

Project: FY2021 Annual Audit Plan
As of 4/30/2021

ID	Audit Project	Audit Department	Audit Project	Budget Hours	Planned or	Current Status	Oct '20	Nov '20	Dec '20	Jan '21	Feb '21	Mar '21	Apr '21	May '21	Jun '21	Jul '21	Aug '21	Sep '21	Oct '21	Nov '21
1																				
2	AU21-001	Citywide	Recovery and Resiliency	900	Planned															
3			Workforce Development		Actual	Fieldwork														
4																				
5	AU21-002	Citywide	Recovery and Resiliency	800	Planned	Planning														
6			Housing Security		Actual															
7																				
8	AU21-003	Citywide	Recovery and Resiliency	400	Planned															
9			Small Business Support		Actual															
10																				
11	AU21-004	Citywide	Recovery and Resiliency	400	Planned															
12			Digital Inclusion		Actual															
13																				
14	AU21-005	Citywide	COVID-19 Response	400	Planned															
15					Actual															
16																				
17	AU21-006	Citywide	COVID-19 Response	400	Planned	Fieldwork														
18					Actual															
19																				
20	AU21-007	Citywide	Controls related to Remote Working	500	Planned															
21					Actual															
22																				
23	AU21-008	Aviation	Billing & Collections for Leases	400	Planned															
24					Actual															
25																				
26	AU21-009	BESD	Light Vehicle Replacement & Maintenance Program	500	Planned															
27					Actual															
28																				
29	AU21-010	DHS	Delegate Agencies	600	Planned	Planning														
30					Actual															
31																				
32	AU21-011	DSD	Accela Point of Sale System Phase 2	600	Planned	Planning														
33					Actual															
34																				
35	AU21-012	EDD	Economic Incentive Monitoring	300	Planned	Fieldwork														
36					Actual															

Planned is Green

Actual is Blue

Planning

Fieldwork



Reporting

Mgmt's Response



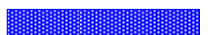
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37																				
38	AU21-013	Finance	Accounts Receivable	400	Planned															
39					Actual															
40																				
41	AU21-014	Finance	Procurement Electronic Submissions	400	Planned	Planning														
42					Actual															
43																				
44	AU21-015	Finance	P-Card Program	400	Planned	Fieldwork														
45					Actual															
46																				
47	AU21-016	Fire	Mobile Integrated Health (MIH) Unit	600	Planned	Fieldwork														
48					Actual															
49																				
50	AU21-017	Fire	Fleet Management	600	Planned	Fieldwork														
51					Actual															
52																				
53	AU21-018	ITSD	Contingency Planning	400	Planned															
54					Actual															
55																				
56	AU21-019	ITSD/Finance	Payment Card Industry (PCI) Security	400	Planned	Planning														
57					Actual															
58																				
59	AU21-020	Parks	Park Maintenance	600	Planned															
60					Actual															
61																				
62	AU21-021	Police	SA Free Environment (SAFFE) Officer Program	600	Planned															
63					Actual															
64																				
65	AU21-022	Police	Uniform Crime Reporting	400	Planned	Planning														
66					Actual															
67																				
68	AU21-023	Police/Health	Crisis Response Team	600	Planned															
69					Actual															
70																				
71	AU21-024	Police	Hold for potential request	1,000	Planned															
72					Actual															

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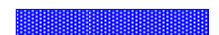
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73																				
74	AU21-025	Public Works	Capital Project Overhead	400	Planned															
75			Cost Allocation Model		Actual															
76																				
77	AU21-026	Public Works	Broadway Project	600	Planned	Planning														
78					Actual															
79																				
80	AU21-027	Public Works	Storm Water - Fee In Lie	400	Planned	Fieldwork														
81			(FILO) Program		Actual															
82																				
83	AU21-028	Public Works	City Hall Renovation	600	Planned															
84					Actual															
85																				
86	AU21-029	Public Works	Sidewalk Costs	400	Planned															
87					Actual															
88																				
89	AU21-030	SWMD	Household Hazardous W	400	Planned	Fieldwork														
90			Contract and Operations		Actual															
91																				
92	AU20-001	311	311 Operations & 311 Ap	700	Planned	Reporting														
93					Actual															
94																				
95	AU20-004	Aviation	Facilities Maintenance	700	Planned	Management														
96					Actual	Response														
97																				
98	AU20-006	CCDO	Riverwalk Leases	500	Planned	Fieldwork														
99					Actual															
100																				
101	AU20-007	City Clerk	Vital Records	550	Planned	Management														
102					Actual	Response														
103																				
104	AU20-011	Finance	Citywide Payroll	700	Planned	Reporting														
105					Actual															
106																				
107	AU20-012	Finance	Accounts Payable	700	Planned	Reporting														
108					Actual															

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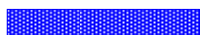
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109																				
110	AU20-018	ITSD	Segregation of Duties	500	Planned	Planning														
111					Actual															
112																				
113	AU20-030	Police	Overtime Authorizations	600	Planned	Management														
114					Actual	Response														
115																				
116	AU20-031	ORM	Safety Programs	600	Planned	Management														
117					Actual	Response														
118																				
119																				
120																				
121			Follow-Up Audits																	
122																				
123	AU21-F01	Police	Aviation Police Operator	300	Planned	Fieldwork														
124			Follow-up		Actual															
125																				
126	AU21-F02	Finance	Vendor Master File	200	Planned															
127			Follow-up		Actual															
128																				
129	AU21-F03	Parks	Pool Inspections	200	Planned	Reporting														
130			Follow-up		Actual															
131																				
132	AU21-F04	ITSD	Virtual Server Security	200	Planned															
133			Follow-up		Actual															
134																				
135	AU20-F04	ITSD	Windows Server	300	Planned	Management														
136			Vulnerability Follow-up		Actual	Response														
137																				
138																				
139																				
140																				
141																				
142																				
143																				
144																				

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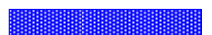
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145			Audits Completed																	
146																				
147	AU19-019	ITSD	SAFreeWiFi Use by City	700	Planned	Complete														
148					Actual															
149																				
150	AU19-C01	CCDO	Centro SA Contract	750	Planned	Complete	  													
151					Actual		            													
152																				
153	AU19-F04	City Attorney	Outside Legal Counsel	250	Planned	Complete	  													
154			Billings		Actual		            													
155																				
156	AU20-002	ACS	Drug Inventory Manager	450	Planned	Complete														
157					Actual															
158																				
159	AU20-003	ACS	Contract Management	450	Planned	Complete														
160			Paul Jolly Center		Actual															
161																				
162	AU20-013	Fire	Overtime Authorizations	600	Planned	Complete	   													
163					Actual		            													
164																				
165	AU20-019	ITSD	E-mail Security	500	Planned	Complete	    													
166					Actual		            													
167																				
168	AU20-020	ITSD	Cloud Security (Storage and Processing)	500	Planned	Complete														
169					Actual															
170																				
171	AU20-022	NHSD	Under One Roof Program	400	Planned	Complete	    													
172					Actual		            													
173																				
174	AU20-026	Police	Municipal Wrecker Service	400	Planned	Complete														
175					Actual		   													
176																				
177	AU20-028	Police	Alarm Permits	500	Planned	Complete														
178					Actual			           												
179																				
180																				

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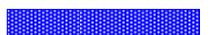
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181	AU20-032	SWMD	Landfill Contract & Operat	500	Planned	Complete														
182					Actual															
183																				
184	AU20-034	TCI	Equipment & Supply	500	Planned	Complete														
185			Inventory Management		Actual															
186																				
187	AU20-037	NHSD	Risk Mitigation Fund	500	Planned	Complete														
188					Actual															
189																				
190	AU20-038	Public Works	Pavement Grading	500	Planned	Complete														
191					Actual															
192																				
193	AU20-F03	ORM	Liability Claims Process	300	Planned	Complete														
194			Follow-up		Actual															
195																				
196	AU21-031	ITSD	Security Training Awarer	40	Planned	Complete														
197					Actual															
198																				
199	CS21-A01	Police	Asset Seizure & Forfeitur	350	Planned	Complete														
200					Actual															

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