

Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

\*This is a  $\bigcirc$ New Submission or  $\bigcirc_{or}^{\text{Correction}}$   $\bigcirc$ Update to previous submission.

1. Name of person submitting this disclosure form.

First: Shawn M.I. Last: Hatter Suffix: \_\_\_\_\_

2. Contract information.

a) Contract or project name:

S.P. No. 2202 Closure of a portion of Karnes Street right of way

b) Originating department:

3. Name of individual(s) or entity (ies) seeking a contract with the city (i.e. parties to the contract).

**Rio Perla Properties, LP** 

4. List any individual(s) or entity (les) that is a partitier, parent, joint venture, or subsidiary entity (les) of the individual or entity (les) in Question 3.

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity:

Names of executive committee members, officers, partners, and directors:

5. List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



### 7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

### List of contributions:

Name of Contributor	To Whom	Date(s) of	Total Amount of	Add
Lewis F. Westerman	Nelson Wolff	09/03/2019	\$500.00	Delete
William G. Shown	Roberto C. Trevino	10/30/2017	\$500.00	Delete
William G. Shown	Ron Nirenberg	11/07/2017	\$1,000.00	Delete
William G. Shown	Shirley Gonzales	11/07/2017	\$500.00	Delete
William G. Shown	Roberto C. Trevino	03/14/2019	\$500.00	Delete
William G. Shown	Ron Nirenberg	06/18/2019	\$1,000.00	Delete
William G. Shown	Shirley Gonzales	06/20/2019	\$500.00	Delete
William G. Shown	Jada L. Sullivan	07/17/2019	\$500.00	Delete
William G. Shown	Nelson Wolff	09/06/2019	\$1,000.00	Delete
William G. Shown	Robert C. Trevino	10/14/2019	\$500.00	Delete

### Updates on Contributions Required

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Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.



Office of the City Clerk

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or</u> <u>2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for



### complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff:

			Acknowledge	ments		
*	<u>Updates R</u>	<u>equired</u>				
	before the or from the occurred,	e discretionary le City is the su whichever cor	rm must be updated by submission of a contract, housing and retail developme ibject of action by the City Council, and nes first. This includes information abou days after contract has been awarded.	nt incentiv no later tha	ve, or the purchase an 5 business days	e, sale,or lease of real estate to after any change has
*2.	No Contac	t with City Of	ficials or Staff during Contract Evaluation	n		
	l understa person or	nd that a perso entity is prohil	on or entity who seeks or applies for a cit bited from contacting city officials and e for Qualification (RFQ), or other solicitati	y contract mployees	regarding the con	on acting on behalf of that tract after a Request for
	with city o solicitatio	officials or emp n documents.	n shall conclude when the contract is po loyees, the contact will take place in acc Violation of this prohibited contacts pro ents may lead to disqualification of their	ordance w vision set (	vith procedures inc out in <u>Section 2-61</u>	orporated into the
			<u>s for "High-Profile" Contracts</u>			
•	This is not	a high-profile (	contract.			
0	This is a hig	gh-profile con	tract.			
Cha For	n (CIQ) to	<u>f the Local Gov</u> the Office of th	stionnaire (CIQ) <u>vernment Code</u> requires <u>all</u> contractors a ne City Clerk, even if contract is not desig e been advised of the requirement to file	nated as "	High Profile".	
1 24	A PART OF	FALLER	<b>Oath</b>		1. 100 T 1 2 1	TE MAR A TABE AND
	l swear or my knowl	affirm that the edge and belie	e statements contained in this Contracts of are true, correct, and complete.	Disclosure	Form, including a	ny attachments, to the best of
Υοι	ur Name:	Shawn Hatte	r	Title:	Director of Deve	lopment
Co	mpany Nan	ne or DBA:	Rio Perla Properties, LP			Date: 10/15/20

**Rio Perla Properties, LP** 

Officers: N/A

General Partner is:

Rio Perla Management, LLC

Officers:

Craig Wilson William Shown Lewis Westerman President and Assistant Secretary Vice President and Assistant Secretary Vice President and Secretary