TAB 11

FORM-3

Contracts Disclosure Forms





Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

*This is a New Submission or Correction or Update to previous submission.

| *1. Name of person submitting | this disclosure | form. | | | | |
|--|---|----------------|-----------|----------------------|------------------------------|----------------------|
| First: Daniel | | M.I. <u>H</u> | Last: | Jackson | | Suffix: PE |
| | | | | | | |
| *2. Contract information. | | | | | | |
| a) Contract or project name: | <u>Underground</u> | l Storm Drain | Video I | nspection Progr | am | |
| b) Originating department: | TCI | | | | | |
| *3. Name of individual(s) or enti | ity(ies) seeking | a contract wi | th the c | ity (i.e. parties to | the contract). | |
| RJN Group, Inc. | | | | | | |
| *4. List any individual(s) or entit listed in Question 3. | ty(ies) that is a p | oartner, parer | nt, joint | venture, or subs | idiary entity(ies) of the in | ndividual or entity |
| \checkmark Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. | | | | | | |
| Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: | | | | | | |
| *5. List any individuals or entition | es that will be s | ubcontractor | s on thi | s contract. | | |
| Not applicable. No subcontr | Not applicable. No subcontractors will be retained for this contract. | | | | | |
| Subcontractors may be retain | ned, but have n | ot been seled | cted at t | he time of this s | ubmission. | |
| List of subcontractors, include | ling the name o | of the owner(s | s), and b | ousiness name: | | |
| Civil Engineering Consultants d DFW Infrastructure, Inc. Ace Pipe Cleaning Company | lba Don Durden | ı, İnc. | | | | |
| *6. List any attorneys, lobbyists | or consultants | retained by | any indi | viduals listed in | Ouestions 3 4 or 5 to as | sist in seeking this |
| contract. | , or consultants | . ctained by t | y 11101 | addis iisted iii | 232301133, 1, 013 10 43 | J.Schrigenis |
| ✓ Not applicable. No attorney | s, lobbyists, or c | onsultants h | ave bee | n retained to ass | sist in seeking this contra | ıct. |
| List of attorneys, lobbyists, o | r consultants re | tained to ass | ist in se | eking this contra | act: | |



Office of the City Clerk

| | | - | | |
|-----|------------|-------|---------|----------------|
| 7 | Disclosura | of no | ditical | contributions. |
| / . | Disclosure | OID | лиса | CONTINUATIONS. |

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

| ✓ Not applicable. | . No campaign or officeholder contributions have been made in preceding 24 months by these individual |
|-------------------|---|
| List of contribu | utions: |

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under <u>Section 2-309 of the Municipal Campaign Finance Code</u>, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

| I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City | |
|---|--|
| Council or a city board/commission. | |
| I am aware of the following conflict(s) of interest: | |



Yes

City of San Antonio Contracts Disclosure Form

Office of the City Clerk

Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

No

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.



Office of the **City Clerk**

| *3. Contribution Prohibition | ns for "High-Profile" Contracts | | |
|---|--|--|---|
| This is not a high-profile co | | | |
| This is a high-profile contr | act. | | |
| following individuals are p candidates for City Council business day after the solic signatory to contract indiv | ontract has been designated as a hig rohibited from making campaign o I, or political action committees tha citation has been released until 30 c idual(s) seeking the contract, owne attorney, lobbyist, or consultant ret | r officeholder contributions to me t make contributions to City Cour alendar days after the contract ha r or officer of an entity seeking the | embers of City Council, ncil elections from the 10th as been awarded: legal e contract, the spouse of any of |
| ✓ I warrant that no contribu Campaign Finance Code. | tions have been made by these ind | ividuals in violation of Section 2-3 | 309 of the Municipal |
| Form (CIQ) to the Office of the | etionnaire (CIQ) ernment Code requires all contractor e City Clerk, even if contract is not d been advised of the requirement to | esignated as "High Profile". | |
| | *Oa | nth | |
| | statements contained in this Contra fare true, correct, and complete. | | ny attachments, to the best of |
| Your Name: Daniel H. Jacks | son, PE | Title: Vice President / Pr | roject Director |
| Company Name or DBA: | RJN Group, Inc. | | Date: 05/01/2020 |
| | · . | | |

P.O. Box 839966 San Antonio, Texas 78283-3966



Office of the **City Clerk**

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For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

*This is a • New Submission or Correction or Update to previous submission.

| *1. Name of person submitting | this disclosure form. | | | | |
|--|--|----------------------------------|---|--|--|
| First: Dawn | M.I | Last: Green, PE | Suffix: | | |
| | | | | | |
| *2. Contract information. | | | | | |
| a) Contract or project name: | Underground Storm Drain | n Video Inspection Prograi | n | | |
| b) Originating department: | TCI | | | | |
| *3. Name of individual(s) or enti | ity(ies) seeking a contract w | rith the city (i.e. parties to t | he contract). | | |
| Don Durden, Inc. dba Civil Engi | neering Consultants | | | | |
| *4. List any individual(s) or entit listed in Question 3. | ry(ies) that is a partner, pare | ent, joint venture, or subsic | liary entity(ies) of the individual or entity | | |
| Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. | | | | | |
| Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: | | | | | |
| BOARD MEMBERS: Don Durden, PE, RPLS - Chairman; Garland Galm, PE - President; Dawn Green, PE - Secretary; George Peck, PE; Kyle Gass, PE, CFM | | | | | |
| | | | | | |
| *5. List any individuals or entitie | es that will be subcontracto | rs on this contract. | | | |
| ✓ Not applicable. No subcontr | actors will be retained for the | his contract. | | | |
| Subcontractors may be retain | Subcontractors may be retained, but have not been selected at the time of this submission. | | | | |
| List of subcontractors, includ | ling the name of the owner | (s), and business name: | | | |
| *6. List any attorneys, lobbyists, contract. | , or consultants retained by | any individuals listed in Q | uestions 3, 4, or 5 to assist in seeking this | | |
| ✓ Not applicable. No attorneys | s, lobbyists, or consultants h | nave been retained to assis | t in seeking this contract. | | |
| List of attorneys, lobbyists, o | r consultants retained to as | sist in seeking this contrac | t: | | |



Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

✓ List of contributions:

| Name of Contributor | To Whom | Date(s) of Contribution(s) | Total Amount of Contribution(s) | Add |
|---------------------|---|----------------------------|---------------------------------|--------|
| Dawn Green | Mayor Ron Nirenberg 10/1/2018 \$1,000.0 | | \$1,000.00 | Delete |
| Dawn Green | Clayton Perry | 11/19/2018 | \$500.00 | Delete |
| Dawn Green | Rebecca Viagran | 11/19/2018 | \$500.00 | Delete |
| Dawn Green | Ana Sandoval | 11/19/2018 | \$500.00 | Delete |
| Dawn Green | Shirley Gonzales | 12/5/2018 | \$500.00 | Delete |
| Garland Galm | Mayor Ron Nirenberg | 3/25/2019 | \$1,000.00 | Delete |
| Dawn Green | Mayor Ron Nirenberg | 5/13/2019 | \$1,000.00 | Delete |

Updates on Contributions Required

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Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

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- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.



Office of the City Clerk

| Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials? |
|--|
| ✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. |
| I am aware of the following conflict(s) of interest: |
| *9. Prohibited Interest in Contracts. |
| Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission? |
| Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission? |
| Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission? |
| ✓ No |
| □ Yes |

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.



Office of the City Clerk

*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

| ⁶ 3. | Contribution | Prohibitions | <u>for "High</u> | <u>n-Profile"</u> | Contracts |
|-----------------|---------------------|---------------------|------------------|-------------------|------------------|
| | | | | | |

- This is not a high-profile contract.
- This is a high-profile contract.
- ✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

*4. Conflict of Interest Questionnaire (CIQ)

<u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

| | | *Oath | | | |
|------------|---|--|---------|---|--|
| | | e statements contained in this Contracts Disc ef are true, correct, and complete. | closure | e Form, including any attachments, to the best of | |
| Your Name: | Your Name: Dawn Green, PE Title: Vice President | | | | |
| Company Na | me or DBA: | Don Durden, Inc. dba Civil Engineering Con | sultan | Date: 04/24/2020 | |

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966



Office of the City Clerk

Please fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

*This is a • New Submission or Correction or Update to previous submission.

| *1. N | ame of person submitting | this disclosure form. | | | | | |
|--------|--|-----------------------------|---------|---------------------------|-------------------------------|----------------------|--|
| First: | Gary | M.I. <u>W</u> . | | Last: Raba | | Suffix: | |
| *2. C | ontract information. | | | | | | |
| a) Co | ontract or project name: | Underground Storm D | rain V | ideo Inspection Prog | ram | | |
| b) Oı | riginating department: | TCI | | | | | |
| | ame of individual(s) or enti | ty(ies) seeking a contrac | t with | the city (i.e. parties to | o the contract). | | |
| Raba | Kistner, Inc. | | | | | | |
| | | | | | | | |
| | st any individual(s) or entiting Question 3. | y(ies) that is a partner, p | arent, | , joint venture, or sub | sidiary entity(ies) of the ir | ndividual or entity | |
| □ Nc | Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. | | | | | | |
| ✓ Na | Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: | | | | | | |
| Integ | Intega Group Limited | | | | | | |
| | | | | | | | |
| □Na | ames of executive committ | ee members, officers, pa | rtners | s, and directors: | | | |
| | | | | | | | |
| | | | | | | | |
| *5. Li | st any individuals or entition | es that will be subcontra | ctors | on this contract. | | | |
| | ot applicable. No subcontr | | | | | | |
| Su | bcontractors may be retai | ned, but have not been s | electe | ed at the time of this | submission. | | |
| Lis | t of subcontractors, includ | ing the name of the owr | ner(s), | and business name: | | | |
| *6. Li | st any attorneys, lobbyists act. | or consultants retained | by an | y individuals listed in | Questions 3, 4, or 5 to ass | sist in seeking this | |
| | ot applicable. No attorney | • | | | • | ct. | |
| Lis | st of attorneys, lobbyists, o | r consultants retained to | assist | t in seeking this contr | act: | | |



Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

| ✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individua |
|--|
| List of contributions: |

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under <u>Sections 2-43 or 2-44</u> of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

| ✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City |
|---|
| Council or a city board/commission. |
| I am aware of the following conflict(s) of interest: |



Yes

City of San Antonio Contracts Disclosure Form

Office of the City Clerk

9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

| Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more |
|---|
| of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a |
| City board or commission? |
| ✓ No |

Notice Regarding Prohibited Interest in Contracts.

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Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. <u>Updates Required</u>

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

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Your Name:

Company Name or DBA:

Gary W. Raba

Raba Kistner, Inc.

*3. Contribution Prohibitions for "High-Profile" Contracts

City of San Antonio Contracts Disclosure Form

Office of the City Clerk

| ○ This is not a high-profile contract. |
|---|
| This is a high-profile contract. |
| ✓ I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract. |
| ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code. |
| *4. Conflict of Interest Questionnaire (CIQ) |
| <u>Chapter 176 of the Local Government Code</u> requires <u>all</u> contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile". |
| ✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code. |
| *0 1 |
| *Oath |
| ✓ I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete. |

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

Title: President

Date: <u>04/20/2020</u>

* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

UpdatePlease fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

| For detail | ls on use of this form, see | Section 2- | <u>59 through 2-61</u> of | the City's Ethics Code. | |
|---|------------------------------|--------------|---------------------------|-----------------------------|---------------------|
| *This is a (| New Submission or (| Correctio | n or . Update to p | previous submission. | |
| *1. Name of person submitting | this disclosure form. | | | | |
| First: Bruce | M.I | Last: | Vantine | | Suffix: |
| *2. Contract information. | | | | | |
| a) Contract or project name: | Underground Storm D | Prain Video | Inspection Progran | n | |
| b) Originating department: | TCI | | | | |
| *3. Name of individual(s) or ent | ity(ies) seeking a contrac | ct with the | city (i.e. parties to th | ne contract). | |
| Ace Pipe Cleaning, Inc. | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| *4. List any individual(s) or enti- listed in Question 3. | ty(ies) that is a partner, p | oarent, join | venture, or subsid | iary entity(ies) of the inc | dividual or entity |
| ✓ Not applicable. Contracting | party(ies) does not have | partner, p | arent, joint venture | , or subsidiary entities. | |
| Names of partner, parent, joi and officers of each entity: | int venture or subsidiary | entities, ar | ıd all the board mei | mbers, executive comm | ittee members, |
| | | | | | |
| *5. List any individuals or entiti | es that will be subcontra | ctors on th | is contract. | | |
| ✓ Not applicable. No subcontr | ractors will be retained for | or this cont | ract. | | |
| Subcontractors may be retai | ned, but have not been | selected at | the time of this sub | omission. | |
| List of subcontractors, includ | ding the name of the ow | ner(s), and | business name: | | |
| *6. List any attorneys, lobbyists | or consultants retained | l by any ind | ividuals listed in Ou | jestions 3 4 or 5 to assi | ist in seeking this |
| contract. | , or consultants retained | Dy driy iild | rviadais listed lii Qt | 2500115 5, 1, 01 5 to assi | Je in Seciding this |
| ✓ Not applicable. No attorney | s, lobbvists, or consultar | nts have be | en retained to assis | t in seeking this contrac | it. |

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:



Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a) any individual seeking contract with the city (Question 3)
- b) any owner or officer of entity seeking contract with the city (Question 3)
- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

| ✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals |
|--|
| List of contributions: |

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a) Legal signatory of a high-profile contract
- b) Any individual seeking a high-profile contract
- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

| ✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City | |
|---|--|
| Council or a city board/commission. | |
| I am aware of the following conflict(s) of interest: | |
| | |



Office of the City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

| *3. Contribution Prohibition | ons for "High-Profile" Contracts | | |
|--|--|---|-----------------------------|
| ○ This is not a high-profile | contract. | | |
| • This is a high-profile con | tract. | | |
| following individuals are candidates for City Counc business day after the sol signatory to contract indi | prohibited from making campaign or cil, or political action committees that licitation has been released until 30 ca | -profile contract by the city. I further acknowledge officeholder contributions to members of City Conake contributions to City Council elections from endar days after the contract has been awarded: or officer of an entity seeking the contract, the spended to assist in seeking the contract. | uncil, the 10th legal |
| ✓ I warrant that no contrib Campaign Finance Code | • | duals in violation of Section 2-309 of the Municip | oal |
| *4. Conflict of Interest Que | estionnaire (CIQ) | | |
| | | and vendors to submit a Conflict of Interest Que | estionnaire |
| Form (CIQ) to the Office of the | ne City Clerk, even if contract is not de | ignated as "High Profile". | |
| ✓ I acknowledge that I hav Code. | e been advised of the requirement to | ile a CIQ form under Chapter 176 of the Local Go | vernment |
| | *Oat | h | |
| | e statements contained in this Contrac ef are true, correct, and complete. | s Disclosure Form, including any attachments, to | the best of |
| Your Name: Bruce Vantin | e | Title: Secretary/Treasurer | |
| Company Name or DBA: | Ace Pipe Cleaning, Inc. | Date: 07/28/202 | 0 |
| | Please fill this form out online, print | completed form and submit with | |

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

UpdatePlease fill out this form online, print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see <u>Section 2-59 through 2-61</u> of the City's Ethics Code.

| *This is a New Submission or Correction or Update to previous submission. |
|---|
| *1. Name of person submitting this disclosure form. |
| First: Donna M.I. Last: Brown Suffix: |
| |
| *2. Contract information. |
| a) Contract or project name: Underground Storm Drain Video Inspection Program |
| b) Originating department: TCI |
| *3. Name of individual(s) or entity(ies) seeking a contract with the city (i.e. parties to the contract). |
| DFW Infrastructure, Inc. |
| |
| *4. List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3. |
| ✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities. |
| Names of partner, parent, joint venture or subsidiary entities, and all the board members, executive committee members, and officers of each entity: |
| |
| *5. List any individuals or entities that will be subcontractors on this contract. |
| ✓ Not applicable. No subcontractors will be retained for this contract. |
| Subcontractors may be retained, but have not been selected at the time of this submission. |
| List of subcontractors, including the name of the owner(s), and business name: |
| *6. List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract. |
| ✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract. |
| List of attorneys, lobbyists, or consultants retained to assist in seeking this contract: |



Office of the City Clerk

*7. Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months totaling more than \$100 to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

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- c) any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d) any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e) the spouse of any individual listed in response to (a) through (d) above
- f) any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

| ✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals |
|--|
| List of contributions: |

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

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- c) Any owner or officer of an entity seeking a high-profile contract
- d) The spouse of any of individual listed in response to (a) through (c) above
- e) Any attorney, lobbyist, or consultant retained to assist in seeking a high-profile contract

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8. Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

| ✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City | |
|---|--|
| Council or a city board/commission. | |
| I am aware of the following conflict(s) of interest: | |
| | |



Office of the City Clerk

*9. Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

No

Yes

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

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Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940.

Acknowledgements

*1. Updates Required

✓ I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

*2. No Contact with City Officials or Staff during Contract Evaluation

✓ I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in <u>Section 2-61</u> of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

* = Required fields



City of San Antonio Contracts Disclosure Form Update

Office of the City Clerk

| *3. Contribution Prohibiti | ons for "High-Profile" Contracts | |
|--|--|---|
| ○ This is not a high-profile | contract. | |
| • This is a high-profile con | tract. | |
| following individuals are candidates for City Cound business day after the sol signatory to contract indi | prohibited from making campaign or officeho cil, or political action committees that make co icitation has been released until 30 calendar o | le contract by the city. I further acknowledge that the nolder contributions to members of City Council, contributions to City Council elections from the 10th days after the contract has been awarded: legal ter of an entity seeking the contract, the spouse of any coassist in seeking the contract. |
| ✓ I warrant that no contrib Campaign Finance Code | utions have been made by these individuals in | in violation of Section 2-309 of the Municipal |
| *4. Conflict of Interest Qu | estionnaire (CIQ) | |
| Chapter 176 of the Local Go | vernment Code requires all contractors and ve | vendors to submit a Conflict of Interest Questionnaire |
| Form (CIQ) to the Office of tl | ne City Clerk, even if contract is not designated | ed as "High Profile". |
| ✓ I acknowledge that I hav Code. | e been advised of the requirement to file a CIO | IQ form under Chapter 176 of the Local Government |
| | *Oath | |
| | | closure Form, including any attachments, to the best of |
| Your Name: Donna Brow | n T | Title: President |
| Company Name or DBA: | DFW Infrastructure, Inc. | Date: 07/28/2020 |
| | Please fill this form out online, print comple | leted form and submit with |

Please fill this form out online, print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966