business associations that exists to make a profit.

By submitting an offer to, or executing contract documents with, the City of San Antonio, Contractor, if it meets the definition of "Company," hereby verifies that it does not boycott Israel, and will not



boycott Israel during the term of the Contract. City hereby relies on Contractor's verification. If found to be false, City may terminate this Contract for material breach.

11.3 In addition, if Contractor received federal grant funds through this Contract, Contractor agrees that:

- (A) Contractor shall comply with the Office of Management and Budget (OMB) Circular at 2 C.F.R. 200 et al. entitled Uniform Administration Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance), as applicable to the funds received by Contractor.
- (B) If federal funds are in excess of \$150,000, Contractor shall comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. §§7401-7671q) and the Federal Water Pollution Control Act (33 U.S.C. §§1251-1387), as amended. Contractor agrees to report each violation to the City and understands that the City will, in turn, report each violation as required to the federal agency providing funds for this Contract and the appropriate EPA Regional Office. Additionally, Contractor agrees to include these requirements in each subcontract to this Contract exceeding \$150,000 financed in whole or in part with federal funds.
- (C) Contractor shall comply with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, including, but not limited to, the regulatory provisions of 40 CFR Part 247, and Executive Order 12873, as they apply to the procurement of the items designated in Subpart B of 40 CFR Part 247. Contractor agrees to include within its subcontracts a requirement that its subcontractors comply with this provision.
- (D) Contractor has tendered to the City a Certification of Restrictions on Lobbying in compliance with the Byrd Anti-lobbying Amendment (31 U.S.C. §1352), and any applicable implementing regulations, if Contractor applied for or bid for an award exceeding \$100,000.00 from the City.
- (E) In addition to the applicable laws referenced above, Contractor must also adhere to compliance requirements that are applicable to the specific funding source(s) from which funds paid to Contractor hereunder originated. For example, CDBG Contractors are required to follow applicable CDBG regulations.

## XII. NO SOLICITATION/CONFLICT OF INTEREST

- 12.1 The Contractor warrants that no person or selling agency or other organization has been employed or retained to solicit or secure this Contract upon a contract or understanding for a commission, percentage, brokerage, or contingent fee and further that no such understanding or agreement exists or has existed with any employee of the Contractor or the City. For breach or violation of this warrant, the City shall have the right to terminate this Contract without liability or, at its discretion, to deduct from the Contract or otherwise recover the full amount of such commission, percentage, brokerage, or contingent fee, or to seek such other remedies as legally may be available.
- 12.2 Contractor covenants that neither it nor any member of its governing body or of its staff presently has any interest, direct or indirect, which would conflict in any manner or degree with the performance of services required to be performed under this Contract. Contractor further covenants that in the performance of this Contract, no persons having such interest shall be employed or appointed as a member of its governing body or of its staff.
- 12.3 Contractor further covenants that no member of its governing body or of its staff shall possess any interest in, or use their position for, a purpose that is or gives the appearance of being motivated by desire for private gain for themselves or others, particularly those with which they have family, business, or other ties.
- 12.4 No member of City's governing body or of its staff who exercises any function or responsibility in the review or approval of the undertaking or carrying out of this Contract shall:



- a. Participate in any decision relating to this Contract which may affect his or her personal interest or the interest of any corporation, partnership, or association in which he or she has a direct or indirect interest; or
  - b. Have any direct or indirect interest in this Contract or the proceeds thereof.
- 12.5 The Charter of the City of San Antonio and the City of San Antonio Code of Ethics prohibit a City officer or employee, as those terms are defined in Section 2-52 of the Code of Ethics, from having a direct or indirect financial interest in any contract with the City. An officer or employee has a "prohibited financial interest" in a contract with the City or in the sale to the City of land, materials, supplies or service, if any of the following individual(s) or entities is a party to the contract or sale:
  - a City officer or employee; his or her spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity;
  - an entity in which the officer or employee, or his or her parent, child or spouse directly or indirectly owns (i) 10 percent or more of the voting stock or shares of the entity, or (ii) 10 percent or more of the fair market value of the entity; or
  - an entity in which any individual or entity listed above is (i) a subcontractor on a City contract, (ii) a partner or (iii) a parent or subsidiary entity.
- 12.6 Pursuant to the subsection above, Contractor warrants and certifies, and this Contract is made in reliance thereon, that by contracting with the City, Contractor does not cause a City employee or officer to have a prohibited financial interest in the Contract. Contractor further warrants and certifies that it has tendered to the City a Contracts Disclosure Statement in compliance with the City's Ethics Code.

### XIII. TERMINATION

- 13.1 Termination for Cause. Should the Contractor fail to fulfill in a timely and proper manner, or violate, obligations, covenants, conditions, or stipulations of this Contract, the City shall have the right to terminate this Contract in whole or in part by sending written notice to the Contractor of such termination and specify the effective date thereof (which date shall not be sooner than the 10<sup>th</sup> day following the day on which such notice is sent).
- 13.2 Termination for Convenience. This Contract may be terminated in whole or in part by either Party for any reason. Such termination shall specify the effective date thereof, which date shall not be sooner than the 30<sup>th</sup> day following the day on which notice is sent.
- 13.3 The Contractor shall be entitled to receive just and equitable compensation for any work satisfactorily completed prior to termination. The question of satisfactory completion of such work shall be determined by the City alone, and its decision shall be final.
- 13.4 Notwithstanding any other remedy contained in this Contract or provided by law, the City may delay, suspend, limit, or cancel funds, rights or privileges given the Contractor for failure to comply with the terms and provisions of this Contract. Specifically, at the sole option of the City, the Contractor may be placed on probation during which time the City may withhold reimbursements in cases where it determines that the Contractor is not in compliance with this Contract. The Contractor shall not be relieved of liability to the City for damages sustained by the City by virtue of any breach of this Contract, and the City may withhold funds otherwise due as damages, in addition to retaining and utilizing any other remedies available to the City.

### XIV. DEBARMENT

- 14.1 Contractor certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in any state or federal Program. Contractor shall provide immediate written notice to City, in accordance with the notice requirements of **Article XVII**, if, at any time during the term of the Contract, including any renewals hereof, Contractor



learns that its certification was erroneous when made or have become erroneous by reason of changed circumstances.

- 14.2 Should the Contractor be debarred by City pursuant to a debarment policy currently existing or hereafter adopted, said debarment may within City's sole and absolute discretion, be grounds for termination for cause.

#### XV. AMENDMENT

- 15.1 Any alterations, additions or deletions to the terms hereof shall be by amendment in writing executed by both City and Contractor and evidenced by passage of a subsequent City ordinance, as to City's approval; provided, however, the Director of the Managing City Department shall have the authority to execute an amendment of this Contract without the necessity of seeking any further approval by the City Council of the City of San Antonio, if permitted by all applicable local, state and federal laws, and in the following circumstances:

- (A) an increase in funding of this Contract in an amount not exceeding (a) twenty-five percent (25%) of the total amount of this Contract or (b) \$25,000.00, whichever is the lesser amount; provided, however, that the cumulative total of all amendments increasing funding and executed without City Council approval pursuant to this subsection during the term of this Contract shall not exceed the foregoing amount;
- (B) modifications to the Scope of Work and Scorecard set forth in **Attachment I** due to the adjustment described in subsection (A) of this Section or for any other reason, so long as the terms of the amendment are reasonably within the parameters set forth in the original Scope of Work and Scorecard;
- (C) budget shifts of funds, so long as the total dollar amount of the budget set forth in Section 3.1 of this Contract remains unchanged (these modifications may be accomplished through Budget Revisions);
- (D) modifications to the insurance provisions in **Attachment V** of this Contract that receive the prior written approval of the City of San Antonio's Risk Manager and the Director of the Managing City Department;
- (E) reduction of the total Contract amount in order to comply with the match requirement expenditure ratio set forth in Section 3.4, and to amend the budget accordingly which is set forth in **Attachment II**. Contractor shall execute any and all amendments to this Contract that are required as a result of a modification made pursuant to this Section 15.1(E); or
- (F) reductions to Article I Scope of Work and Article III Consideration in order to comply with Section 3.4.

#### XVI. ASSIGNMENT AND SUBCONTRACTING

- 16.1 Contractor shall not assign nor transfer Contractor's interest in this Contract or any portion thereof without the written consent of the City Council of San Antonio, and if applicable, the Grantor of the grant source. Any attempt to transfer, pledge or otherwise assign shall be void ab initio and shall confer no rights upon any third person or party.
- 16.2 None of the work or services covered by this Contract shall be sub-contracted without the prior written consent of the City and Grantor of the grant source, if so required by said Grantor. Any work or services for sub-contracting hereunder, shall be sub-contracted only by written Contract, and unless specific waiver is granted in writing by City, shall be subject by its terms to each and every provision of this Contract. Compliance by sub-contractors with this Contract shall be the responsibility of Contractor. Contractor agrees that payment for services of any sub-contractor shall be submitted through Contractor, and Contractor shall be responsible for all payments to sub-contractors.

- 16.3 Contractor must comply with all applicable local, state and federal procurement standards, rules, regulations and laws in all its sub-contracts related to the work or funds under this Contract, including those referenced in Section 11.2(A). It is further agreed by the Parties that the City has the authority to monitor, audit, examine, and make copies and transcripts of all sub-contracts, as often as deemed appropriate by the City. If, in the sole determination of the City, it is found Contractor failed to comply with this Section, then the Contractor will be deemed to be in default of this Contract, and as such, this Contract will be subject to termination.
- 16.4 Licenses And Training for Subcontractors. Contractor warrants and certifies that Contractor's subcontractors have the requisite training, license or certification to provide the services required under this Contract, and that they meet all competency standards promulgated by relevant authoritative bodies, as applicable to the services provided hereunder.
- 16.5 Contractor certifies that its subcontractors are not presently debarred, suspended or proposed for debarment, declared ineligible or voluntarily excluded from participation in any state or federal Program.

#### XVII. OFFICIAL COMMUNICATIONS

- 17.1 For purposes of this Contract, all official communications and notices among the Parties shall be deemed sufficient if in writing and delivered in person, mailed by overnight or express service or mailed, registered or certified mail, postage prepaid, to the addresses set forth below:

City:

Department of Human Services  
Attn: Director  
106 S. St. Mary's Street, 7<sup>th</sup> Floor  
San Antonio, Texas 78205

Contractor:

Agency Name  
Attn: Executive Director/CEO/President  
Agency Address  
San Antonio, Texas

Notices of changes of address by either Party must be made in writing delivered to the other Party's last known address within five (5) business days of the change.

#### XVIII. PROHIBITED ACTIONS

- 18.1 Political Activity.
- (A) Contractor agrees that no funds provided from or through the City shall be contributed or used to conduct political activities for the benefit of any candidate for elective public office, political party, organization or cause, whether partisan or non-partisan, nor shall the personnel involved in the administration of the Project provided for in this Contract be assigned to work for or on behalf of any partisan or non-partisan political activity.
- (B) Contractor agrees that no funds provided under this Contract may be used in any way to attempt to influence, in any manner, a member of Congress or any other state or local elected or appointed official.
- (C) The prohibitions set forth in Sections 18.1(A) and 18.1(B) of this Contract include, but are not limited to, the following:



1. an activity to further the election or defeat of any candidate for public office or for any activity undertaken to influence the passage, defeat or final content of local, state or federal legislation;
  2. working or directing other personnel to work on any political activity during time paid for with City funds, including, but not limited to activities such as taking part in voter registration drives, voter transportation activities, lobbying, collecting contributions, making speeches, organizing or assisting at meetings or rallies, or distributing political literature;
  3. coercing personnel, whether directly or indirectly, to work on political activities on their personal time, including activities such as taking part in voter registration drives, voter transportation activities, lobbying, collecting contributions, making speeches, organizing or assisting at meetings or rallies, or distributing political literature; and
  4. using facilities or equipment paid for, in whole or in part with City funds for political purposes including physical facilities such as office space, office equipment or supplies, such as telephones, computers, fax machines, during and after regular business hours.
- (D) To ensure that the above policies are complied with, Contractor shall provide every member of its personnel paid out of City funds with a statement of the above prohibitions, which shall include a paragraph that directs any staff person who has knowledge of violations or feels that he or she has been pressured to violate the above policies to call and report the same to the contact person listed on the statement within the Managing City Department. Contractor shall have each said individual sign a statement acknowledging receipt of the policy.
- (E) Contractor agrees that in any instance where an investigation of the above is ongoing or has been confirmed, reimbursements paid to the Contractor under this Contract may, at the City's discretion, be withheld until the situation is resolved.
- (F) This Section shall not be construed to prohibit any person from exercising his or her right to express his or her opinion or to limit any individual's right to vote. Further, Contractor and staff members are not prohibited from participating in political activities on their own volition, if done during time not paid for with City funds.
- 18.2 Adversarial Proceedings. Contractor agrees that under no circumstances will the funds received under this Contract be used, either directly or indirectly, to pay costs or attorney fees incurred in any adversarial proceeding against the City or any other public entity. Contractor understands that the City may deem Contractor ineligible for consideration to receive any future funding while any adversarial proceedings against the City remains unresolved.
- 18.3 No Use of Funds for Religious Activities. Contractor agrees that none of the performance rendered hereunder shall involve, and no portion of the funds received hereunder shall be used, directly or indirectly, for the benefit, directly or indirectly, any such sectarian or religious facility or activity, or for the construction, operations, maintenance or administration of any sectarian or religious facility or activity.
- 18.4 Contribution Prohibitions. Contractor acknowledges that City Code Section 2-309 provides that any person acting as a legal signatory for an entity that applies for a "high-profile" discretionary contract, as defined by the City of San Antonio Procurement Policy and Procedures Manual, may not make a campaign contribution to any councilmember or candidate at any time from the tenth business day after the Consolidated Human Development Funding Services Pool Request for Proposal (RFP) is released, and ending on the 30<sup>th</sup> calendar day following the contract award. Any legal signatory for a proposed high-profile contract must be identified within the response to the RFP or RFQ, if the identity of the signatory will be different from the individual submitting the response. Contractor acknowledges that the City has identified this Contract as high profile. Contractor warrants and certifies, and this Contract is made in reliance thereon, that the individual signing this Contract has not made any contributions in violation of City Code Section 2-309, and will not do so for 30 calendar days following the award of this Contract.



Should the signer of this Contract violate this provision, the City Council may, in its discretion, declare the Contract void.

#### XIX. MISCELLANEOUS

- 19.1 Independent Contractor. Contractor is and shall be deemed to be an independent contractor, responsible for its respective acts or omissions and the City shall in no way be responsible therefor, and neither Party has authority to bind the other nor to hold out to third parties that it has the authority to bind the other.
- 19.2 Nothing contained in this Contract shall be deemed or construed by the Parties or by any third party as creating the relationship of employer-employee, principal-agent, partners, joint venture, or any other similar such relationship, between the Parties.
- 19.3 Any and all of the employees of the Contractor, wherever located, while engaged in the performance of any work required by the City under this Contract shall be considered employees of the Contractor only, and not of the City, and any and all claims that may arise from the Workers' Compensation Act on behalf of said employees while so engaged shall be the sole obligation and responsibility of the Contractor.
- 19.4 Non-Waiver. No waiver, change, modification or discharge by either Party of any provision of this Contract shall be deemed to have been made or shall be effective unless expressed in writing and signed by the Party to be charged. Unless otherwise specifically provided for in this Contract, a waiver by either Party of a breach of any of the terms, conditions, covenants or guarantees of this Contract shall not be construed or held to be a waiver of any succeeding or preceding breach of the same or any other term, condition, covenant or guarantee. No act or omission by a Party shall in any manner impair or prejudice any right, power, privilege, or remedy available to that Party under this Contract or by law or in equity, such rights, powers, privileges, or remedies to be always specifically preserved.
- 19.5 Venue. Contractor and City agree that this Contract shall be governed by and construed in accordance with the laws of the State of Texas, and all obligations of the Parties created under this Contract are performable in Bexar County, Texas. Any action or proceeding to adjudicate any dispute arising out of this Contract shall be brought in a court of competent jurisdiction in San Antonio, Bexar County, Texas. Venue and jurisdiction arising under or in connection with this Contract shall lie exclusively in Bexar County, Texas.
- 19.6 Gender. Words of any gender used in this Contract shall be held and construed to include any other gender, and words in the singular number shall be held to include the plural, unless the context otherwise requires.
- 19.7 Severability. If any clause or provision of this Contract is held invalid, illegal or unenforceable under present or future federal, state or local laws, including but not limited to the City Charter, City Code, or ordinances of City, it is the intention of the Parties that such invalidity, illegality or unenforceability shall not affect any other clause or provision hereof and that the remainder of this Contract shall be construed as if such invalid, illegal or unenforceable clause or provision was never contained. It is also the intention of the Parties that in lieu of each clause or provision of this Contract that is invalid, illegal or unenforceable, there be added as a part of this Contract a clause or provision as similar in terms to such invalid, illegal or unenforceable clause or provision as may be possible, legal, valid and enforceable.
- 19.8 Authority. The signer of this Contract for Contractor represents, warrants, assures and guarantees that he has full legal authority to execute this Contract on behalf of Contractor and to bind Contractor to all of its terms, conditions, provisions and obligations. Contractor shall provide evidence to City upon execution of this Contract that it is currently operating as a Texas non-profit corporation exempt from tax under Section 501(c)(3) of the Internal Revenue Code, or a for-profit entity governed by an autonomous governing body, acting in accordance with the governing instruments submitted to the City in its application for funding. Whether a non-profit or for-profit entity, Contractor must be authorized to do business in the State of Texas and be formed under and operating in accordance with all applicable laws of the State of Texas. Contractor shall provide Managing City Department verification of the foregoing requirements no later than the execution date of this Contract.



19.9 Entire Contract. This Contract and its attachments, if any, contain all of the terms and conditions agreed upon, constitute the entire and integrated Contract between the Parties, and supersede all prior negotiations, representations, or contracts, either oral or written.

This Contract has been executed effective as of the date of signature of the last Party to sign (the "Effective Date").

**CITY OF SAN ANTONIO:**

**CONTRACTOR:**

[insert Contractor name]

\_\_\_\_\_  
Melody Woosley, Director  
Department of Human Services

\_\_\_\_\_  
[insert name and title]

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

APPROVED AS TO FORM:

\_\_\_\_\_  
Assistant City Attorney

\_\_\_\_\_  
Board President (if required by Agency)

**ATTACHMENTS**

Attachment I – Scope of Work and Scorecard  
Attachment II – Budget  
Attachment III – Funding Guide  
Attachment IV – Contract Monitoring Report  
Attachment V – Insurance Requirements  
Attachment VI – HIPAA Business Associate Agreement  
Attachment VII – Grantor Contract [and Emergency Solutions Grant Program Policies and Procedures] **[include if applicable and referenced in the Contract, otherwise use "N/A"]**

SS  
12/13/2018  
Item No. 41

# **Attachment II**



**AMENDMENT #1  
TO DELEGATE AGENCY CONTRACT  
WITH  
CHILD SAFE**

This amendment (hereinafter referred to as "Amendment") of the Prevention and Awareness project is entered into by and between the City of San Antonio, a Texas Municipal Corporation, (hereinafter referred to as "City") acting by and through its designated representative, the Director of the Department of Human Services, pursuant to Ordinance No. 2018-12-06-\_\_\_\_\_, dated December 6, 2018, and Child Safe (hereinafter referred to as "Contractor").

WHEREAS, the City presently contracts with Contractor for the Prevention and Awareness project through the Delegate Agency Contract (hereinafter referred to as "Contract") that was executed on \_\_\_\_\_, 2018; and

WHEREAS, Contractor was awarded additional funds for the Prevention and Awareness project through a second Human and Workforce Development Services Request for Proposals; and

WHEREAS, it is in the best interest of the parties that an amendment to the Agreement now be executed which increases funding to Contractor for child abuse prevention and intervention services;  
NOW THEREFORE:

City and Contractor agree to amend the Agreement as follows:

1. Section 3.1 of the Contract is amended as follows:

The City will reimburse Contractor in an amount not to exceed \$150,000.00 for costs incurred in accordance with the attached **Budget ("Attachment II")**, and all subsequently authorized Budget Revisions or Budget Amendments to that Budget. All other terms, conditions, covenants and provisions of the Agreement are hereby continued and shall remain in effect in their original form, except for the provisions modified by this Amendment.

2. Section 3.2 of the Contract is amended as follows:

Funding through this Contract is based on an allocation from the following sources:

\$150,000.00    General Fund

Contract shall comply with the attached **Funding Guide ("Attachment III")**.

3. The documents attached hereto and incorporated herein as Exhibit I reflects agreed upon revisions to Attachment II, the Budget for the Prevention and Awareness Program. The revisions supersede prior conflicting or inconsistent agreements with regard to the referenced



documents, and all references in the Agreement to the Budget shall mean as revised by this Amendment.

This Agreement has been fully executed as of the date of signature of the last party to sign.

**CITY OF SAN ANTONIO:**

**CONTRACTOR:**

**Child Safe**

\_\_\_\_\_  
Melody Woosley, Director  
Department of Human Services

\_\_\_\_\_  
Kim Abernethy, President/CEO

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**APPROVED AS TO FORM:**

\_\_\_\_\_  
Assistant City Attorney

**ATTACHMENT:**

Exhibit I – Revisions to Attachment II, Budget



# **Attachment III**

### ATTACHMENT III

<b>Funding Priority</b>	<b>Agency</b>	<b>Consolidated Funding Recommended Award</b>
Child Abuse Prevention & Intervention	Child Safe	\$50,000.00
Youth Success	City Year	\$50,000.00
Youth Data	P16 Plus Council	\$278,730.00
Senior Independence	Oasis	\$100,000.00
Senior Independence	Bihl Haus	\$50,000.00
Workforce Development	Alamo Community College District	\$205,629.00
Workforce Development	SA Christian Hope Resource Ctr.	\$40,000.00