Pape-Dawson Engineers, Inc.

* = Required fields



City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a
New Submission or
Correction or
Update to previous submission.

*1. Name of person submitting this disclosure form.						
First: Rick	M.I	Last:	Wood		Suffix: P.E.	
*2. Contract information.						
a) Contract or project name:	On-Call Professional Natio	nal Env	ronmental Policy Act (NE	PA) Consulting S	Services (RFQ #TCI0422	19MT)
b) Originating department:	Transportation & Capital I	mprove	ments			
*3. Name of individual(s) or ent	, , , , , , , , , , , , , , , , , , , ,			tract).		
Pape-Dawson Consulting Engir	neers, Inc. dba Pape-Dawson	i Engine	ers, Inc.			
*4. List any individual(s) or enti listed in Question 3.	ty(ies) that is a partner, pare	nt, joint	venture, or subsidiary en	tity(ies) of the in	dividual or entity	
✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.						
Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:						
*5. List any individuals or entiti	ies that will be subcontractor	rs on thi	s contract.			
Not applicable. No subcontractors will be retained for this contract.						
Subcontractors may be retained, but have not been selected at the time of this submission.						
✓ List of subcontractors, including the name of the owner(s), and business name:						
Cox McLain Environmental Consulting, Inc. (L. Ashley McLain, President/Principal); Poznecki-Camarillo, Inc. (Fernando Camarillo, P.E., Principal-in-Charge); SWCA Environmental Consultants (Kevin Miller, Vice President)						
*6. List any attorneys, lobbyists contract.	s, or consultants retained by	any indi	viduals listed in Question	is 3, 4, or 5 to ass	sist in seeking this	
✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.						



*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

a) any individual seeking contract with the city (Question 3)

- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)

e) the spouse of any individual listed in response to (a) through (d) above

f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

	Name of Contributor	To Whom	Date(s) of Contribution(s)	Total Amount of Contribution(s)	Add
**	Eugene H. Dawson, Jr.	Roberto Trevino	11/3/2017	\$500.00	Delete
**	Samuel G. Dawson	Roberto Trevino	11/8/2017	\$500.00	Delete
**	Samuel G. Dawson	Manuel Pelaez	12/4/2017	\$500.00	Delete
**	Eugene H. Dawson, Jr.	Manuel Pelaez	12/5/2017	\$500.00	Delete
	Cara C. Tackett	Manuel Pelaez	12/5/2017	\$100.00	Delete
**	Eugene H. Dawson, III (Trey)	Greg Brockhouse	12/6/2017	\$500.00	Delete
	Eugene H. Dawson, III (Trey)	Ronald Nirenberg	12/28/2017	\$1,000.00	Delete
	Laura Dawson	William Shaw	1/2/2018	\$500.00	Delete
	Eugene H. Dawson, Jr.	William Shaw	1/2/2018	\$500.00	Delete
	Eugene H. Dawson, Jr.	Rebecca Viagran	1/4/2018	\$500.00	Delete
	Cara C. Tackett	Clayton Perry	1/5/2018	\$100.00	Delete
	Samuel G. Dawson	Clayton Perry	1/5/2018	\$350.00	Delete

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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**Contributions were returned to contributors, but candidates have not yet updated Campaign Finance Electronic Filing System



Office of the City Clerk

Updates on Contributions Required

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Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty</u>. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

1	No
---	----

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City



contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see <u>Section 141 of the City Charter</u> and <u>Section 2-52</u> of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

*3. Contribution Prohibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.

✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.

✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.



Office of the City Clerk

*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*Oath				
✓ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.				
Your Name: Rick Wood, P	.Е	Title: Executive Vice Pr	esident	
Company Name or DBA:	Pape-Dawson Engineers, Inc.		Date: 06/04/2019	

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

Cox|McLain Environmental Consulting, Inc.

* = Required fields

City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a
 New Submission or
 Correction or
 Update to previous submission.

*1. Name of person submitting this disclosure form.

First: Laura M.I. A. Last: McLain Suffix:	
---	--

*2. Contract information.

a) Contract or project name: On-Call Professional NEPA Consulting Service (RFQ#TCI042219MT)

b) Originating department: Transportation & Capital Improvements

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). Cox/McLain Environmental Consulting, Inc. subconsultant to Pape-Dawson Engineers, Inc.

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

*5. List any individuals or entities that will be subcontractors on this contract.

✓ Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.



*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:



Office of the City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓ No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.



Office of the City Clerk

*3. Contribution Prohibit	ions for "High-Profile" Contracts				
○ This is not a high-profile	e contract.				
• This is a high-profile co	ntract.				
✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.					
	✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.				
 *4. <u>Conflict of Interest Questionnaire (CIQ)</u> <u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile". ✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code. 					
*Oath					
	e statements contained in this Contracts Disclosure F ief are true, correct, and complete.	Form, including any attachments, to the best of			
Your Name: L. Ashley Mo	Lain Title:	President/Principal			
Company Name or DBA:	Cox McLain Environmental Consulting, Inc.	Date: 05/15/2019			
L	Please fill this form out online, print completed for proposal to originating department. All questions If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966	must be answered.			

Poznecki-Camarillo, Inc.

* = Required fields



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Office of the City Clerk

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*This is a
New Submission or
Correction or
Update to previous submission.

*1. Name of person submitting this disclosure form.

|--|

*2. Contract information.

a) Contract or project name: On-Call Professional NEPA Consulting Services (RFQ #TCI042219MT)

b) Originating department: City of San Antonio - Transportation and Capital Improvements

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract).

Poznecki-Camarillo, Inc. subconsultant to Pape-Dawson Engineers, Inc.

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

-----Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members,

*5. List any individuals or entities that will be subcontractors on this contract.

 \checkmark Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.



Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:



Office of the City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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Acknowledgements

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Office of the City Clerk

-august		
*3. Contribution Prohibit	ions for "High-Profile" Contracts	
○ This is not a high-profile	contract.	
• This is a high-profile cor	itract.	
following individuals are candidates for City Coun business day after the so signatory to contract ind	prohibited from making campaign or officehol icil, or political action committees that make cor ilicitation has been released until 30 calendar da	ntributions to City Council elections from the 10th ays after the contract has been awarded: legal of an entity seeking the contract, the spouse of any o
✓ I warrant that no contrib Campaign Finance Code	outions have been made by these individuals in e.	violation of Section 2-309 of the Municipal
*4. <u>Conflict of Interest Qu</u>	estionnaire (CIQ)	
Chapter 176 of the Local Go	overnment Code requires all contractors and ver	ndors to submit a Conflict of Interest Questionnaire
Form (CIQ) to the Office of t	he City Clerk, even if contract is not designated	as "High Profile".
✓ I acknowledge that I hav Code.	/e been advised of the requirement to file a CIQ	form under Chapter 176 of the Local Government
	*Oath	
	e statements contained in this Contracts Disclos ief are true, correct, and complete.	sure Form, including any attachments, to the best of
Your Name: Fernando Ca	amarillo, P.E. Ternault (aut) Ti	tle: Principal-in-Charge
Company Name or DBA:	Poznecki-Camarillo, Inc.	Date: 05/15/2019
	Please fill this form out online, print complete proposal to originating department. All ques If necessary to mail, send Purchasing P.O. Box 839966	tions must be answered.

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev 2014-11 09/18/14

SWCA Environmental Consultants

* = Required fields

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Office of the City Clerk

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For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a
 New Submission or
 Correction or
 Update to previous submission.

*1. Name of person submitting this disclosure form.

 First:
 Kevin
 M.I.
 A.
 Last:
 Miller
 Suffix:

*2. Contract information.

a) Contract or project name: On-Call Professional National Environmental Policy Act (NEPA) Consulting Services

b) Originating department: Transportation and Capital Improvements Department (TCI)

*3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). SWCA, Incorporated DBA SWCA Environmental Consultants subconsultant to Pape-Dawson Engineers, Inc.

*4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

*5. List any individuals or entities that will be subcontractors on this contract.

 \checkmark Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

*6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.



*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

List of contributions:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:



Office of the City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

√No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see <u>Section 2-58</u> of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

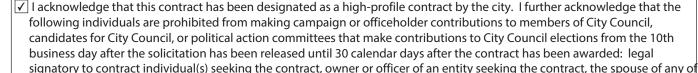
✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

* = Required fields



3. Contribution Prohibitions for "High-Profile" Contracts

✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.

*4. Conflict of Interest Questionnaire (CIQ)

○ This is not a high-profile contract. • This is a high-profile contract.

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

City of San Antonio Contracts Disclosure Form

🗸 I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

🗸 I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

*Oath

Your Name: **Kevin Miller**

SWCA Environmental Consultants Company Name or DBA:

> Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered. If necessary to mail, send to: Purchasing P.O. Box 839966 San Antonio, Texas 78283-3966

Title: Vice President

Date: 05/21/2019